

BOARD OF EDUCATION AGENDA

REGULAR MEETING
January 24, 2017 - 5:30 PM

Alameda City Hall

2263 Santa Clara Avenue
Alameda, CA 94501

Regular meetings held in Council Chambers will be recorded and broadcast live on Comcast, Channel 15

VIDEO

The Board of Education will meet for Closed Session and to discuss labor negotiations, student discipline, personnel matters, litigation, and other matters as provided under California State law and set forth on the agenda below. Following Closed Session, the Board reconvenes to Public Session. Adjournment of the Public Session will be no later than 10:30 PM for all regular and special meetings, unless extended by a majority vote of the Board.

Writings relating to a board meeting agenda item that are distributed to at least a majority of the Board members less than 72 hours before the noticed meeting, and that are public records not otherwise exempt from disclosure, will be available for inspection at the District administrative offices, 2060 Challenger Drive, Alameda, CA. Such writings may also be available on the District's website. (Govt Code 54957.5b).

Individuals who require special accommodations (American Sign Language interpreter, accessible seating, documentation in accessible format, etc.) should contact Kerri Lonergan, Assistant to the Superintendent, at 337-7187 no later than 48 hours preceding the meeting.

IF YOU WISH TO ADDRESS THE BOARD OF EDUCATION

Please submit a "Request to Address the Board" slip to Kerri Lonergan, Assistant to the Superintendent, prior to the introduction of the item. For meeting facilitation, please submit the slip at your earliest possible convenience. Upon recognition by the President of the Board, please come to the podium and identify yourself prior to speaking. The Board of Education reserves the right to limit speaking time to three (3) minutes or fewer per individual. Speakers are permitted to yield their time to one other speaker, however no one speaker shall have more than four (4) minutes.

Closed Session Items: may be addressed under Public Comment on Closed Session Topics.

Non Agenda and Consent Items: may be addressed under Public Comments.

Agenda Items: may be addressed under either Public Comments or General Business after the conclusion of the staff presentation on the item.

A. CALL TO ORDER

1. Public Comment on Closed Session Topics: The Board of Education reserves the right to limit public comment to 10 minutes.
2. Adjourn to Closed Session - 5:30 PM - to discuss:

Conference with Legal Counsel Regarding Existing Litigation Pursuant to
Subdivision (b) to Government Code section 54956.9:
(1) Nelco, Inc. et al v. Alameda Unified School District,

Case No. RG16-841074 (Alameda County Superior Court)

Conference with Real Property Negotiators - Pursuant to Section 54956.8:

(1) Woodstock Campus, 1900 3rd Street Alameda, California, 94501

Agency Negotiators: Chad Pimentel, General Counsel

Negotiating Parties: Community Learning Center Schools, Inc.

Under Negotiation: Facilities Use Agreement Amendment

(2) Chipman Campus, 401 Pacific Avenue Alameda, California, 94501

Agency Negotiators: Chad Pimentel, General Counsel

Negotiating Parties: Academy of Alameda

Under Negotiation: Facilities Use Agreement

Confidential Student Matters - Pursuant to CA Education Code Section 35146:

(1) One matter

Student Discipline/Expulsion/Readmit: None at this time.

Public Employee Discipline/Dismissal/Release - Pursuant to Subdivision 54957:

3. Reconvene to Public Session - 6:30 PM Council Chambers
4. Call to Order - Pledge of Allegiance - Maya Lin School
5. Introduction of Board Members and Staff

B. MODIFICATION(S) OF THE AGENDA - The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action

C. APPROVAL OF MINUTES

1. Approval of Minutes - Minutes from the January 10th Board Meeting will be considered

D. COMMUNICATIONS

1. Installation of Student Board Member from Encinal Jr. & Sr. High School
2. Highlighting Alameda Schools - Maya Lin School
3. Employee of the Month - January 2017
4. Written Correspondence - Written correspondence regarding an agenda item that is distributed to a majority of Board Members is shared.
5. Superintendent's Report - The Superintendent of Schools may make announcements or provide information to the Board and Public in the form of an oral report. The Board will not take action on such items.
6. Board Members' Report - The Board of Education Members may make announcements or provide information to the Public in the form of an oral report. The Board will not take action on such items.
7. Student Board Members' Report - Student Board Members may make

announcements or provide information to the Board and the Public in the form of an oral report. The Board will not take action on such items.

8. Report from Employee Organizations - Representatives from the District's employee organizations may make announcements or provide information to the Board and Public in the form of a brief oral report. The Board will not take action on such items. Alameda Education Association (AEA); California School Employees Association Chapter 27 (CSEA 27); California School Employees Association Chapter 860 (CSEA 860).
9. Public Comments - Members of the public may address the Board on Consent Calendar Items, Agenda and Non-Agenda items within the subject matter jurisdiction of the District. However, speakers who have already spoken on an Agenda item during Communications will not be entitled to speak to that item under General Business. Public comments are limited to 30 minutes under this item. Any remaining comments will be heard under G.
10. Closed Session Action Report

E. ADOPTION OF THE CONSENT CALENDAR

1. Certificated Personnel Actions
2. Classified Personnel Actions
3. Approval and Acceptance of Donations
4. Approval of Bill Warrants and Payroll Registers
5. Proclamation: Fred Korematsu Day of Civil Liberties and the Constitution - January 30, 2017
6. Proclamation: African American History Month - February
7. Proclamation: Lincoln Day - February 12, 2017
8. Proclamation: President's Day - February 20, 2017
9. Resolution No. 2016-2017.51 Approval of Budget Transfers, Increases, Decreases
10. Resolution No. 2016-2017.52 Delegation of Authority to Purchase and Contract
11. Resolution No. 2016-2017.53 Authorization to Dispose of Surplus Property
12. Approval of Measure I Contracts (Standing Item)
13. Ratification of Contracts Executed Pursuant to Board Policy 3300
14. Approval of Northern Alameda Consortium for Adult Education JPA Agreement

F. GENERAL BUSINESS – Informational reports and action items are presented under General Business. The public may comment on each item listed under General Business as the item is taken up. The Board reserves the right to limit public comment on General Business items to ten (10) minutes per item. The Board may, with the consent of persons representing both sides of an issue, allocate a block of time to each side to present their issue.

1. Audit Report for Fiscal Year Ended June 30, 2016 (10 Mins/Action)
2. AUSD Real Estate Strategy and Planning (30 Mins/Information)

3. Measure A Annual Report (10 Mins/Information)
4. Update on K-5 ELA (English Language Arts) / ELD (English Language Development) Instructional Materials Adoption (10 Mins/Information)
5. Governor's Budget Proposal (10 Min/Information)
6. Resolution No. 2016-2017.48 Declaring Alameda Unified School District as a Safe Haven School District (10 Mins/Action)

G. ADJOURNMENT

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Adjourn to Closed Session - 5:30 PM

Item Type:

Background: Adjourn to Closed Session - 5:30 PM - to discuss:

Conference with Legal Counsel Regarding Existing Litigation Pursuant to Subdivision (b) to Government Code section 54956.9:

(1) Nelco, Inc. et al v. Alameda Unified School District,
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Negotiating Parties: Academy of Alameda

Under Negotiation: Facilities Use Agreement

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(1) One matter

Student Discipline/Expulsion/Readmit: None at this time.

Public Employee Discipline/Dismissal/Release - Pursuant to Subdivision 54957:

Goals:

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost):

Department Budget:

Recommendation:

AUSD Guiding Principle:

Submitted By:

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Approval of Minutes - Minutes from the January 10th Board Meeting will be considered

Item Type:

Background: Staff has prepared minutes following Board Bylaw 9324 – Minutes and Recordings:
In order to ensure that the minutes are focused on Board action, the minutes shall include only a brief summary of the Board's discussion, but shall not include a verbatim record of the Board's discussion on each agenda topic or the names of Board members who made specific points during the discussion.

Goals:

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost):

Department Budget:

Recommendation:

AUSD Guiding Principle:

Submitted By: Kerri Lonergan, Administrative Assistant to the Superintendent

ATTACHMENTS:

Description	Upload Date	Type
❑ January 10th Unadopted Minutes	1/18/2017	Backup Material

BOARD OF EDUCATION MEETING

January 10, 2017
City Hall Council Chambers
2263 Santa Clara Avenue
Alameda, CA 94501

UNADOPTED MINUTES

REGULAR MEETING: The regular meeting of the AUSD Board of Education was held on the date and place stated above.

A. CALL TO ORDER

1. Public Comment on Closed Session Topics:

There were no public comments on Closed Session topics.

2. Adjourn to Closed Session: 5:30 pm

3. Reconvene to Public Session: 6:33 pm

4. Call to Order - Pledge of Allegiance

Joy Chua, Adult School Principal, led the Board, staff, and the audience in the Pledge of Allegiance.

5. Introduction of Board of Education Members and Staff

Board of Education Members present: Ardella Dailey, Gray Harris, Solana Henneberry, Gary Lym, and Jennifer Williams; AUSD Executive Cabinet members present: Superintendent Sean McPhetridge, General Counsel Chad Pimentel, Chief Business Officer Shariq Khan, Chief Human Resources Officer Tim Erwin, Chief Student Support Officer Kirsten Zazo, and Chief Academic Officer Steven Fong; Student Board Member present: Elizabeth McDonough.

B. MODIFICATION(S) OF THE AGENDA: There were no modifications to the agenda.

C. APPROVAL OF MINUTES – Minutes from the December 13, 2016 Board meeting were considered.

Motion to approve the adoption of the December 13, 2016 Board of Education meeting minutes.

MOTION: Member Harris

SECONDED: Member Williams

AYES: Members Dailey, Harris, Henneberry, Lym, Williams

NOES: None

MOTION APPROVED

D. COMMUNICATIONS

1. Highlighting Alameda Schools – Adult School

Joy Chua, Adult School Principal, gave the Board details about the many programs at the Adult School. The four areas Adult School is currently focusing on are: English as a Second Language classes, the Transitions to College and Career program, the Ruby Bridges Family Literacy program, and High School Diploma and Test Equivalency classes. The biggest program at the Adult School is the English as a Second Language program, which serves approximately 712 students who attend in either the morning or afternoon. The primary home languages of the ESL students are: Spanish, Chinese, and Vietnamese.

Future areas of focus for the Adult School are: Summer School, Career Pathways with Peralta Colleges, Job Placement Services, and a joint Adult School graduation ceremony.

Board members thanked Ms. Chua for her presentation and work to support Alameda's adult students. A Board member asked if enrollment has increased or decreased as the economy strengthens. Ms. Chua stated that the very beginning levels of ESL classes have remained steady, but the intermediate and advance classes have seen a decline due to these students having enough English to be able to be in the work force. A Board member commented on the importance of the Ruby Bridges Family Literacy program and stated he has heard from members of the Ruby Bridges community about its importance.

2. Written Correspondence – The Board did not receive any written correspondence related to this agenda.

3. Superintendent's Report

Dr. McPhetridge gave the Board information about the two proclamations on the agenda: Season of Non-Violence, which takes place from January 30th – April 4th, and Martin Luther King Jr. Day, which takes place on January 16th. Dr. McPhetridge also talked about the resolution on the General Business agenda which he is bringing that asks the Board to declare AUSD as a "Safe Haven School District."

4. Board Members' Report

Board Member Gary Lym: Member Lym wished everyone a happy new year and welcomed teachers, staff, students, and families back to school. Member Lym stated he is very encouraged by the new governance team and is excited to work together with Board members, the public, families, and our students.

Board Member Solana Henneberry: Member Henneberry welcomed AUSD's students, teachers, staff, and families back after the long winter break and stated she appreciated the work of the teachers during rainy day recesses.

Board Member Ardella Dailey: Member Dailey stated she was looking forward to getting out and visiting the school sites.

Board Member Jennifer Williams: Member Williams let the public know that AUSD staff, in conjunction with the LGBTQ Round Table, is working on implementing and creating a new Board policy around AB1266, which protects transgender students and creates added protections for students to help them succeed and have the same opportunities in school that every other student does. Ms. Williams thanked LGBTQ members Terri Elkin and Olivia Higgins for their work on the Board Policy and Administrative Regulation for AB1266. Ms. Williams also thanked the students on the LGBTQ Round Table and stated they would be bringing information on AB1266 back to their schools to share with teachers, staff, and students.

5. Student Board Members' Report

Elizabeth McDonough, Alameda High School Student Board Member: Ms. McDonough stated students are preparing for finals week. Alameda High is also working on their Blood Drive. February 3rd is the AHS mock congress, and Black History month is February. Ms. McDonough also shared information that one of AHS's clubs recently had their charter revoked for fundraising without a permit and for holding funds outside of the ASB bank accounts. Ms. McDonough stated the club can apply again for a charter next year.

6. Report from Employee Organizations

Audrey Hyman, President, Alameda Education Association (AEA): Ms. Hyman welcomed staff, students,

and families back to school. Ms. Hyman stated AEA members are working tirelessly to make sure all students know that “Everyone Belongs Here.” Ms. Hyman stated that AEA members support the resolution on tonight’s agenda naming AUSD as a Safe Haven School District. Ms. Hyman asked the Board to stand with AEA and CTA on denouncing the incoming Education Secretary’s confirmation. Ms. Hyman mentioned the national and state-wide teacher shortage and asked the Board to be prepared to make sure AUSD stays strong in their commitment to attracting and retaining excellent teachers.

7. Public Comments

There were no public comments.

8. Closed Session Action Report

The Board voted unanimously to amend the employment agreement between the district and General Counsel. The amendment agreement places General Counsel on Step D of the 2016-17 salary scheduled approved by the Board on December 13, 2016. All other terms of the agreement remain the same.

E. ADOPTION OF THE CONSENT CALENDAR

1. Certificated Personnel Actions
2. Classified Personnel Actions
3. Approval and Acceptance of Donations
4. Resolution No. 2016-2017.50 Approval of Budget Transfers, Increases, Decreases
5. Approval of Measure I Contracts (Standing Item)
6. Ratification of Contracts Executed Pursuant to Board Policy 3300
7. Resolution No. 2016-2017.49 for Annual Accounting for Developer Fees for Fiscal Year 2015-16 Collected by the District in Account: Fund 25
8. Proclamation: A Season for Nonviolence, January 30 – April 4, 2017
9. Proclamation: Martin Luther King Jr. Day, January 16, 2017
10. Approval of Quarterly Report on Williams Uniform Complaints
11. Approval of Special Education Local Plan Area Master Contracts
12. Approval of Certification of Administrators for Evaluation
13. La Familia Counseling Services and AUSD MOU to Support Summer Internships for Selected High School Students
14. Approval of Out-of-State Field Trip: Encinal Jr. & Sr. High School Civics Club

Motion to approve the adoption of the Consent Calendar.

MOTION: Member Harris

SECONDED: Member Dailey

AYES: Members Dailey, Harris, Henneberry, Lym, Williams

NOES: None

MOTION APPROVED

F. GENERAL BUSINESS

1. Teacher of the Year Launch

Sandy Wong, Coordinator of Employee and Labor, gave the Board information about the 2016-17 Teacher of the Year program. Each year parents, students, staff, and the community have the opportunity to recognize excellent teachers in the District by nominating them for Teacher of the Year (ToY).

Nomination forms will be available online and distributed to sites. Qualified nominees will be notified and have the opportunity to complete the process by submitting an application and letters of support.

The Teacher of the Year Committee will review the documents submitted by nominees and determine which nominees move forward as finalists. The Teacher of the Year Committee will observe all finalists and determine the candidate to best represent Alameda Unified as its nominee for the Alameda County Teacher of the Year.

The Teacher of the Year and finalists will be honored at a Board of Education meeting in May 2017.

2. Overview of AUSD's Charter Oversight

Chad Pimentel, AUSD's General Counsel, gave the Board an overview of the district's responsibilities in the area of charter oversight. The district currently is the charter oversight agency for 4 charter schools: Academy of Alameda, Nea Community Learning Center, ACLC Community Learning Center, and Bay Area School of Enterprise.

As exemplified by other items on the Board's agenda tonight, charter school issues are of increasing importance and visibility to AUSD. This presentation provides a high-level summary of how AUSD oversees the charter schools it authorizes.

A Board member asked if the Board receives charter school budget documents. Mr. Pimentel stated the Board does not receive these documents; they are given to AUSD staff only. The Board member also asked if charter schools were governed by Brown Act Open Meeting laws. Mr. Pimentel stated that there are provisions in each of the Facility MOUs that dictate the charters need to comply with Brown Act Open Meeting laws.

3. Appointment of Representatives to AUSD-Authorized Charter School Boards

In September, members of the Board asked staff for a review of the requirements of Education Code § 47604, which permits a charter school's authorizer to appoint a representative to the school's board of directors. Staff presented on the topic at the Board's September 13, 2016 meeting. At that meeting, the Board directed staff to research the processes available for selecting district representatives to the boards of AUSD-authorized charters.

There are two slots open for charter representatives – Academy of Alameda and CLCS Schools, which oversees ACLC and Nea schools.

In November, the Board directed staff to solicit applications for possible representatives. The District received five applications. Tonight, the applicants will be given the opportunity to address the Board and answer any Board questions before the Board appoints representatives to the eligible charter boards.

A Board member asked what the legal obligations will be for the district representatives to the Charter School Boards. Mr. Pimentel stated the representatives will have a legal obligation to the Board on which they serve and AUSD cannot legally dictate how the representative serves that Board. A Board member stated her understanding of the role of the Charter Board Representative would be to increase transparency and communication with the charter schools. A Board member asked Counsel to clarify that the Charter Board Representative does not report to AUSD. Mr. Pimentel stated that is correct, the representative does not report to AUSD. A Board Member asked if the Charter School Representative would be a voting member of the Charter Board, and Mr. Pimentel stated that is correct, the Representative would be a voting member of the Board on which they sit.

The Board President invited Charter Representative Applicants to make a statement:

Jeff Cambra: Mr. Cambra thanked the Board for clarifying that the Charter Representative would be a voting member of that Board and would not report to AUSD. Mr. Cambra stated he has no preconceived notions on charter schools and is not aware of any controversies between AUSD and the charters currently or in the past.

Jane Grimaldi: Ms. Grimaldi stated she has been a parent in AUSD since 2002 and has been an active, engaged parent since this time. Ms. Grimaldi stated she has served as a volunteer in every PTA position, every PTA Council position, as a Booster member, etc. Ms. Grimaldi stated she remembers when Arthur Andersen was the only charter in town and there are more charters now. Ms. Grimaldi stated the relationships between the charters and the district are much more complicated than they have been in the past. Ms. Grimaldi stated she would bring a different lens to the charter boards.

Mike Janvier: Mr. Janvier stated he is a retired administrator and spent 13 years as a teacher and 24 years as an administrator, including previously serving as principal of AUSD elementary, middle, and high schools. Mr. Janvier stated he left AUSD for three years and served as an Assistant Superintendent in a neighboring district before returning and serving as the principal of Alameda High School. Since retiring, Mr. Janvier has worked for the last six years at the Academy of Alameda and has had a chance to experience charter schools and has a great working relationship with AOA board members, administrators, and staff.

Dennis Popalardo: Mr. Popalardo stated he is an Alameda resident and has a child that attends an AUSD elementary school. Mr. Popalardo stated he is an attorney and a founding member of a non-profit to award money to the families of children who have been fatally or severely injured. Mr. Popalardo ran for School Board in the fall, was not successful, but is interested in serving his community in this way. Mr. Popalardo stated he feels he would bring his legal perspective and conflict resolution skills to the charter board. Mr. Popalardo stated that during his run for school board he was able to talk to many district parents, including parents of children in charter schools.

President Lym asked Board members to ask the candidates any questions they may have. There were no questions from the Board.

President Lym asked the candidates to answer the following question: In your capacity or service to this Board, what would you like to see yourself learn about or see, achieve, or advance from an educational philosophy perspective as it relates to students?

Jeff Cambra: Mr. Cambra stated he would like to see success for students and would like to see measured goals to achieve the highest standard possible for each student.

Jane Grimaldi: Ms. Grimaldi stated she interpreted the question differently and says she feels like she has an open mind and has learned something from every meeting she has attended. Ms. Grimaldi stated the education of students is fascinating and thrilling to her.

Mike Janvier: Mr. Janvier stated his hope is that we would continue open communication, working together, and meeting the needs of every individual child. Mr. Janvier would like to look at the program and know we can always do better and continue to improve.

Dennis Popalardo: Mr. Popalardo stated he would be interested in keeping all students excited and

interested in school. Mr. Popalardo stated he feels that if a child is excited to go to school they will learn and get something out of it. Mr. Popalardo stated he believes in having something for everyone.

Dr. McPhetridge stated that he has heard of communication with Charter School Applicant Mike McMahon and Mr. McMahon stated he did not receive an invitation to attend the meeting tonight. Chad Pimentel, the district's General Counsel, stated he was responsible for inviting candidates to the meeting, and while he hoped he had invited everyone who had applied, he apologized if Mr. McMahon did not receive the invitation.

Public Comments:

Patti Wilczek, Executive Director, CLCS Schools, Inc. (oversees ACLC and Nea): Ms. Wilczek stated she has heard very clearly that the goal of this appointment is collaboration and transparency. Ms. Wilczek stated she does not see how any of the current candidates will help facilitate this goal. Ms. Wilczek stated she doesn't feel there is an open dialogue between AUSD and CLCS and feels that this action is counter to many of CLCS's values. Ms. Wilczek stated all CLCS Board meetings are currently Brown Act compliant.

David Mitchell, Alameda resident, Otis School Site Council Member: Mr. Mitchell is on the Alameda Planning Board and a member of the Otis School Site Council. Mr. Mitchell stated he has known Dennis Popalardo for 10 years, and feels he knows a great deal about legal issues, employment contracts, and complex budget issues. Mr. Mitchell stated Mr. Popalardo does a great deal of fundraising work through his firm and in his personal life and is passionate about Alameda and education. Mr. Mitchell stated he feels Mr. Popalardo represents families in Alameda and asked the Board to consider appointing him to be a Charter Board Representative.

Motion to appoint Jeff Cambra as Charter Representative to CLCS and Dennis Popalardo as Charter Representative to AOA.

MOTION: Member Harris

SECONDED: Member Henneberry

Member Harris explained her motion: she stated it has been a very hard decision and acknowledged Jane Grimaldi for her dedication over the years to AUSD. Ms. Harris also stated that Dennis Popalardo recently ran for School Board member and that this shows a huge level of commitment to serve the students and families of AUSD. Ms. Harris acknowledged Mike Janvier for his breadth of experience in education and Jeff Cambra for the many committees he has served on in Alameda. Ms. Harris stated she is picking two candidates that she feels have the most experience on these issues.

Member Dailey stated her most qualified persons would be Mike McMahon, Mike Janvier, and Jane Grimaldi due to the amount of experience they have according to their applications.

Member Williams stated what is important to her is the level of experience of the candidates and acknowledged Jane Grimaldi for her depth of experience. Ms. Williams stated she feels Ms. Grimaldi led the parent effort to restructure Wood Middle School. Ms. Williams also stated that she also feels Mike McMahon would also be her pick for a charter representative. Ms. Williams cited Mike McMahon's work on the AUSD Board and the Oakland Unified School District's Charter Oversight Board.

Member Henneberry stated the candidates are all amazing applicants and thanked all candidates for the work they have done for Alameda families over the last several years. Member Henneberry stated she believes Dennis Popalardo and Jeff Cambra have a fresh outlook on the issues at hand and both work at conflict mediation in their professional lives. Member Henneberry stated her goal is to have a new

perspective on our relationships with the charter schools and is supporting the motion to name Mr. Popalardo and Mr. Cambra as Charter Representatives.

Member Lym asked Mr. Pimentel what the length of term would be for the Charter Representatives. Mr. Pimentel stated that Ed Code is silent on the issue so the length would be determined by the charter bylaws. Mr. Pimentel recommended that the Board evaluate the appointment annually. Representatives for AOA and CLCS stated their current terms are three years in length.

Motion to appoint Jeff Cambra as Charter Representative to CLCS and Dennis Popalardo as Charter Representative to AOA.

MOTION: Member Harris

SECONDED: Member Henneberry

AYES: Members Harris, Henneberry, Lym,

NOES: Members Dailey and Williams

MOTION APPROVED

4. Enrollment Projections for Academy of Alameda (AOA) Charter Schools' Proposition 39 Requests
Proposition 39 is the state law entitling charter schools of a certain size to use a proportional share of district school buildings. The Prop 39 process begins on November 1, when charter schools submit requests for space for the following school year. Academy of Alameda Charter Middle School (AOAMS) and Academy of Alameda Charter Elementary School (AOAES) submitted timely Prop 39 requests for next year.

Prop 39 requests are based off of the charter schools' projected enrollment for the coming school year. The next step in the Prop 39 process is for the District to report any objections it has to the charter schools' enrollment projections and propose alternate projections if necessary.

Chad Pimentel, AUSD's General Counsel, gave the Board the District's analysis of the requests and gave information showing how staff identified objections to AOAES's enrollment projection. As a result, staff developed an alternative enrollment projection for AOAES. The objections and alternative projection now come to the Board for approval in advance of the District's January 11th deadline to respond.

Public Comments:

Matt Huxley, Executive Director, Academy of Alameda: Mr. Huxley wished everyone a Happy New Year and congratulated the new and re-elected Board members. Mr. Huxley stated that enrollment is a fluid process, and schools are never quite sure which students will show up on the first day of school, making enrollment projections very difficult. Mr. Huxley stated last year AUSD under-projected AOA's elementary enrollment by 17 students, and AOA currently has wait lists in several grade levels. Mr. Huxley stated AOA leadership looks forward to meeting with Sean McPhetridge and Chad Pimentel next week. Mr. Huxley stated AOA has had to make significant trade-offs with space last year and their site is currently maxed out for space.

A Board member asked if AUSD's projections change if that information will come back to the Board. Mr. Pimentel stated the next step in the process will be for the Board to approve the recommendation on space allocated to AOA. Dr. McPhetridge also explained that the Board has asked, in the last few years, to have more updates on this process.

Motion to approve the enrollment projections for Academy of Alameda's Prop 39 requests.

MOTION: Member Harris

SECONDED: Member Henneberry

AYES: Members Dailey, Harris, Henneberry, Lym, Williams

NOES: None

MOTION APPROVED

5. Resolution No. 2016-2017.48 Declaring Alameda Unified School District as a Safe Haven School District

Dr. McPhetridge explained the reasons for bringing this resolution to the Board for consideration. On November 8, 2016, voters in California participated in the democratic process by casting votes that determine political leaders and public policies, and they expressed strong support for public schools by embracing bilingual education through Proposition 58, strengthening funding sources through Proposition 55, and modernizing school facilities through Proposition 51. Alameda voters also showed their support of public education here with a 74% super-majority voting to renew our parcel tax, Measure B1, which represents approximately 11% of our annual budget in AUSD.

Alameda is a diverse community in the San Francisco Bay Area and is noted for having over 72 different languages spoken in AUSD's schools (including Arabic, Chinese, English, Spanish, Tagalog, Vietnamese, and many others). Approximately 11% percent of approximately 9,500 AUSD students were identified as being born outside the USA and/or immigrants to this country, 17% were identified as English language learners, 27% of students qualified for free and/or reduced lunch, and a clear majority of AUSD families and students identified themselves as people of color.

We have partnered with many different civic agencies (e.g., The Alameda Collaborative for Children, Youth, and their Families (ACCYF), The City of Alameda, The Alameda Chamber of Commerce, Alameda Education Association (AEA), California School Employees Association (CSEA Units 27 and 860), Alameda Education Foundation (AEF), Alameda PTA Council, and Alameda Family Services (AFS)) this past year to promote a public awareness campaign, spearheaded by our LGBTQ Round Table and affirming our collective commitment to the idea that "Everyone Belongs Here" and that we all must work to confront all forms of hate, bigotry, and bullying in order to counter intolerance.

Sadly, youth and families across the district, county, state, and nation have already experienced increased levels of hate speech based on their national origin, ethnicity, religion, or sexual orientation before, during, and after the election in an America still not free of hatred.

Dr. McPhetridge shared that the City of Alameda will also be bringing a similar resolution to the City Council at their upcoming meeting.

A Board member stated you cannot teach children who do not feel safe and stated she is happy we are protecting our people. A Board member stated she doesn't see a reason why anyone would be against this resolution and she is very happy that the City of Alameda is also bringing a resolution forth.

Student Board Member Elizabeth McDonough asked how this resolution would impact policy or if it was a symbolic resolution. Dr. McPhetridge thanked Ms. McDonough for her thoughtful question and stated it is an important point, because it is important for us to make a public gesture and to codify this statement for our students and families. Two Board members affirmed this statement and stated it is important for the District to publicly state its core values. A Board member, who was previously on the City's SSHRB Board, stated that when SSHRB had a meeting to ask the community for input about these issues, the community overwhelmingly supported these statements.

The resolution will come back for Board action at the January 24th Board of Education meeting.

G. ADJOURNMENT

The Board of Education meeting was adjourned at 8:42 pm.

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Installation of Student Board Member from Encinal Jr. & Sr. High School

Item Type: Communications

Background: Pursuant to Board Bylaw 9150: Student Board Members, the Board of Education believes it is important to seek out and consider students' ideas, viewpoints, and reactions to the educational program. In order to provide student input and involvement, the Board includes one Student Board Member each from Alameda, ASTI, Encinal, and Island high schools. Encinal Jr. & Sr. High School administration has chosen a new Student Board Member for the second semester of the 2016-17 school year. This student, Amy Chu, will be sworn in tonight.

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost):

Department Budget:

Recommendation:

AUSD Guiding Principle: #1 - All students have the ability to achieve academic and personal success.

Submitted By: Kerri Lonergan, Administrative Assistant to the Superintendent

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Highlighting Alameda Schools - Maya Lin School

Item Type:

Background: Highlighting Alameda Schools - Maya Lin School

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost):

Department Budget:

Recommendation: This item is presented for information only.

AUSD Guiding Principle: #4 - Parental involvement and community engagement are integral to student success.

Submitted By: Steven Fong, Chief Academic Officer

ATTACHMENTS:

Description	Upload Date	Type
□ Highlighting - Maya Lin	1/18/2017	Presentation

Highlighting Alameda Schools

Maya Lin School

January 24, 2017

Judith Goodwin, Principal

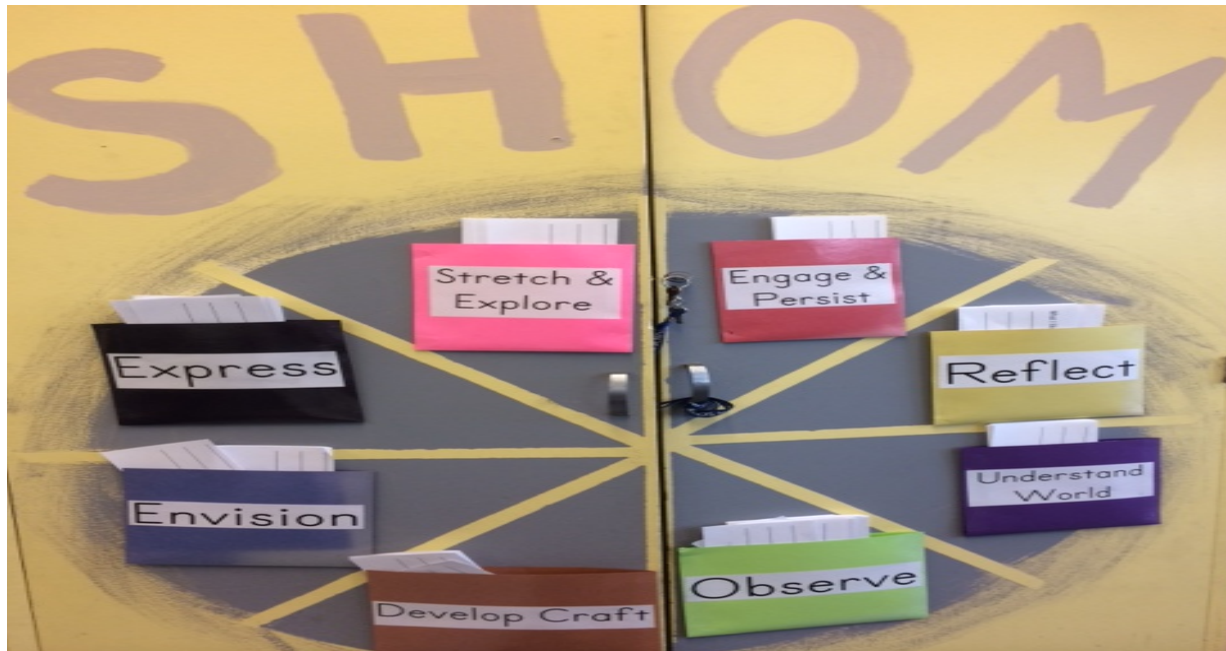


School Vision – Students at Maya Lin School are creative, smart, critical thinkers who love art and know they can do anything.



Introduction

Maya Lin School Mission The mission of Maya Lin School is to provide arts integration and inquiry based learning. We embrace 21st century learning and the involvement of our community in building a dynamic school environment. We acknowledge learning is a lifelong process and support ongoing professional development and collaboration for all members of the school community.



LCAP Goals

1. Eliminate barriers to student success and maximize learning time
- 2a. Support all students in becoming college and career ready
- 2b. Support all English Learners (ELs) in becoming college and career ready
3. Support parent/guardian development as knowledgeable partners and effective advocates for student success
4. Ensure that all students have access to basic services

Positive Behavior Intervention and Supports (PBIS)

Highlight your progress and next steps for PBIS – where appropriate, include data to celebrate/define goals

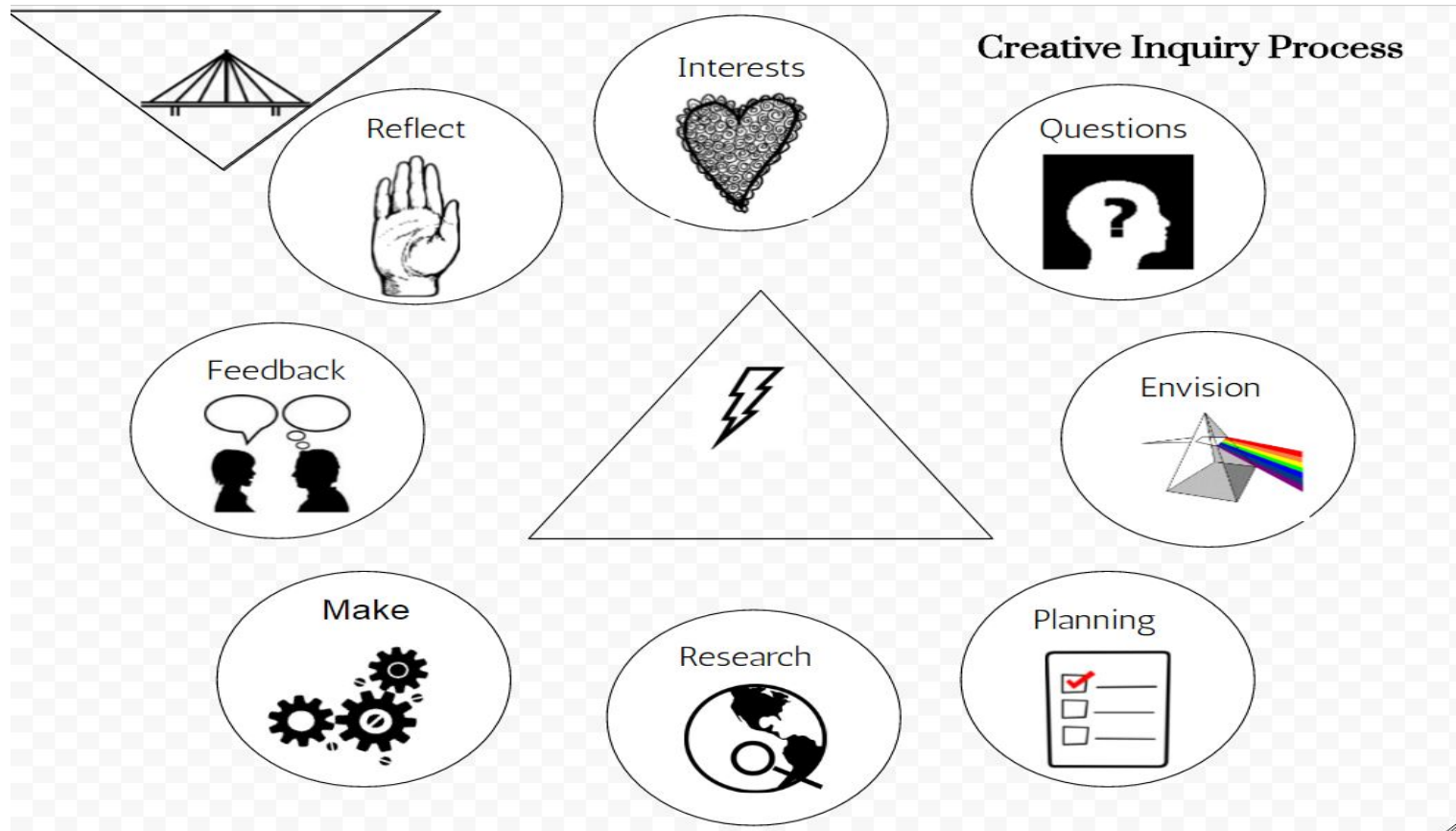
Year 1 2014 -2015: PBIS Site team trained; MLS team meetings began; completed initial site assessments of program

Year 2 2015-2016: Produced school wide behavior expectations video; implemented positive behavior reinforcement (Wolf Tags) and implemented school wide use of Behavior Notices and data collection

Year 3 2016-2017: Implemented Toolbox, SEL program to support PBIS initiative; continue Behavior Notice data collection; beginning identification of Tier 1, 2 and 3 site behavior interventions to determine strengths and needs

Creative Inquiry Process- The Mask Project

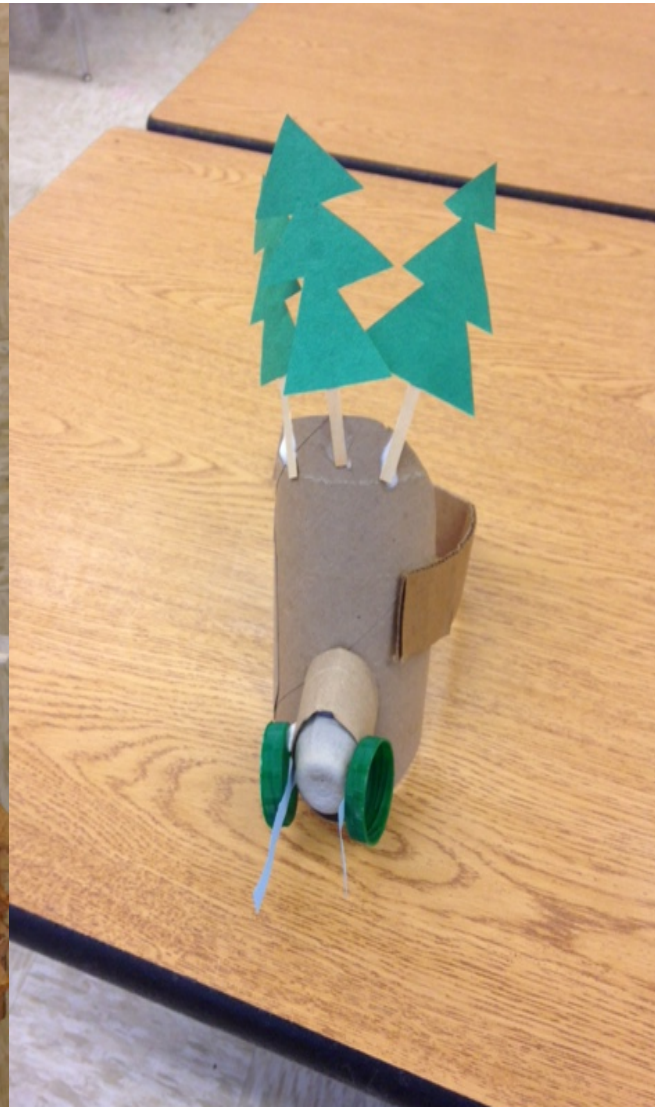
A collaboration between our Artist in Residence, Library Media Center Teacher, classroom teachers and students.



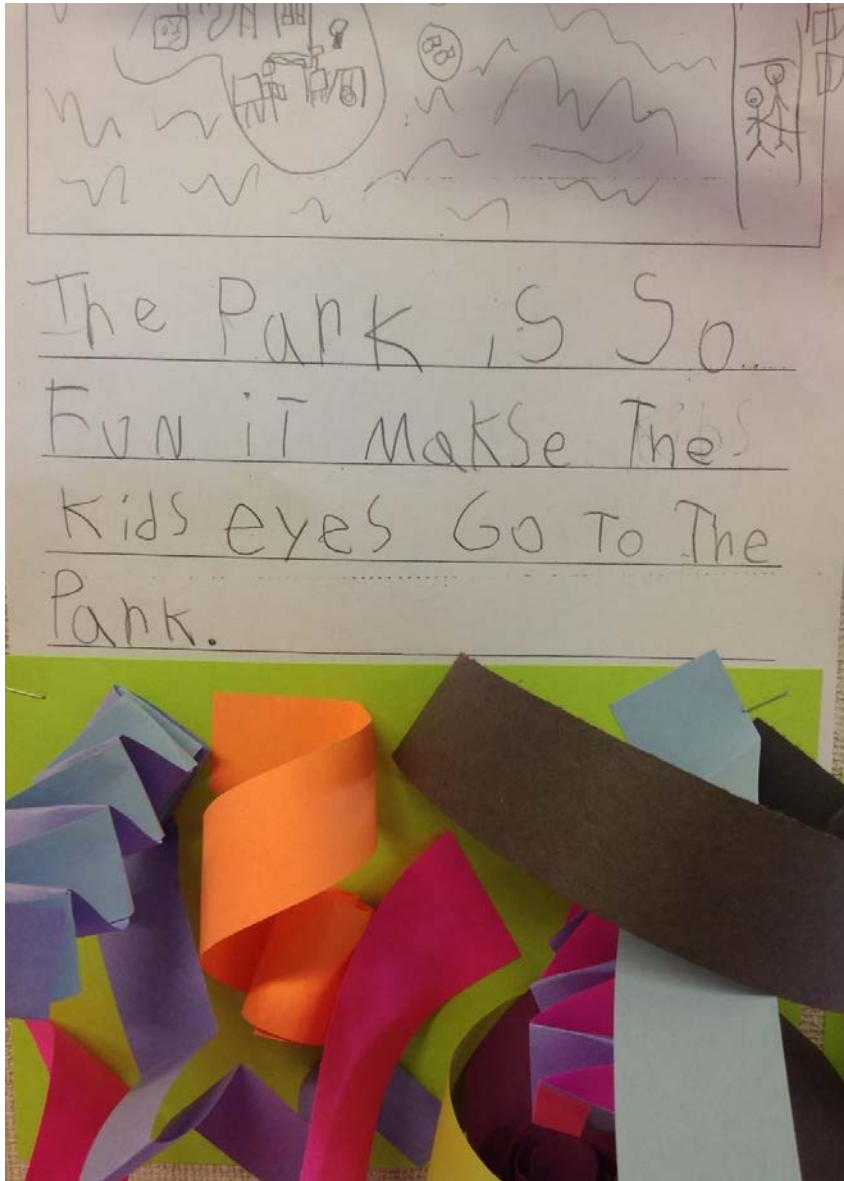
Topics, Questions and Research



Student masks in process...



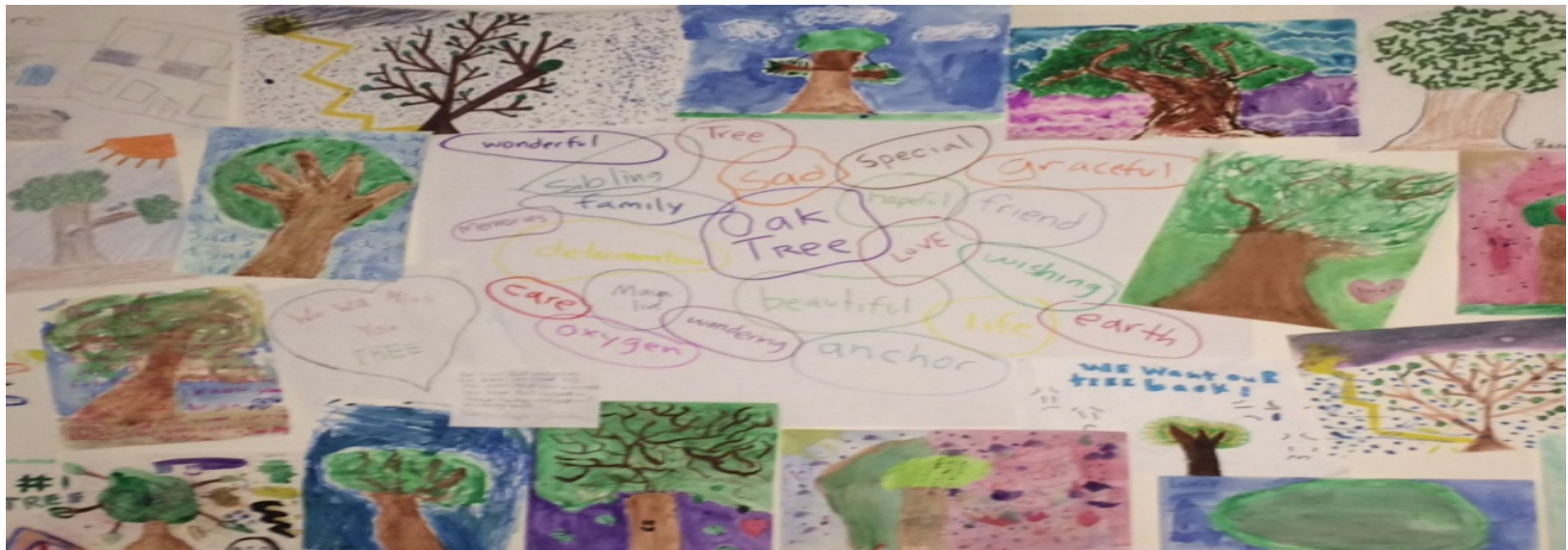
English Learner (EL) Program



- 85% of the staff trained in Systematic ELD
- 51 English Learners at MLS speaking 14 different languages
- Developing the integration of art and inquiry with our ELD instruction

Parent/Guardian Engagement

- School Smarts Academy
- Parent Universities: Art and Literacy Nights
- PTA Sponsored Community Event: Halloween Haunt, Multicultural Night, Night of Light Celebration
- Current Envisioning Project to replace our oak tree



Questions?

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Employee of the Month - January 2017

Item Type: Information

Background: Employee of the Month for December 2017 - Kai Dwyer

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): Not applicable

Department Budget: Not applicable

Recommendation:

AUSD Guiding Principle: #7 - All employees must receive respectful treatment and professional support to achieve district goals.

Submitted By: Timothy Erwin, Chief Human Resources Officer

ATTACHMENTS:

Description	Upload Date	Type
□ January 2017 Employee of the Month	1/18/2017	Backup Material

Employee of the Month
January 2017

The employee recognition program is a District-wide program to promote a greater appreciation of District employees and to publicly honor special employees for outstanding service that directly or indirectly contributes to students in the Alameda Unified School District. Each month, at the Board of Education meeting, those employees selected will be recognized by the Board with a presentation by the President of the Board and the Superintendent of Schools. The person so honored is:

Kai Dwyer
Counselor
Will C. Wood Middle School
"A Job Well Done"

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Certificated Personnel Actions

Item Type: Consent

Background:

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): All positions shown are authorized by the board and are included in the 2016-2017 budget.

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle: #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By: Timothy Erwin, Chief Human Resources Officer

ATTACHMENTS:

Description	Upload Date	Type
☐ Certificated Personnel Report	1/25/2017	Backup Material

Certificated Personnel Actions January 24, 2017

Temporary Appointment(s)- Ending June 9, 2017

<u>Last</u>	<u>First</u>	<u>Assignment</u>	<u>FTE</u>	<u>Location</u>	<u>Effective Date</u>
Manalo	Kathryn	Orientation and Mobility	.30	Special Education	1/23/2017
Manno	Anthony	Social Science	.20	Alameda High	1/23/2017

Resignation(s)

<u>Last</u>	<u>First</u>	<u>Assignment</u>	<u>Reason</u>	<u>FTE</u>	<u>Location</u>	<u>Effective Date</u>
Kruger	Fern		Retirement	.80		12/16/2016

Certified:



 Timothy Erwin, Chief Human Resources Officer

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Classified Personnel Actions

Item Type: Consent

Background:

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): All positions shown are authorized by the board and are included in the 2016-2017 budget.

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle: #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By: Timothy Erwin, Chief Human Resources Officer

ATTACHMENTS:

Description	Upload Date	Type
□ Classified Personnel Report	1/25/2017	Backup Material

**Classified Personnel Actions
January 24th, 2017**

Appointments

<u>Last</u>	<u>First</u>	<u>Assignment</u>	<u>FTE</u>	<u>Location</u>	<u>Effective Date</u>
Craia	Lizbeth	SPED Para V	0.75	Paden	1/9/2017
Garrett	Debra	SPED Para V	0.75	Lum	1/9/2017
Lewis	Elizabeth	SPED Para IV	0.75	WMS	12/19/2017

Change of Status

<u>Last</u>	<u>First</u>	<u>Assignment</u>	<u>FTE</u>	<u>Location</u>	<u>Effective Date</u>
Abuhwaidid	Amal	SPED Para V	0.75	AHS	
		to SPED Para IV	0.75	AHS	12/19/2016
Knox	La Shonda	SPED Para IV	0.75	Lum	
		to Sch. Office Asst	0.5625	Lum	1/23/2017

Resignations

<u>Last</u>	<u>First</u>	<u>Assignment</u>	<u>FTE</u>	<u>Location</u>	<u>Effective Date</u>
Sullivan	Robin	SPED Para V	0.75	Lum	12/14/2016
Williams	Robyn R.	Staff Secretary II	1	StdServ	1/24/2017
Wrigley	Shelley	SPED Para IV	0.75	WMS	1/20/2017

Retirement

<u>Last</u>	<u>First</u>	<u>Assignment</u>	<u>FTE</u>	<u>Location</u>	<u>Effective Date</u>
Leung	Mun Ha	Para I-Childcare	0.875	WCDC	3/31/2017

Certified:



Timothy Erwin, Chief Human Resources Officer

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Approval and Acceptance of Donations

Item Type: Consent

Background: Throughout the school year, donations are routinely accepted by the District. The donations are from various sources and are commonly designated for specific uses.

Goals: Routine Matter

Fund: General Fund

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): Will increase the revenues of the District in the amount of \$35,659.10

Department Budget: Various

Recommendation: Approve as submitted.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization. | #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By: Shariq Khan, Chief Business Officer

ATTACHMENTS:

Description	Upload Date	Type
☐ Summary Site Donations	1/17/2017	Backup Material

2016-2017
Summary Site Donations
December 20, 2016 - January 17, 2016

Slip Date	Site	Check#	Date	Donor	Amount	Site Total	Total Donations	Account
10/28/2016	AHS	1691	10/6/2016	David Hung Ho	10.00			K-12 Instruction
10/28/2016	AHS	3753	10/2/2016	Gordon Yee	10.00			K-12 Instruction
10/28/2016	AHS	3408	10/3/2016	Gary Waldman	10.00			K-12 Instruction
10/28/2016	AHS	2233	10/2/2016	Marc A Bartolome	10.00			K-12 Instruction
10/28/2016	AHS	1631	10/7/2016	Hope Choi	10.00			K-12 Instruction
10/28/2016	AHS	Cash	10/2-27/2016	No Name	200.00			K-12 Instruction
10/31/2016	AHS	354	10/25/2016	Lixin Niu	15.00			K-12 Instruction
10/31/2016	AHS	265	10/29/2016	Thomas Donovan	15.00			K-12 Instruction
10/31/2016	AHS	1246	10/24/2016	Daniel Reidy	15.00			K-12 Instruction
12/7/2016	AHS	1421	12/7/2016	Brady Rowe	66.84			K-12 Instruction
12/7/2016	AHS	1110091406	11/30/2016	PG&E	180.00			K-12 Instruction
12/7/2016	AHS	1110091417	11/30/2016	PG&E	180.00			K-12 Instruction
12/7/2016	AHS	1339	12/2/2016	Tanya Aly	6.00			K-12 Instruction
12/7/2016	AHS	Cash	12/-3/2016	No Name	1,732.00			K-12 Instruction
12/7/2016	AHS	4500214931	11/23/2016	First Advantage	3.00			K-12 Instruction
12/7/2016	AHS	9670	11/26/2016	James McGowan	3.00			K-12 Instruction
12/7/2016	AHS	Cash	11/5/2016-12/5/2016	No Name	45.00			K-12 Instruction
12/7/2016	AHS	Cash	11/28/2016	No Name	5.00			K-12 Instruction
12/7/2016	AHS	Cash	12/5/2016	No Name	5.00			K-12 Instruction
12/7/2016	AHS	Cash	12/6/2016	No Name	464.00			K-12 Instruction
12/9/2016	AHS	1518	11/17/2016	Alameda High School ASB	120.00			K-12 Instruction
12/13/2016	AHS	Cash	12/8-10/2016	No Name	1,544.00			K-12 Instruction
12/15/2016	AHS	Cash	12/14/2016	No Name	376.00			K-12 Instruction
12/19/2016	AHS	2106	12/16/2016		6.00			K-12 Instruction
12/19/2016	AHS	Cash	12/16-17/2016		1,460.00			K-12 Instruction
12/19/2016	AHS	Cash	12/15/2016		400.00			K-12 Instruction
12/19/2016	AHS	Cash	12/15/2016		160.00			K-12 Instruction
12/20/2016	AHS	450	11/14/2016	Ananery Cordova	3.00			K-12 Instruction
12/20/2016	AHS	17-400154224	11/30/2016	King Soopers	6.00			K-12 Instruction
12/20/2016	AHS	17-522080996	11/30/2016	Food 4 Less	6.00			K-12 Instruction
						7,065.84		
12/19/2016	Bay Farm	5781	12/15/2016	Bay Farm PTA	9,836.00			K-12 Instruction
						9,836.00		
12/5/2016	Earhart	5457	11/29/2016	John Kunishi Jr	17.00			K-12 Instruction
12/14/2016	Earhart	3296	12/12/2016	Earhart PTA	1,200.00			K-12 Instruction
1/9/2016	Earhart	2217	12/29/2016	Aviva Gonzalez	11.00			K-12 Instruction
						1,228.00		

12/8/2016	Encinal	Cash	No Date	No Name	569.00		K-12 Instruction
12/8/2016	Encinal	87041	11/30/2016	PG&E	60.00		K-12 Instruction
12/8/2016	Encinal	90543	11/30/2016	PG&E	60.00		K-12 Instruction
12/8/2016	Encinal	6192	11/30/2016	PG&E	60.00		K-12 Instruction
12/8/2016	Encinal	3779	11/30/2016	Samuel Featherstone	6.00		K-12 Instruction
12/15/2016	Encinal	Cash	12/8/2016	No Name	228.00		K-12 Instruction
12/15/2016	Encinal	Cash	12/14/2016	No Name	229.00	1,212.00	K-12 Instruction
12/19/2016	Lincoln	1337	12/19/2016	Lincoln Middle PTA	2,590.22	2,590.22	K-12 Instruction
12/8/2016	Lum	124332	10/28/2016	East Bay Community Foundation	3,000.00		K-12 Instruction
12/8/2016	Lum	3314842	11/14/2016	Great America Reachout Profit	122.86		K-12 Instruction
12/8/2016	Lum	3315801	11/17/2016	Great America Reachout Profit	82.28		K-12 Instruction
12/8/2016	Lum	148417	11/14/2016	Inter-State Studio & Publishing Co.	943.97	4,149.11	K-12 Instruction
12/9/2016	Maya Lin	1110087787	11/30/2016	PG&E	349.86		K-12 Instruction
12/9/2016	Maya Lin	148415	11/14/2016	Inter-State Studio	622.60		K-12 Instruction
12/9/2016	Maya Lin	1279	11/3/2016	National Geographic Society	58.50	1,030.96	K-12 Instruction
11/7/2016	Paden	148	10/9/2016	Claudia Reyes	60.00		K-12 Instruction
11/7/2016	Paden	Cash	No Date	No Name	419.00	479.00	K-12 Instruction
1/9/2017	Ruby Bridges	959380349501	1/9/2017	tuby Bridges Elementary	6,067.97	6,067.97	K-12 Instruction
10/30/2016	Student Services	134	10/20/2016	Women's Club of Alameda	1,000.00		K-12 Instruction
10/30/2016	Student Services	21005	10/24/2016	Alameda Kiwanis Foundation	1,000.00	2,000.00	K-12 Instruction
						<u>35,659.10</u>	

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Approval of Bill Warrants and Payroll Registers

Item Type: Consent

Background: On a routine basis, all payments from the funds of the District are made by written order of the Board of Education. This requirement is provided under Education Code 42631.

20 redactions were made where posting of that information would violate agreed upon confidentiality settlements. The District is posting all bills and warrants except for the ones that are redacted.

Goals: Routine Matter

Fund: General Fund

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): Will reduce the available funds of each respective site/department budget by \$11,046,422.95

Department Budget: Various

Recommendation: Approve as submitted.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization. | #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By: Shariq Khan, Chief Business Officer

ATTACHMENTS:

Description	Upload Date	Type
☐ Summary of Register	1/18/2017	Backup Material

ALAMEDA UNIFIED SCHOOL DISTRICT
Excellence & Equity For All Students

Fiscal Services Department
2060 Challenger Dr
Alameda, CA 94501
(510) 337-7082

Re Board Meeting of January 24, 2017

To: Recording Secretary, Board of Education

From: Fiscal Department

Subject: Summary of Register (Bill and Payroll Warrants Web Version)

Attached is the summary of Register issued for the period. Supporting register attached.

Signed: R. Carbajal 

Date: 01-17-17

Board of Education

Approved by:

Board President

Date

Alameda Unified School District will effectively use our limited resources to ensure that every student succeeds.

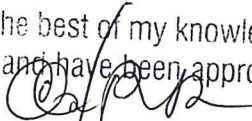
Number	Date	Description	Withdrawal
50827548	12/8/2016	Bunker, Richard	\$ 71.07
50827549	12/8/2016	Eding, Matthew	\$ 322.73
50827550	12/8/2016	Furuichi Fong, Kristin M	\$ 907.68
50827551	12/8/2016	Lucio, Jessica	\$ 279.63
50827552	12/8/2016	Luna, Atreyu	\$ 14.15
50827553	12/8/2016	Mulholland, Ellen	\$ 257.91
50827554	12/8/2016	Nguyen, Nga Q	\$ 273.96
50827555	12/8/2016	Paltrineri, Colleen	\$ 12.96
50827556	12/8/2016	Parella, Brenda L	\$ 30.78
50827557	12/8/2016	Peterson, Kent	\$ 460.10
50827558	12/8/2016	Phelan Abusaba, Sarah J	\$ 4.60
50827559	12/8/2016	Ralph, Joan L	\$ 55.40
50827560	12/8/2016	Shepard, Lindsey	\$ 256.39
50827561	12/8/2016	Siltanen, Robert	\$ 106.60
50827562	12/8/2016	Strong, Cammie	\$ 296.04
50827563	12/8/2016	VerDuin, Jennifer I	\$ 237.04
50827564	12/8/2016	Wong, Priscilla Y	\$ 12.72
50827565	12/8/2016	Yudenfreund, Tara L	\$ 907.68
50827566	12/8/2016	4 Paws Goose Control, Inc.	\$ 2,250.00
50827567	12/8/2016	Airgas	\$ 381.29
50827568	12/8/2016	Alameda Auto Lab	\$ 32.00
50827569	12/8/2016	Alameda County Office of Educa	\$ 1,375.00
50827570	12/8/2016	Alameda Fire Extinguisher	\$ 1,239.05
50827571	12/8/2016	Alameda Little League	\$ 4,800.00
50827572	12/8/2016	Alameda Municipal Power	\$ 36,944.46
50827573	12/8/2016	Alameda Unified School Distric	\$ 385.00
50827574	12/8/2016	Special Ed Reimbursement	\$ 1,216.18
50827575	12/8/2016	Anova Inc.	\$ 5,061.00
50827576	12/8/2016	Basix	\$ 544.93
50827577	12/8/2016	Blick Art Materials	\$ 46.26
50827578	12/8/2016	Boost! Leadership	\$ 1,386.10
50827579	12/8/2016	Cairdea Design & Marketing, In	\$ 794.42
50827580	12/8/2016	California Educational Technol	\$ 505.00
50827581	12/8/2016	CalPERS	\$ 626,101.93
50827582	12/8/2016	CASBO	\$ 1,020.00
50827583	12/8/2016	CDW Government Inc	\$ 13,765.50
50827584	12/8/2016	Classtech	\$ 14,156.50
50827585	12/8/2016	Coast Allied Health	\$ 175.00
50827586	12/8/2016	Danielsen Co	\$ 5,791.28
50827587	12/8/2016	Durham School Services	\$ 137,848.12
50827588	12/8/2016	E.L. Achieve, Inc.	\$ 2,213.65
50827589	12/8/2016	Eagle Software	\$ 1,575.00
50827590	12/8/2016	East Bay Restaurant	\$ 3,676.99
50827591	12/8/2016	Favarger Consulting	\$ 56.25
50827592	12/8/2016	Garrett, Lorri	\$ 2,332.00
50827593	12/8/2016	Special Ed Reimbursement	\$ 224.91
50827594	12/8/2016	Gopher	\$ 177.12
50827595	12/8/2016	Harcourt Outlines Inc	\$ 263.16
50827596	12/8/2016	Inquiry By Design	\$ 9,818.20
50827597	12/8/2016	Interpreters Unlimited	\$ 200.00
50827598	12/8/2016	Javelina Software, LLC	\$ 1,165.50
50827599	12/8/2016	Keenan & Associates	\$ 119.52
50827600	12/8/2016	A+ Events	\$ 4,712.00
50827601	12/8/2016	Academy of Alameda Elementary	\$ 25,911.39
50827602	12/8/2016	Academy Of Alameda Middle Scho	\$ 95,736.21
50827603	12/8/2016	ACSIG	\$ 20,156.30
50827604	12/8/2016	Special Ed Reimbursement	\$ 27.22

Number	Date	Description	Withdrawal
50827605	12/8/2016	Alameda Arts	\$ 11,000.00
50827606	12/8/2016	Alameda Co Office of Education	\$ 5,700.00
50827607	12/8/2016	Alameda Community Learning Cen	\$ 71,098.39
50827608	12/8/2016	Alameda Electrical Dist Inc	\$ 191.25
50827609	12/8/2016	Alameda Municipal Power	\$ 46,735.24
50827610	12/8/2016	Alameda Unified School Distric	\$ 95.80
50827611	12/8/2016	Alco Iron & Metal Company	\$ 171.07
50827612	12/8/2016	Alternatives in Action	\$ 38,428.82
50827613	12/8/2016	American Printing House For Th	\$ 21.00
50827614	12/8/2016	American Telesource Inc	\$ 842.50
50827615	12/8/2016	Analytical Behavior Consultant	\$ 7,500.00
50827616	12/8/2016	ARCO Business Solutions	\$ 282.02
50827617	12/8/2016	AT&T	\$ 11,745.33
50827618	12/8/2016	Athens Baking Company, Inc.	\$ 1,909.66
50827619	12/8/2016	AUSD Revolving Fund	\$ 1,098.60
50827620	12/8/2016	Baker Distributing Company LLC	\$ 167.35
50827621	12/8/2016	Barnes & Noble	\$ 999.74
50827622	12/8/2016	Benchmark Home Elevator	\$ 479.52
50827623	12/8/2016	Big West Distribution, Inc.	\$ 456.00
50827624	12/8/2016	Boost! Leadership	\$ 4,409.98
50827625	12/8/2016	Cairdea Design & Marketing, In	\$ 407.97
50827626	12/8/2016	Cal-Steam, Inc.	\$ 2,405.24
50827627	12/8/2016	CDW Government Inc	\$ 4,903.23
50827628	12/8/2016	Cenergistic LLC	\$ 18,400.00
50827629	12/8/2016	Choose College Educational Fou	\$ 10,227.00
50827630	12/8/2016	CIWA Inc	\$ 22,530.27
50827631	12/8/2016	Clark Security Products	\$ 2,757.92
50827632	12/8/2016	Classtech	\$ 342.16
50827633	12/8/2016	Community Learning Center Scho	\$ 99,826.85
50827634	12/8/2016	Comtel Service Co	\$ 9,758.55
50827635	12/8/2016	Crystal Creamery / Foster Farm	\$ 8,052.54
50827636	12/8/2016	Del Corso 14, LLC	\$ 4,625.00
50827637	12/8/2016	Delta Education	\$ 235.30
50827638	12/8/2016	Douglas Herring & Associates	\$ 564.38
50827639	12/8/2016	East Bay Blue Print	\$ 307.40
50827640	12/8/2016	EBMUD	\$ 14,948.76
50827641	12/8/2016	Economy Lumber Co	\$ 455.69
50827642	12/8/2016	Electronic File Folders, Inc.	\$ 268.00
50827643	12/8/2016	Emprint / Moran Printing, Inc.	\$ 1,295.02
50827644	12/8/2016	Encinal Hardware	\$ 571.02
50827645	12/8/2016	Engine Works Inc.	\$ 256.80
50827646	12/8/2016	EPS Literacy & Intervention	\$ 1,024.92
50827647	12/8/2016	Everbank Commercial Finance, I	\$ 8,889.60
50827648	12/8/2016	Fagen Friedman & Fulfroast LLP	\$ 3,726.46
50827649	12/8/2016	First Student Inc	\$ 1,192.78
50827650	12/8/2016	Fix Air	\$ 206.75
50827651	12/8/2016	Flocabulary, LLC	\$ 96.00
50827652	12/8/2016	Gachina Landscape Management	\$ 3,557.00
50827653	12/8/2016	Glass Man	\$ 329.00
50827654	12/8/2016	Gold Star Foods	\$ 11,979.46
50827655	12/8/2016	Golling, Joseph A	\$ 1,320.00
50827656	12/8/2016	Grainger Inc	\$ 684.24
50827657	12/8/2016	IDN-Wilco Inc	\$ 71.59
50827658	12/8/2016	Interpreters Unlimited	\$ 1,754.82
50827659	12/8/2016	Jet Mulch	\$ 13,717.65
50827660	12/8/2016	Jostens Inc	\$ 1,079.18
50827661	12/8/2016	KBA Docusys Inc.	\$ 5,240.29

Number	Date	Description	Withdrawal
50827662	12/8/2016	Kelly Moore Paint Co Inc	\$ 363.44
50827663	12/8/2016	KU - CRL	\$ 100.00
50827664	12/8/2016	Frechou, Jeannette M	\$ 326.11
50827665	12/8/2016	Librarian's Choice	\$ 77.53
50827666	12/8/2016	Litman, Ellen W	\$ 1,050.00
50827667	12/8/2016	littleBits Electronics, Inc.	\$ 769.37
50827668	12/8/2016	Lucid Software Inc.	\$ 474.00
50827669	12/8/2016	MBS Engineering, Inc.	\$ 18,559.00
50827670	12/8/2016	Meehleis Modular Buildings, In	\$ 152,283.38
50827671	12/8/2016	Mitel Leasing	\$ 2,205.16
50827672	12/8/2016	Mitel Technologies Inc	\$ 995.50
50827673	12/8/2016	Mobile Modular Management Corp	\$ 2,023.00
50827674	12/8/2016	Moore, Constance	\$ 5,518.08
50827675	12/8/2016	Office Depot	\$ 3,029.71
50827676	12/8/2016	Otis Elevator Company	\$ 1,500.00
50827677	12/8/2016	Pacific Coast Trane Service &	\$ 3,036.50
50827678	12/8/2016	Pacific Rim Produce	\$ 4,477.25
50827679	12/8/2016	Patterson Medical Supply, Inc.	\$ 36.01
50827680	12/8/2016	Paxton Patterson	\$ 2,077.81
50827681	12/8/2016	PCMG, INC.	\$ 246.37
50827682	12/8/2016	Pearson Education	\$ 157.16
50827683	12/8/2016	Porter, Brooke	\$ 858.00
50827684	12/8/2016	Reading and Writing Project Ne	\$ 3,500.00
50827685	12/8/2016	Refrigeration Supplies Distrib	\$ 811.07
50827686	12/8/2016	RFC Wireless Inc	\$ 66.00
50827687	12/8/2016	Ricoh USA, Inc. - 15025	\$ 8,577.23
50827688	12/8/2016	Rockler Woodworking and Hardwa	\$ 89.31
50827689	12/8/2016	Roorda, Dawn	\$ 1,111.11
50827690	12/8/2016	Rydin Decal	\$ 860.75
50827691	12/8/2016	San Joaquin County Office of E	\$ 175.00
50827692	12/8/2016	Santa Clara County Office of E	\$ 270.00
50827693	12/8/2016	School Facility Consultants	\$ 2,000.00
50827694	12/8/2016	School Specialty Inc	\$ 272.49
50827695	12/8/2016	Seltzer, Kelley L	\$ 1,111.11
50827696	12/8/2016	Seneca Center	\$ 12,264.00
50827697	12/8/2016	Sherwin Williams	\$ 279.03
50827698	12/8/2016	Shred Works, Inc.	\$ 263.00
50827699	12/8/2016	Siemens Building Technologies	\$ 2,362.50
50827700	12/8/2016	Southwest School & Office Supp	\$ 1,767.49
50827701	12/8/2016	Speech Pathology Group Inc	\$ 51,519.44
50827702	12/8/2016	Sphero, Inc.	\$ 1,289.99
50827703	12/8/2016	SPOK, INC.	\$ 35.22
50827704	12/8/2016	Supplyworks	\$ 8,442.43
50827705	12/8/2016	Special Ed Reimbursement	\$ 129.16
50827706	12/8/2016	Synergy Enterprises, Inc.	\$ 4,164.00
50827707	12/8/2016	Texthelp Inc	\$ 390.00
50827708	12/8/2016	Toledo Physical Education Supp	\$ 1,998.56
50827709	12/8/2016	Waste Management	\$ 777.21
50827710	12/8/2016	West Lite-Supply Co Inc	\$ 253.60
50827711	12/8/2016	WEX Bank	\$ 755.70
50827712	12/8/2016	Alameda Municipal Power	\$ 49,742.88
50827713	12/8/2016	Lakeshore Learning	\$ 118.95
50827714	12/8/2016	Maxim Health Care Services Inc	\$ 9,516.50
50827715	12/8/2016	McMahon, Karen P.	\$ 2,000.00
50827716	12/8/2016	Meyer Plumbing Supply Co	\$ 232.85
50827717	12/8/2016	Mitel Leasing	\$ 192.42
50827718	12/8/2016	Mobile Modular Management Corp	\$ 1,602.00

Number	Date	Description	Withdrawal
50827719	12/8/2016	National Construction Rentals	\$ 205.86
50827720	12/8/2016	Office Depot	\$ 803.62
50827721	12/8/2016	Oriental Trading Co	\$ 34.73
50827722	12/8/2016	Otis Elevator Company	\$ 1,955.00
50827723	12/8/2016	Pacific Rim Produce	\$ 2,682.05
50827724	12/8/2016	Paganos Hardware Town Ctr	\$ 128.97
50827725	12/8/2016	R F MacDonald Company	\$ 6,927.13
50827726	12/8/2016	Red Tie Printing Inc.	\$ 180.68
50827727	12/8/2016	School Services of Calif Inc	\$ 750.00
50827728	12/8/2016	School Specialty Inc	\$ 149.46
50827729	12/8/2016	Sherwin Williams	\$ 212.68
50827730	12/8/2016	Smith's Gopher Trapping Servic	\$ 410.00
50827731	12/8/2016	Southwest School & Office Supp	\$ 248.37
50827732	12/8/2016	Supplyworks	\$ 7,705.49
50827733	12/8/2016	Therapro Inc	\$ 174.67
50827734	12/8/2016	Toys For Special Children, Inc	\$ 199.90
50827735	12/8/2016	Tracy Power Equip Inc	\$ 1,345.92
50827736	12/8/2016	US Bank	\$ 850.00
50827737	12/8/2016	US Bank Corporate Payment Syst	\$ 4,292.36
			\$ 1,888,394.26

I certify to the best of my knowledge and belief, the payments shown above are correct and have been approved by the governing board of the district.



Authorized Agent

12-8-16

Date

Bank Account: 7020010317, Bank Name: Union Bank Alameda County Treasurer, Description: Alameda County Treasurer Filtered By: Date					
Number	Date	Cleared	Description Deposit	Withdrawal	
50827789	12/20/2016		Balaian, Jennifer C	\$ 117.27	
50827790	12/20/2016		Balsiger, Janet	\$ 25.00	
50827791	12/20/2016		Basco, Mary Grace	\$ 30.89	
50827792	12/20/2016		Cisneros, Julian M	\$ 40.06	
50827793	12/20/2016		Duenas-Fernandez, Therese	\$ 103.25	
50827794	12/20/2016		Eberts, Megan	\$ 22.68	
50827795	12/20/2016		Elkin, Terri L	\$ 1,190.41	
50827796	12/20/2016		Falgares, Matthew J	\$ 53.14	
50827797	12/20/2016		Garcia, Alberto T	\$ 13.23	
50827798	12/20/2016		Grant, Shelley	\$ 25.00	
50827799	12/20/2016		Horton, Bruce	\$ 330.58	
50827800	12/20/2016		Huang, Zhaoying	\$ 98.01	
50827801	12/20/2016		Ignacio, Emmanuel A	\$ 90.00	
50827802	12/20/2016		Jackson, Latanya M	\$ 25.00	
50827803	12/20/2016		Krueger, Danielle R	\$ 33.37	
50827804	12/20/2016		Lindstrom, Daniel	\$ 86.40	
50827805	12/20/2016		McAllister, Susan	\$ 52.11	
50827806	12/20/2016		McCracken, Renee	\$ 276.31	
50827807	12/20/2016		McDaid, Ashley M.	\$ 47.68	
50827808	12/20/2016		Missaggia, Karyn	\$ 122.47	
50827809	12/20/2016		Patterson, Daniel R	\$ 25.00	
50827810	12/20/2016		Patterson, Montgomery	\$ 177.93	
50827811	12/20/2016		Pence, Marc	\$ 159.25	
50827812	12/20/2016		Shiro, Melody L	\$ 25.00	
50827813	12/20/2016		Thatcher-Stephens, Anne	\$ 325.00	
50827814	12/20/2016		Umali, Jacinto	\$ 45.00	
50827815	12/20/2016		Wang, Yi	\$ 123.98	
50827816	12/20/2016		Zazo, Kirsten	\$ 1,255.00	
50827817	12/20/2016		Learning A-Z	\$ 219.90	
50827818	12/20/2016		MailFinance	\$ 1,053.26	
50827819	12/20/2016		Spec.Ed.Reimb	\$ 69.99	
50827820	12/20/2016		Meehleis Modular Buildings, In	\$ 11,282.07	
50827821	12/20/2016		Niles Biological Inc	\$ 258.02	
50827822	12/20/2016		Office Depot	\$ 1,059.02	
50827823	12/20/2016		Paganos Hardware Mart	\$ 16.75	
50827824	12/20/2016		School Specialty Inc	\$ 2,387.42	
50827825	12/20/2016		Shred Works, Inc.	\$ 138.00	
50827826	12/20/2016		Southwest School & Office Supp	\$ 412.24	
50827827	12/20/2016		Star View Behavioral Health, I	\$ 20,243.19	
50827828	12/20/2016		Spec.Ed.Reimb	\$ 42.12	
50827829	12/20/2016		Toledo Physical Education Supp	\$ 484.64	
50827830	12/20/2016		Spec.Ed.Reimb	\$ 38.02	
50827831	12/20/2016		La Cheim School Inc	\$ 1,669.00	
50827832	12/20/2016		Lakeshore Learning	\$ 201.09	
50827833	12/20/2016		Lincoln	\$ 8,725.14	
50827834	12/20/2016		Loomis	\$ 441.30	
50827835	12/20/2016		MailFinance	\$ 276.63	
50827836	12/20/2016		Meehleis Modular Buildings, In	\$ 496,629.75	
50827837	12/20/2016		Meyer Plumbing Supply Co	\$ 246.50	
50827838	12/20/2016		Miller Pacific Engineering Gro	\$ 8,772.00	
50827839	12/20/2016		Miracle Playsystems Inc	\$ 132.14	
50827840	12/20/2016		Mitel Leasing	\$ 2,205.16	
50827841	12/20/2016		Mitel Technologies Inc	\$ 2,298.07	
50827842	12/20/2016		Mobile Modular Management Corp	\$ 1,633.00	
50827843	12/20/2016		Monoprice, Inc.	\$ 53.03	
50827844	12/20/2016		Moore, Constance	\$ 5,173.20	
50827845	12/20/2016		North Tower Environmental, Inc	\$ 10,480.00	
50827846	12/20/2016		O'Reilly Auto Parts	\$ 260.83	
50827847	12/20/2016		Orbach, Huff, Suarez & Henders	\$ 2,725.00	
50827848	12/20/2016		P&R Paper	\$ 5,204.98	

Bank Account: 7020010317, Bank Name: Union Bank Alameda County Treasurer, Description: Alameda County Treasurer Filtered By: Date					
Number	Date	Cleared	Description Deposit	Withdrawal	
50827849	12/20/2016		Pacific Child & Family Associa	\$ 15,629.42	
50827850	12/20/2016		Pacific Rim Produce	\$ 4,309.30	
50827851	12/20/2016		Paganos Hardware Mart	\$ 847.53	
50827852	12/20/2016		PESI, Inc.	\$ 259.18	
50827853	12/20/2016		Phillips Academy	\$ 25,760.50	
50827854	12/20/2016		Phonak Inc	\$ 100.00	
50827855	12/20/2016		Pioneer Contractors, Inc.	\$ 5,421.50	
50827856	12/20/2016		Porter, Brooke	\$ 759.00	
50827857	12/20/2016		Premier Healthcare Services LL	\$ 4,320.00	
50827858	12/20/2016		Quality Sound Inc	\$ 580.32	
50827859	12/20/2016		Quattrocchi Kwok Architects, I	\$ 63,568.54	
50827860	12/20/2016		Raskob Learning Institute And	\$ 2,293.44	
50827861	12/20/2016		Refrigeration Supplies Distrib	\$ 4,187.91	
50827862	12/20/2016		RFC Wireless Inc	\$ 66.00	
50827863	12/20/2016		Seneca Center	\$ 14,953.32	
50827864	12/20/2016		Sherwin Williams	\$ 83.48	
50827865	12/20/2016		Sierra Pacific Tours	\$ 5,140.00	
50827866	12/20/2016		SolarWinds, Inc.	\$ 900.00	
50827867	12/20/2016		Southwest School & Office Supp	\$ 2,378.34	
50827868	12/20/2016		Spectrum Center Inc.	\$ 66,078.00	
50827869	12/20/2016		Springstone School	\$ 2,320.00	
50827870	12/20/2016		Sprint	\$ 1,179.68	
50827871	12/20/2016		SPURR	\$ 41,969.28	
50827872	12/20/2016		Staffing Options & Solutions	\$ 5,616.00	
50827873	12/20/2016		Synovia Solutions, LLC	\$ 433.49	
50827874	12/20/2016		Takahashi Morris, Garner	\$ 909.00	
50827875	12/20/2016		TLC Child & Family Services	\$ 12,728.00	
50827876	12/20/2016		Valero Marketing And Supply Co	\$ 1,704.73	
50827877	12/20/2016		Ward's Science	\$ 1,463.47	
50827878	12/20/2016		Waste Management	\$ 1,130.32	
50827879	12/20/2016		4 Paws Goose Control, Inc.	\$ 2,250.00	
50827880	12/20/2016		Academy Of Alameda Middle Scho	\$ 10,093.83	
50827881	12/20/2016		Acellus Learning Services	\$ 1,000.00	
50827882	12/20/2016		ACP Direct	\$ 442.72	
50827883	12/20/2016		Acrell Inspection Services	\$ 11,208.80	
50827884	12/20/2016		ACSIG dental	\$ 130,471.03	
50827885	12/20/2016		ACTS	\$ 488.00	
50827886	12/20/2016		Spec.Ed.Reimb	\$ 14.26	
50827887	12/20/2016		Alameda Advertising & Recognit	\$ 119.28	
50827888	12/20/2016		Alameda Electrical Dist Inc	\$ 1,316.48	
50827889	12/20/2016		Alameda Municipal Power	\$ 35,216.62	
50827890	12/20/2016		AlphaCard	\$ 150.00	
50827891	12/20/2016		American Asphalt Repair & Resu	\$ 2,350.00	
50827892	12/20/2016		American Button Machines	\$ 360.73	
50827893	12/20/2016		American Logistics Company LLC	\$ 2,520.00	
50827894	12/20/2016		Analytical Behavior Consultant	\$ 3,750.00	
50827895	12/20/2016		AT&T	\$ 11,936.31	
50827896	12/20/2016		AT&T	\$ 41.13	
50827897	12/20/2016		AT&T Teleconferencing Center	\$ 103.37	
50827898	12/20/2016		Athens Baking Company, Inc.	\$ 866.35	
50827899	12/20/2016		Barnes & Noble	\$ 113.03	
50827900	12/20/2016		Bay Alarm	\$ 14,655.75	
50827901	12/20/2016		Bay Area Community Resource (B	\$ 42,792.05	
50827902	12/20/2016		Bayhill High School	\$ 4,332.00	
50827903	12/20/2016		Benchmark Home Elevator	\$ 728.75	
50827904	12/20/2016		Best Contracting Services, Inc	\$ 70,476.85	
50827905	12/20/2016		Best Instrument Repair Co	\$ 173.75	
50827906	12/20/2016		Blick Art Materials	\$ 77.71	
50827907	12/20/2016		Bochner, Laurie F	\$ 5,250.00	
50827908	12/20/2016		Boost! Leadership	\$ 2,386.10	

Bank Account: 7020010317, Bank Name: Union Bank Alameda County Treasurer, Description: Alameda County Treasurer Filtered By: Date					
Number	Date	Cleared	Description Deposit	Withdrawal	
50827909	12/20/2016		Bright Path Therapists, Inc.	\$ 6,006.00	
50827910	12/20/2016		BSREP Marina Village Owner LLC	\$ 43,711.23	
50827911	12/20/2016		Cal-Steam, Inc. #2504	\$ 3.61	
50827912	12/20/2016		Cal-Steam, Inc.	\$ 526.33	
50827913	12/20/2016		California Department of Educa	\$ 280.23	
50827914	12/20/2016		California School Public Relat	\$ 148.00	
50827915	12/20/2016		CalPERS	\$ 612,269.07	
50827916	12/20/2016		Carolina Biological Supply Co	\$ 292.15	
50827917	12/20/2016		Carper, Therese M.	\$ 216.00	
50827918	12/20/2016		CASCWA	\$ 40.00	
50827919	12/20/2016		CDW Government Inc	\$ 24,073.76	
50827920	12/20/2016		Cenergistic LLC	\$ 18,400.00	
50827921	12/20/2016		Children's Hospital Oakland	\$ 14,250.00	
50827922	12/20/2016		Spec.Ed.Reimb	\$ 17.28	
50827923	12/20/2016		Construction West Services Inc	\$ 3,834.00	
50827924	12/20/2016		Contra Costa Co Office of Ed	\$ 30,737.50	
50827925	12/20/2016		Cypress School	\$ 7,554.50	
50827926	12/20/2016		Daiohs First Choice Services	\$ 333.85	
50827927	12/20/2016		Danielsen Co	\$ 5,406.99	
50827928	12/20/2016		Davis Demographics & Planning	\$ 6,460.00	
50827929	12/20/2016		Del Corso 14, LLC	\$ 4,562.50	
50827930	12/20/2016		Diamond Ranch Academy	\$ 0.20	
50827931	12/20/2016		Digital Dolphin Supplies	\$ 671.48	
50827932	12/20/2016		Division of the State Architec	\$ 1,667.30	
50827933	12/20/2016		Durham School Services	\$ 711,081.87	
50827934	12/20/2016		E.L. Achieve, Inc.	\$ 2,216.55	
50827935	12/20/2016		Eagle Software	\$ 1,500.00	
50827936	12/20/2016		East Bay Blue Print	\$ 52.09	
50827937	12/20/2016		EBMUD	\$ 20,769.81	
50827938	12/20/2016		Ecolab Inc.	\$ 147.17	
50827939	12/20/2016		Economy Lumber Co	\$ 40.00	
50827940	12/20/2016		Ed Support Services	\$ 1,984.02	
50827941	12/20/2016		Ellen Hoke Corp	\$ 9,035.00	
50827942	12/20/2016		Encinal Hardware	\$ 286.59	
50827943	12/20/2016		Everbank Commercial Finance, I	\$ 8,243.04	
50827944	12/20/2016		Ewing Irrigation Products	\$ 995.09	
50827945	12/20/2016		Faltz Associates Inc	\$ 880.00	
50827946	12/20/2016		First Student Inc	\$ 613.87	
50827947	12/20/2016		Fix Air	\$ 150.97	
50827948	12/20/2016		Follett School Solutions Inc	\$ 151.36	
50827949	12/20/2016		Gappa, Cynthia L.	\$ 1,895.00	
50827950	12/20/2016		Gold Star Foods	\$ 15,293.26	
50827951	12/20/2016		Gopher	\$ 1,740.35	
50827952	12/20/2016		Grainger Inc	\$ 258.26	
50827953	12/20/2016		Hayes Distributing Inc	\$ 16,061.94	
50827954	12/20/2016		Heinemann	\$ 467.34	
50827955	12/20/2016		Home Depot Credit Services	\$ 4,381.42	
50827956	12/20/2016		Innovative Learning Concepts I	\$ 719.02	
50827957	12/20/2016		Institute for Multi-Sensory Ed	\$ 3,166.42	
50827958	12/20/2016		Intrepid Electronic Systems In	\$ 675.00	
50827959	12/20/2016		Spec.Ed.Reimb	\$ 24,359.00	
50827960	12/20/2016		Spec.Ed.Reimb	\$ 51.84	
50827961	12/20/2016		JP School Consulting Services	\$ 1,218.75	
50827962	12/20/2016		Junior Blind of America	\$ 735.00	
50827963	12/20/2016		Kelly Moore Paint Co Inc	\$ 139.86	
				\$ 2,843,297.00	

I certify to the best of my knowledge and belief the payments shown above
are correct and have been approved by the governing board of the district.

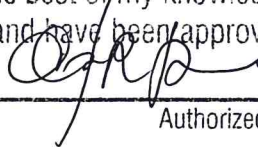
Authorized Agent

Date

Number	Date	Cleared	Description	Deposit	Withdrawal
50827975	1/6/2017		ACC Environmental		\$ 637.50
50827976	1/6/2017		Alameda Printing Services		\$ 183.96
50827977	1/6/2017		Anova Inc.		\$ 3,856.00
50828010	1/6/2017		Apple Computer Inc		\$ 3,639.03
50828011	1/6/2017		Arborwell, Inc.		\$ 4,042.00
50828012	1/6/2017		AT&T		\$ 134.96
50828013	1/6/2017		Athens Baking Company, Inc.		\$ 1,079.07
50828014	1/6/2017		AUSD Revolving Fund		\$ 895.85
50828015	1/6/2017		Bay Area Tree Care		\$ 650.00
50828016	1/6/2017		Boost! Leadership		\$ 2,860.10
50828017	1/6/2017		Bright Path Therapists, Inc.		\$ 4,967.64
50828018	1/6/2017		Bunzl California Inc		\$ 3,269.50
50828019	1/6/2017		Burns-McCloskey, Deborah		\$ 1,800.00
50828020	1/6/2017		CDW Government Inc		\$ 201,116.95
50828021	1/6/2017		Danielsen Co		\$ 8,253.97
50828022	1/6/2017		de Vries, Nancy-Kathryn B.		\$ 2,222.22
50828023	1/6/2017		Digital Dolphin Supplies		\$ 803.75
50828024	1/6/2017		Ed Support Services		\$ 1,106.67
50828025	1/6/2017		Edwards, Diana K.		\$ 4,444.44
50828026	1/6/2017		Ellen Hoke Corp		\$ 2,015.00
50828027	1/6/2017		Fagen Friedman & Fulfroest LLP		\$ 3,184.70
50828028	1/6/2017		Gachina Landscape Management		\$ 3,288.54
50828029	1/6/2017		Garrett, Lorri		\$ 2,550.00
50828030	1/6/2017		Special Ed Reduction		\$ 68.85
50828031	1/6/2017		Gold Star Foods		\$ 12,709.49
50828032	1/6/2017		Golling, Joseph A		\$ 520.00
50828033	1/6/2017		Grainger Inc		\$ 72.32
50828034	1/6/2017		Inquiry by Design		\$ 2,454.55
50828035	1/6/2017		Interpreters Unlimited		\$ 2,834.44
50828036	1/6/2017		Intrepid Electronic Systems In		\$ 1,612.88
50828037	1/6/2017		Special Ed Reduction		\$ 29,759.00
50828038	1/6/2017		KBA Docusys Inc.		\$ 4,829.70
50828039	1/6/2017		Kowalczyk, Joseph		\$ 458.50
50828040	1/6/2017		Plumbing The Bay		\$ 640.00
50828041	1/6/2017		Lakeshore Learning		\$ 680.01
50828042	1/6/2017		Lincoln		\$ 5,637.38
50828043	1/6/2017		Marine Mammal Center		\$ 550.00
50828044	1/6/2017		Special Ed Reduction		\$ 29.16
50828045	1/6/2017		Maxim Health Care Services Inc		\$ 8,250.00
50828046	1/6/2017		McMahon, Karen P.		\$ 2,000.00
50828047	1/6/2017		Mcor Telecom, Inc.		\$ 5,208.85
50828048	1/6/2017		Milestones		\$ 6,454.00
50828049	1/6/2017		Mitel Leasing		\$ 384.57
50828050	1/6/2017		Monoprice, Inc.		\$ 71.33
50828051	1/6/2017		Music In Motion		\$ 461.82
50828052	1/6/2017		Office Depot		\$ 10,238.55
50828053	1/6/2017		OnTrack and Field, Inc.		\$ 542.22
50828054	1/6/2017		P&R Paper		\$ 749.00
50828055	1/6/2017		Pacific Child & Family Associa		\$ 4,767.00
50828056	1/6/2017		Pacific Rim Produce		\$ 778.25
50828057	1/6/2017		Pardini, Paula M		\$ 2,500.00
50828058	1/6/2017		Perma Bound		\$ 105.89
50828059	1/6/2017		PESI, Inc.		\$ 226.60
50828060	1/6/2017		Professional Tutors of America		\$ 168.75
50828061	1/6/2017		Raskob Learning Institute And		\$ 1,720.08
50828062	1/6/2017		Roorda, Dawn		\$ 1,111.11

Number	Date	Cleared	Description	Deposit	Withdrawal
50828063	1/6/2017		School Based Reimbursement Par	\$	45.32
50828064	1/6/2017		School Specialty Inc	\$	99.63
50828065	1/6/2017		Schroeder Dent	\$	551.88
50828066	1/6/2017		Seltzer, Kelley L	\$	1,111.11
50828067	1/6/2017		Southpaw Enterprises	\$	741.89
50828068	1/6/2017		Southwest School & Office Supp	\$	1,176.14
50828069	1/6/2017		Speech Pathology Group Inc	\$	39,155.72
50828070	1/6/2017		Springstone School	\$	1,740.00
50828071	1/6/2017		Staffing Options & Solutions	\$	5,616.00
50828072	1/6/2017		Starfall Education Foundation	\$	2,700.00
50828073	1/6/2017		Supplyworks	\$	2,518.07
50828074	1/6/2017		Special Ed Reimbursement	\$	39.31
50828075	1/6/2017		Takahashi Morris, Garner	\$	828.00
50828076	1/6/2017		TwentiFive Design	\$	5,500.00
50828077	1/6/2017		WEX Bank	\$	740.98
50828078	1/6/2017		Bush, Michael Paul	\$	1,031.25
50828079	1/6/2017		Moore, Constance	\$	5,518.08
					\$ 434,709.53

I certify to the best of my knowledge and belief, the payments shown above are correct and have been approved by the governing board of the district.



Authorized Agent

1-6-17

Date

Bank Account: 7020010317, Bank Name: Union Bank Alameda County Treasurer, Description: Alameda County Treasurer Filtered By: Date					
Number	Date	Cleared	Descriptio Deposit	Withdrawal	
50828080	1/13/2017		Abrams, Sonia	\$ 21.92	
50828081	1/13/2017		Ahern, Kelsey	\$ 30.45	
50828082	1/13/2017		Basco, Mary Grace	\$ 81.00	
50828083	1/13/2017		Cisneros, Julian M	\$ 28.90	
50828084	1/13/2017		Donoghue, Tara E	\$ 271.19	
50828085	1/13/2017		Eberts, Megan	\$ 183.87	
50828086	1/13/2017		Falgares, Matthew J	\$ 51.52	
50828087	1/13/2017		Horton, Bruce	\$ 233.28	
50828088	1/13/2017		Lindstrom, Daniel	\$ 24.14	
50828089	1/13/2017		Lurie, David	\$ 137.71	
50828090	1/13/2017		McAllister, Susan	\$ 83.61	
50828091	1/13/2017		Missaggia, Karyn	\$ 98.82	
50828092	1/13/2017		Ralph, Joan L	\$ 52.76	
50828093	1/13/2017		Serrano, Jessica	\$ 100.60	
50828094	1/13/2017		Siltanen, Robert	\$ 86.29	
50828095	1/13/2017		Wong, Priscilla Y	\$ 12.48	
50828096	1/13/2017		Lathrop Construction Associate	\$ 540,659.60	
50828097	1/13/2017		Litman, Ellen W	\$ 1,050.00	
50828098	1/13/2017		Magellan Healthcare	\$ 5,091.39	
50828099	1/13/2017		Maxim Health Care Services Inc	\$ 974.16	
50828100	1/13/2017		Meehleis Modular Buildings, In	\$ 24,831.51	
50828101	1/13/2017		Meyer Plumbing Supply Co	\$ 87.11	
50828103	1/13/2017		Michael's Transportation Servi	\$ 2,832.00	
50828104	1/13/2017		Milestones	\$ 738.00	
50828105	1/13/2017		Mobile Modular Management Corp	\$ 1,602.00	
50828106	1/13/2017		Occupational Health Centers of	\$ 96.00	
50828107	1/13/2017		Office Depot	\$ 1,895.31	
50828108	1/13/2017		Omega Pest Control Inc	\$ 250.00	
50828109	1/13/2017		Orbach, Huff, Suarez & Henders	\$ 6,992.50	
50828110	1/13/2017		Pacific Rim Produce	\$ 4,040.05	
50828111	1/13/2017		Paganos Hardware Mart	\$ 306.67	
50828112	1/13/2017		Paxton Patterson	\$ 97.79	
50828113	1/13/2017		PC & MacExchange	\$ 2,190.00	
50828114	1/13/2017		PESI, Inc.	\$ 599.97	
50828115	1/13/2017		Quattrocchi Kwok Architects, I	\$ 143,314.91	
50828116	1/13/2017		Reading and Writing Project Ne	\$ 4,000.00	
50828117	1/13/2017		Refrigeration Supplies Distrib	\$ 1,019.84	
50828118	1/13/2017		S.B.C.A. Tree Consulting	\$ 200.00	
50828119	1/13/2017		Scholastic Magazine	\$ 211.86	
50828120	1/13/2017		Shiffler Equipment	\$ 24.12	
50828121	1/13/2017		Smith's Gopher Trapping Servic	\$ 410.00	
50828122	1/13/2017		Southwest School & Office Supp	\$ 1,127.87	
50828123	1/13/2017		Subtronic Corporation	\$ 1,130.00	
50828124	1/13/2017		Supplyworks	\$ 4,344.00	
50828125	1/13/2017		Toledo Physical Education Supp	\$ 752.36	
50828126	1/13/2017		Tucker, Bonnie C.	\$ 8,820.00	
50828127	1/13/2017		UC Regents	\$ 5,600.00	
50828128	1/13/2017		US Bank Corporate Payment Syst	\$ 2,354.08	
50828129	1/13/2017		Waste Management	\$ 683.62	
50828130	1/13/2017		Whitecastle Tours Inc	\$ 1,907.50	
50828131	1/13/2017		Special Ed Reimb	\$ 26.14	
50828132	1/13/2017		Academy Of Alameda Middle Scho	\$ 10,093.83	
50828133	1/13/2017		ACSIG	\$ 20,413.25	
50828134	1/13/2017		ACSIG dental	\$ 131,166.94	
50828135	1/13/2017		Special Ed Reimb	\$ 9.07	
50828136	1/13/2017		Alameda Arts	\$ 11,000.00	
50828137	1/13/2017		Alameda Electrical Dist Inc	\$ 2,875.86	
50828138	1/13/2017		Alameda Printing Services	\$ 761.03	
50828139	1/13/2017		American Logistics Company LLC	\$ 1,890.00	
50828140	1/13/2017		American Telesource Inc	\$ 85.00	

Bank Account: 7020010317, Bank Name: Union Bank Alameda County Treasurer, Description: Alameda County Treasurer Filtered By: Date					
Number	Date	Cleared	Description	Deposit	Withdrawal
50828141	1/13/2017		Apple Computer Inc		\$ 5,116.29
50828142	1/13/2017		ARCO Business Solutions		\$ 251.44
50828143	1/13/2017		Athens Baking Company, Inc.		\$ 134.50
50828144	1/13/2017		Audio Dynamix		\$ 102.93
50828145	1/13/2017		AUSD Revolving Fund		\$ 481.16
50828146	1/13/2017		Barnes & Noble		\$ 162.94
50828147	1/13/2017		Bay Area Community Resource (B		\$ 39,348.79
50828148	1/13/2017		Best Instrument Repair Co		\$ 365.00
50828149	1/13/2017		Blick Art Materials		\$ 12.60
50828150	1/13/2017		BSREP Marina Village Owner LLC		\$ 1,692.00
50828151	1/13/2017		CASBO		\$ 860.00
50828152	1/13/2017		Cascade Training Center		\$ 637.35
50828153	1/13/2017		CDW Government Inc		\$ 104,951.88
50828154	1/13/2017		Cenergistic LLC		\$ 18,400.00
50828155	1/13/2017		Choose College Educational Fou		\$ 10,227.00
50828156	1/13/2017		CIWA Inc		\$ 20,844.23
50828157	1/13/2017		Color New Co.		\$ 3,450.00
50828158	1/13/2017		Comtel Service Co		\$ 2,290.94
50828159	1/13/2017		Special Ed Reimb		\$ 16.65
50828160	1/13/2017		Crystal Creamery/Foster Farms		\$ 8,243.15
50828161	1/13/2017		Dannis Woliver Kelley		\$ 648.50
50828162	1/13/2017		Del Corso 14, LLC		\$ 4,937.50
50828163	1/13/2017		EBMUD		\$ 11,580.76
50828164	1/13/2017		Economy Lumber Co		\$ 189.74
50828165	1/13/2017		Edmentum		\$ 1,575.00
50828166	1/13/2017		Educational Testing Service		\$ 250.00
50828167	1/13/2017		Electronic File Folders, Inc.		\$ 3,216.00
50828168	1/13/2017		Encinal Hardware		\$ 518.04
50828169	1/13/2017		Encinal Nursery		\$ 21.85
50828170	1/13/2017		Engine Works Inc.		\$ 421.52
50828171	1/13/2017		Fix Air		\$ 1,062.52
50828172	1/13/2017		Follett School Solutions Inc		\$ 730.62
50828173	1/13/2017		Gachina Landscape Management		\$ 3,557.00
50828174	1/13/2017		Grainger Inc		\$ 1,260.45
50828175	1/13/2017		IDN-Wilco Inc		\$ 91.48
50828176	1/13/2017		Intrepid Electronic Systems In		\$ 567.44
50828177	1/13/2017		Island Print Express		\$ 935.13
50828178	1/13/2017		Jacobson, Deborah		\$ 5,000.00
50828179	1/13/2017		Special Ed Reimb		\$ 614.20
50828180	1/13/2017		Special Ed Reimb		\$ 48.60
50828181	1/13/2017		Kelly Moore Paint Co Inc		\$ 238.68
					\$ 1,205,107.76

I certify to the best of my knowledge and belief, the payments shown above are correct and have been approved by the governing board of the district.

Authorized Agent

Date

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Proclamation: Fred Korematsu Day of Civil Liberties and the Constitution - January 30, 2017

Item Type: Consent

Background: Fred T. Korematsu was one of the individuals who refused to comply with Civilian Exclusion Order 34, based on the federal Executive Order 9066, which imposed strict curfew regulations and required 120,000 Japanese Americans to leave their homes to be incarcerated in American concentration camps during World War II. Mr. Korematsu was arrested and convicted, but he fought back because he believed the conviction went against the basic freedoms guaranteed to him by the U.S. Constitution. Mr. Korematsu remained an activist for civil rights and received the Presidential Medal of Freedom from President Bill Clinton, and we proclaim January 30 as Fred Korematsu Day of Civil Liberties and the Constitution to honor his example of civil rights activism and civil disobedience in the name of equal rights for all Americans.

Goals: Routine Matter

Fund: N/A

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): N/A

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle:

Submitted By: Steven Fong, Chief Academic Officer

ATTACHMENTS:

Description	Upload Date	Type
❏ Proclamation: Fred Korematsu Day	1/18/2017	Backup Material

PROCLAMATION
Fred Korematsu Day of Civil Liberties and the Constitution
January 30, 2017

WHEREAS, the battle for civil liberties has been championed by ordinary Americans who have had the courage to stand up and fight for their basic Constitutional rights; and

WHEREAS, Fred T. Korematsu was one of these individuals who refused to comply with Civilian Exclusion Order 34, based on the federal Executive Order 9066, which imposed strict curfew regulations and required 120,000 Japanese Americans to leave their homes to be incarcerated in American concentration camps during World War II; and

WHEREAS, Mr. Korematsu was arrested and convicted but fought back because he believed the conviction went against basic freedoms guaranteed to him by the U.S. Constitution; and

WHEREAS, Mr. Korematsu's conviction was ultimately overturned in 1984, representing a decision that influenced the US government's passage of the Civil Liberties Act of 1988, thus recognizing that a grave injustice was done by forced relocation and incarceration of civilian Americans because of wartime prejudice; and

WHEREAS, current California law designates a number of days as having special significance when public schools are encouraged to observe and conduct suitable commemorative exercises as specified; and

WHEREAS, the History-Social Science Framework for California Public Schools, Kindergarten through Grade Twelve, states that the history curriculum at each grade level relating to community, state, region, nation and the world must reflect and integrate the experiences of men and women of different racial, religious and ethnic groups; and

WHEREAS, the California Assembly and State Senate passed AB 1775, thus establishing January 30 as the Fred Korematsu Day of Civil Liberties and the Constitution with Governor Arnold Schwarzenegger signing this bill into law on September 23, 2010.

NOW, THEREFORE, BE IT PROCLAIMED, that the Alameda Unified School District Board of Education encourages all schools and teachers to observe the Fred Korematsu Day of Civil Liberties and the Constitution on January 30 of every year, or the days surrounding it, and conduct exercises commemorating the life of Fred Korematsu and recognizing the importance of preserving civil liberties, even in times of real or perceived crisis.

PASSED AND ADOPTED this 24th day of January, 2017.

AYES: _____ MEMBERS: _____

NOES: _____ MEMBERS: _____

ABSENT: _____ MEMBERS: _____

Gary K. Lym, President
Board of Education
Alameda Unified School District
Alameda County, State of California

ATTEST:

By: _____
Sean McPhetridge, Secretary
Board of Education
Alameda Unified School District
Alameda County, State of California

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Proclamation: African American History Month - February

Item Type: Consent

Background: Each year the Board of Education recognizes the countless contributions that African Americans have made and proclaims February as African American History Month. The observance calls our attention to the extraordinary contributions African Americans have made to the rich cultural history of our nation.

Goals: Routine Matter

Fund: N/A

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): N/A

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle:

Submitted By: Steven Fong, Chief Academic Officer

ATTACHMENTS:

	Description	Upload Date	Type
▣	Proclamation: African American History Month	1/18/2017	Backup Material

PROCLAMATION
African American History Month
February 2017

WHEREAS, Americans of African descent have helped develop our nation in countless ways; and

WHEREAS, African American history reflects a determined spirit of perseverance and cultural pride in its struggle to equally share in the opportunities of a nation founded upon the principles of freedom and liberty for all people; and

WHEREAS, the history and contributions of African American citizens were consistently overlooked, misinterpreted and undervalued in the curriculum of public education institutions prior to the *Civil Rights Acts of 1964*; and

WHEREAS, African American citizens have participated in every effort to secure, protect and maintain the essence and substance of American democracy, as reflected by California *Education Code* Section 37221(d) which established March 5 as Black American Day to commemorate the anniversary of the death of Crispus Attucks, the first African American martyr of the Boston Massacre; and

WHEREAS, it is important that the history curriculum in our schools, at every grade level, reflects the experiences of men and women of different racial, religious and ethnic groups so that each student has an opportunity to understand the common humanity underlying all groups; develop pride in his or her own identity and heritage; and to understand, respect and accept the identity and heritage of others;

THEREFORE, BE IT PROCLAIMED that the Alameda Unified School District Board of Education proclaims February 2017 as African American History Month.

PASSED AND ADOPTED this 24th day of January, 2017

AYES:_____MEMBERS:_____

NOES:_____MEMBERS:_____

ABSENT:_____MEMBERS:_____

Gary K. Lym, President
Board of Education
Alameda Unified School District
Alameda County, State of California

ATTEST:

By:_____

Sean McPhetridge, Secretary
Board of Education
Alameda Unified School District
Alameda County, State of California

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Proclamation: Lincoln Day - February 12, 2017

Item Type: Consent

Background: Each year the Board of Education recognizes the countless contributions that Abraham Lincoln made and proclaims February 12, 2017 as Lincoln Day. The observance calls our attention to the extraordinary contributions Abraham Lincoln made to our country as the 16th President of the United States.

Goals: Routine Matter

Fund: N/A

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): N/A

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle:

Submitted By: Steven Fong, Chief Academic Officer

ATTACHMENTS:

Description	Upload Date	Type
□ Proclamation: Lincoln Day	1/18/2017	Backup Material

PROCLAMATION

Lincoln Day

February 12, 2017

WHEREAS, Abraham Lincoln was born in Hardin County, Kentucky, on February 12 in 1809; and

WHEREAS, Lincoln began his political career at the age of 23 in 1832 when he ran an unsuccessful campaign for the Illinois General Assembly as a Whig Party member; and

WHEREAS, Lincoln joined the newly formed Republican Party in 1854 and was nationally recognized during the 1858 debates with Stephen Douglas despite Douglas' win in the race for US Senator; and

WHEREAS, Lincoln won the presidency in 1860 and, despite being a Republican, rallied most northern Democrats to the Union case during the Civil War (1861-65); and

WHEREAS, known as the Great Emancipator, Rail Splitter and Honest Abe, Lincoln was the president throughout the American Civil War and is known for his struggle to preserve the Union and for his issuance of the Emancipation Proclamation; and

WHEREAS, John Wilkes Booth assassinated Lincoln at Ford's Theatre in Washington DC on April 14, 1865; and

WHEREAS, Lincoln's Birthday was first celebrated as a holiday in 1866, one year after his death; and

WHEREAS, many states have a joint holiday to honor both birthdays of Lincoln and George Washington, sometimes calling it President's Day.

THEREFORE, BE IT PROCLAIMED that the Alameda Unified School District Board of Education proclaims February 12, 2017 as Lincoln Day.

PASSED AND ADOPTED this 24th day of January, 2017.

AYES: _____ MEMBERS: _____

NOES: _____ MEMBERS: _____

ABSENT: _____ MEMBERS: _____

Gary K. Lym, President
Board of Education
Alameda Unified School District
Alameda County, State of California

ATTEST:

By: _____

Sean McPhetridge, Secretary
Board of Education
Alameda Unified School District
Alameda County, State of California

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Proclamation: President's Day - February 20, 2017

Item Type: Consent

Background: Each year the Board of Education recognizes the countless contributions that both Abraham Lincoln and George Washington made and proclaims February 20, 2017 as President's Day. The observance calls our attention to the extraordinary contributions both these Presidents made to our country.

Goals: Routine Matter

Fund: N/A

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): N/A

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle:

Submitted By: Steven Fong, Chief Academic Officer

ATTACHMENTS:

Description	Upload Date	Type
☐ Proclamation: President's Day	1/18/2017	Cover Memo

PROCLAMATION

President's Day

February 20, 2017

WHEREAS, Washington's Birthday is the official name designated to what many of us know as President's Day; and

WHEREAS, during the month of February, the birthdays of two of our greatest Presidents take place; and

WHEREAS, George Washington was born on February 22nd and Abraham Lincoln was born on February 12th; and

WHEREAS, Washington's Birthday was publicly celebrated since he was in office, before Abraham Lincoln was even born; and

WHEREAS, much of the debate over the name of the holiday springs from the fact that states can follow their own holidays how they see fit and many of them chose to also honor Lincoln, calling the celebration President's Day; and

WHEREAS, in 1968 the term President's Day came up for legal consideration in the Congress but was voted down, even though the holiday was moved to fall between the two Presidents' birthdays; and

WHEREAS, in the 1980s there was a resurgence of the term with advertisers which solidified the holiday name in American culture; and

WHEREAS, many states have a joint holiday to honor both Abraham Lincoln and George Washington, calling it President's Day.

THEREFORE, BE IT PROCLAIMED that the Alameda Unified School District Board of Education proclaims February 20, 2017 as President's Day.

PASSED AND ADOPTED this 24th day of January, 2017.

AYES: _____ MEMBERS: _____

NOES: _____ MEMBERS: _____

ABSENT: _____ MEMBERS: _____

Gary K. Lym, President
Board of Education
Alameda Unified School District
Alameda County, State of California

ATTEST:

By: _____

Sean McPhetridge, Secretary
Board of Education
Alameda Unified School District
Alameda County, State of California

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Resolution No. 2016-2017.51 Approval of Budget Transfers, Increases, Decreases

Item Type: Consent

Background: After the adoption of the proposed budget for the fiscal year, it is often necessary to make budgetary transfers and revisions. Budget transfers allow budget managers to redistribute funds as needs and plans change. Budget revisions allow the District to increase or decrease funds based on entitlements and grants actually received by the District.

Goals: Routine Matter

Fund: General Fund

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): Will increase revenues and expenditures in the District in the amount of \$35,659.10

Department Budget: Various

Recommendation: Approve as submitted.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization.| #6 - Allocation of funds must support our vision, mission, and guiding principles.| #7 - All employees must receive respectful treatment and professional support to achieve district goals.

Submitted By: Shariq Khan, Chief Business Officer

ATTACHMENTS:

Description	Upload Date	Type
□ Attachment A	1/17/2017	Backup Material
□ 2016-2017.51	1/17/2017	Resolution Letter

BUDGET REVISIONS

(Budget Revisions affect Fund Balance;
Amounts are either added or subtracted from Fund Balance)

School/Dept	Description	Amount
AHS	Donations	\$ 7,065.84
Bay Farm	Donations	\$ 9,836.00
Earhart	Donations	\$ 1,228.00
Encinal	Donations	\$ 1,212.00
Lincoln	Donations	\$ 2,590.22
Lum	Donations	\$ 4,149.11
Maya Lin	Donations	\$ 1,030.96
Paden	Donations	\$ 479.00
Ruby Bridges	Donations	\$ 6,067.97
Student Services	Donations	\$ 2,000.00

Total Donations \$ 35,659.10

ALAMEDA UNIFIED SCHOOL DISTRICT

Alameda, California

Resolution

Approval of Budget Transfers, Increases, Decreases

January 24, 2017

Resolution No. 2016-2017.51

WHEREAS, the state statutes require budget appropriations to be adopted by the Board of Education in the following object codes:

1000	Certificated Salaries
2000	Classified Salaries
3000	Employee Benefits
4000	Books and Supplies
5000	Services and Other Operating Expense
6000	Capital Outlay
7000	Other Sources and Uses

AND, WHEREAS, the Board of Education desires to change the adopted appropriations;

NOW, BE IT RESOLVED that the changes be made to the adopted appropriations as per Attachment A.

PASSED AND ADOPTED by the following vote this 24th day of January, 2017:

AYES: _____ MEMBERS: _____

NOES: _____ MEMBERS: _____

ABSENT: _____ MEMBERS: _____

Gary K. Lym,
President Board of Education
Alameda Unified School District

ATTEST:

By: _____

Sean McPhetridge, Secretary
Board of Education
Alameda Unified School District

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Resolution No. 2016-2017.52 Delegation of Authority to Purchase and Contract

Item Type: Consent

Background: Public Contract Code (PCC) Section 20111(a) requires school and community college district governing boards to competitively bid and award any contracts involving an expenditure of more than \$50,000, adjusted for inflation, to the lowest responsible bidder. The State Superintendent of Public Instruction (SSPI) is required to annually adjust the \$50,000 amount specified in PCC Section 20111(a). As of January 1, 2017, this amount was increased to \$88,300. School and community college district governing boards are required to competitively bid and award any of the following contracts involving an expenditure of more than \$88,300:

Purchase of equipment, materials, or supplies to be furnished, sold, or leased to the school district.

Non-specialized services that are not construction services.

Repairs, including maintenance, as defined in Public Contract Code Section 20115, that are not public projects as defined in Public Contract Code Section 22002(c).

Contracts for construction of public projects as defined in PCC Section 22002(c) still have a bid threshold of \$15,000. The definition of public project includes contracts for reconstruction, erection, alteration, renovation, improvement, demolition, and repair. This \$15,000 threshold is not adjusted for inflation.

PCC 20111 and 20112 allow the governing board of any school district to delegate the authority in the calendar year 2016 to enter into bidding contracts, and purchase supplies, materials, apparatus, equipment, and services for less than \$88,300 and for less than \$15,000 for construction contracts.

The authority to purchase is delegated to the:

Superintendent of Schools
Chief Academic Officer
Chief Student Support Officer
Chief Business Officer
Chief Human Resources Officer
General Counsel

Attached is the State's Annual Adjustment Guidelines.

The raising of the bid limit in response to the SSPI's adjustment is a routine and annual Board item.

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): N/A

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization. | #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By: Shariq Khan, Chief Business Officer

ATTACHMENTS:

Description	Upload Date	Type
❑ 2016-2017.52	1/18/2017	Resolution Letter
❑ CDE Bid Threshold	1/18/2017	Backup Material

ALAMEDA UNIFIED SCHOOL DISTRICT
Alameda, California
Resolution

January 24, 2017

Resolution No. 2016-2017.52

DELEGATION OF AUTHORITY TO PURCHASE AND CONTRACT

WHEREAS, the Board of Education desires to streamline the procurement of goods and services for the Alameda Unified School District, and

WHEREAS, delays in contracting and purchasing may cause increased cost and decreased services to the classroom, and

WHEREAS, Education Code §35161 allows the governing board of any school district to delegate authority to an officer of the district powers or duties delegated to it by law, and

WHEREAS, Education Code §17604 provides that whenever state law invests the Board of Education with the power to enter into contracts on behalf of the District, the Board may, by a majority vote, delegate this power to the Superintendent or designee, and

WHEREAS, Public Contract Code §20111 requires school district governing boards to award any contract involving an expenditure of more than \$86,000 for the purchase of supplies, materials, equipment, and non-specialized services other than for construction and any contract for a public project involving an expenditure of \$15,000 or more to the lowest responsible bidder, and

WHEREAS, Board Policies 3312 (Contracts) and 3300 (Expenditures and Purchases) authorize the Superintendent or designee to enter into contracts on behalf of the Alameda Unified School District and to purchase supplies, materials, apparatus, equipment, and services up to the amounts specified in Public Contract Code 20111,

NOW, THEREFORE, BE IT RESOLVED, that for the fiscal year 2015-2016 the Alameda Unified School District Board of Education hereby delegates the authority contained in Public Contract Code §20111 and Education Code §17604 to the Superintendent of Schools, Chief Academic Officer, Chief Student Support Officer, Chief Business Officer, Chief Human Resources Officer, and General Counsel; and

THEREFORE, BE IT FURTHER RESOLVED, that this delegation is limited to expenditures of less than \$88,300; and

THEREFORE, BE IT FURTHER RESOLVED, that contracts requiring the expenditure of between \$25,000-\$88,299 approved under this delegation of authority must be ratified by the Board of Education within sixty (60) days of incurring the expense.

PASSED AND ADOPTED by the following vote this 24th day of January, 2017:

AYES: _____ MEMBERS: _____

NOES: _____ MEMBERS: _____

ABSENT: _____ MEMBERS: _____

Gary K. Lym,
President Board of Education
Alameda Unified School District

ATTEST:

By: _____
Sean McPhetridge, Secretary
Board of Education
Alameda Unified School District



Home / Finance & Grants / Accounting / Correspondence

**California Department of Education
Official Letter**

December 14, 2016

Dear County and District Superintendents, County and District Chief Business Officials, and Charter School Administrators:

**ANNUAL ADJUSTMENT TO BID THRESHOLD FOR CONTRACTS
AWARDED BY SCHOOL DISTRICTS**

Public Contract Code (PCC) Section 20111(a) requires school district governing boards to competitively bid and award any contracts involving an expenditure of more than \$50,000, adjusted for inflation, to the lowest responsible bidder. Contracts subject to competitive bidding include:

1. Purchase of equipment, materials, or supplies to be furnished, sold, or leased to the school district.
2. Services that are not construction services.
3. Repairs, including maintenance as defined in *PCC* Section 20115, that are not public projects as defined in *PCC* Section 22002(c).

The State Superintendent of Public Instruction (SSPI) is required to annually adjust the \$50,000 amount specified in *PCC* Section 20111(a) to reflect the percentage change in the annual average value of the Implicit Price Deflator for State and Local Government Purchases of Goods and Services for the United States, as published by the United States Department of Commerce, for the 12-month period ending in the prior fiscal year. The inflation adjustment is rounded to the nearest one hundred dollars (\$100).

Pursuant to the above calculation, and effective January 1, 2017, the SSPI has determined that the inflation adjusted bid threshold will increase by \$500, from \$87,800 to \$88,300. Shown below are the inflation adjusted bid thresholds for the current and two prior years.

Calendar Year	Bid Threshold	Percentage Change in Implicit Price Deflator
2015	\$86,000	2.26%
2016	\$87,800	2.12%
2017	\$88,300	.626%

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Resolution No. 2016-2017.53 Authorization to Dispose of Surplus Property

Item Type: Consent

Background: Education Code Sections 17545 and 17546 authorize that the Board of Education may through its designated agent legally dispose of surplus equipment that is in such a state of obsolescence or disrepair that it should be disposed of and removed from district inventory.

Exhibit A of Resolution No. 2016-2017.53 contains a list of surplus equipment that is broken, damaged, or obsolete and property to be transferred that is no longer required for district use and should be disposed of.

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): N/A

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization.

Submitted By: Shariq Khan, CBO

ATTACHMENTS:

Description	Upload Date	Type
❑ 2016-2017.53	1/17/2017	Resolution Letter
❑ Exhibit A	1/17/2017	Backup Material

ALAMEDA UNIFIED SCHOOL DISTRICT
Alameda, California
Resolution

January 24, 2017

Resolution No. 2016-2017.53

AUTHORIZATION TO DISPOSE OF SURPLUS PROPERTY

WHEREAS, the state requires a resolution to be adopted by the Board of Education for the property transfer or retirement of used and obsolete equipment used in Management Operations Facilities as listed in

Exhibit A - Property Transfer or Retirement Forms

AND WHEREAS, the Board of Education desires to change the adopted appropriations,

NOW, THEREFORE, BE IT RESOLVED that the changes be made to the adopted appropriations as per Exhibit A.

PASSED AND ADOPTED by the following vote this 24th day of January, 2017:

AYES: _____ MEMBERS: _____

NOES: _____ MEMBERS: _____

ABSENT: _____ MEMBERS: _____

Gary K. Lym, President Board of Education
Alameda Unified School District
Alameda County, State of California

ATTEST:

By: _____
Sean McPhetridge, Superintendent
Secretary of the Board of Education
Alameda Unified School District

Alameda Unified School District

Fiscal Department: Purchasing
2060 Challenger Drive, Alameda, CA 94501
Phone 510.337.7087 Fax 510.337.2322

Property Transfer or Retirement Form

From

Current Location

To:

New Location

Quantity	Item Description	Model or Serial Number	AUSD Number	Condition
1	infocus projector	AULC72002275	10633	All items listed - 3
1	"	BJBK24501394	none	
1	"	BFVM10702987	1634	
1	"	AULC72000859	1042	
1	"	BFVM10703217	35027	
1	"	AVFC73100224	2737	
1	dell latitude d830 laptop	74VG2G1	5894	
1	"	BCZ6WG1	10624	
1	dell latitude d630 laptop	B9HF6H1	8225	
1	"	7cjl4g1	8232	
1	"	D1ZJLF1	8227	
1	"	HYLK5H1	8105	
1	"	BG93QG1	8230	
1	"	1X7ZHH1	8231	
1	"	H2JLKH1	8229	
1	"	9M1QDH1	8234	
1	"	6J93QG1	8221	
1	dell latitude d630 laptop	CNL56H1	8233	
1	"	9ZK9QH1	11591	
1	dell latitude d520 laptop	81FMWD1	2351	
1	dell latitude e6400 laptop	7PJ75M1	11588	
1	dell latitude d830 laptop	5Q1M2H1	14011	
1	dell latitude d420 laptop	C2JPWC1	9564	
1	dell latitude d630 laptop	DJP3TF1	8322	
1	hp 1730 monitor	CNP428V13S	none	
1	"	CNK427W920	none	
1	"	CNK427W628	none	
1	"	CNK42806CX	none	
1	"	CNK427W126	none	
1	"	CNP428V174	none	

1	"	CNK60504TS	none	
1	"	CNP428V075	none	
1	"	CNK427W897	none	
1	dell optiplex 745	8L1H2D1	8298	
1	"	3p5w33j	9551	
1	"	BW8P6D1	10881	
1	"	JGRL4D1	10079	
1	"	9QXWPD1	10812	
1	"	8QF4JD1	2301	
1	"	2K3M5F1	10061	
1	"	8XXVYD1	10019	
1	"	9137FC1	10022	
1	"	6RP86D1	10030	
1	"	3NQKYC1	1079	
1	"	12ny63j	10093	
1	"	7K6HX2J	10105	
1	dell optiplex 745	7t3833j	10095	
1	"	CSP74D1	10055	
1	"	2BM74D1	10032	
1	"	3FNRZD1	8328	
1	"	1NR5JC1	1379	
1	"	FF87FD1	6198	
1	"	9RZ02D1	1723	
1	"	FL15SD1	1321	
1	"	6H1DLD1	8035	
1	"	1QNP6C1	1319	
1	"	2G9JVD1	1322	
1	"	9FQCLF1	1320	
1	"	JK1J1D1	8330	
1	"	46L6FD1	6175	
1	"	1ZWZGC1	10054	
1	dell optiplex 755	HGG5WH1	1588	
1	"	2HG5WH1	1586	
1	"	D35MDG1	1580	
1	"	H35MDG1	1591	
1	"	FJNS7G1	1543	
1	"	JXV23F1	8002	
1	"	3C2FHH1	1831	
1	"	HLWP3H1	4183	
1	"	7HG5WH1	1787	
1	"	CMT0HG1	1961	

1	"	D7XC8F1	5820	
1	"	9Q817J1	6206	
1	"	6Q817J1	2668	
1	"	2MT0HG1	1491	
1	dell optiplex 755	32V0HG1	2034	
1	"	HZZ06H1	2310	
1	dell optiplex 760	74GD5J1	none	
1	"	96VJYK1	8809	
1	"	76VJYK1	8583	
1	hp 1730 monitor	CNK427V901	none	
1	"	CNP428V07K	none	
1	"	CNK427V822	none	
1	"	CNP428V17Z	none	
1	"	CNP429V0HK	none	
1	"	CNK427W903	none	
1	dell monitor 1707	CN0CC352641806CB0M9S	none	
1	"	CN0CC3526418063H0MZS	none	
1	"	CN0CC352641806BP4E2S	none	
1	"	CN0CC28071618675CEUA	none	
1	"	CN0CC28071618677AK4F	none	
1	"	CN0CC352641806BN0LXS	none	
1	"	CN0Y983371618741AFCC	none	
1	"	CN0Y429971618621AD7M	none	
1	"	CN0TP22273731866A3LC	none	
1	"	CN0TP22273731866AC7C	none	
1	"	CN0C182J7444597FD5NS	none	
1	"	CN0TP22273731866AC5C	none	
1	"	CN0R229K742618AQ08KS	none	
1	"	CN0R229K742618AQ0A4S	none	
1	"	CN02Y3157161843RAGBZ	none	
1	"	CN0TP2196418082P2T4C	none	
1	"	CN0TP2196418076754QS	none	
1	dell monitor 1908	CN0UW5386418082J2P9H	none	
1	dell monitor 1908	CN0UW5386418082J199H	none	
1	"	CN0UW5386418082L0FZH	none	
1	dell optiplex 745	3Z9DGD1	6137	
1	"	45G563J	10088	
1	"	HYV6KC1	3010	
1	"	DYH2PC1	11648	
1	dell optiplex 755	3R817J1	5427	
1	"	2BXC8F1	5874	

1	"	8R65HF1	11882	
1	"	70016H1	2402	
1	"	BYZ06H1	2399	
1	"	GYZ06H1	2407	
1	"	H0016H1	2404	
1	"	J0016H1	2405	
1	"	D0016H1	2387	
1	"	CYZ06H1	2381	
1	"	1ZZ06H1	2410	
1	"	HYZ06H1	2409	
1	"	G0016H1	2403	
1	"	B2LX6J1	3769	
1	"	GZZ06H1	2383	
1	"	3KMHRF1	2258	
1	"	6ZZ06H1	2393	
1	"	CZZ06H1	2398	
1	"	50016H1	2389	
1	"	DZZ06H1	2380	
1	"	9YZ06H1	2386	
1	"	60016H1	2397	
1	"	5ZZ06H1	2382	
1	dell optiplex 755	10016H1	2396	
1	"	80016H1	2391	
1	"	JZZ06H1	2401	
1	"	FYZ06H1	2406	
1	"	8YZ06H1	2395	
1	"	2ZZ06H1	2400	
1	"	11016H1	2388	
1	"	9ZZ06H1	2384	
1	"	20016H1	2411	
1	"	7ZZ06H1	2408	
1	"	4ZZ06H1	2390	
1	"	3ZZ06H1	2412	
1	elmo doc cam	525972	2515	
1	"	48075	none	
1	infocus projector	AVFC71100158	2888	
1	hitachi projector	F7CU08608	2615	
1	brother mfc-8440 printer	U61034M4J391667	5807	
1	hp pro 400 printer	CNDF311453	none	
1	dell optiplex 960	CV3TVK1	none	
1	"	5V3TVK1	5616	

1	hp/compaq	MXD41300WK	1497	
1	dell precision t3400	1VHMH1	none	
1	dell latitude 2120	95NSSQ1	10449	
1	compaq tc4200	CND5290405	5791	
1	hp 1730 monitor	CNP428V1NG	none	
1	optiplex gx280	FRTM571	3728	
1	optiplex gx620	654JPB1	2861	
1	hp 5000 ssf	USW431096T	5738	
1	"	USW43107TC	2016	
1	"	USW431096Q	5737	
1	dell optiplex 755	9896HF1	2948	
1	"	3GCVHH1	3612	
1	dell optiplex 745	9K1J1D1	9004	
1	"	DCFCLC1	8308	
1	"	J6HWRC1	8064	
1	"	4D9B6D1	8104	
1	"	5r5hx2j	9453	
1	"	2dpy63j	8340	
1	"	JQQC5D1	5734	
1	"	2tg263j	8415	
1	"	9FCB5D1	5393	
1	"	5F87FD1	6187	
1	"	22L6FD1	6178	
1	"	80BDGD1	6167	
1	"	6H87FD1	6166	
1	"	DH87FD1	6165	
1	"	D2L6FD1	6177	
1	"	2H87FD1	6164	
1	"	JH87FD1	6180	
1	"	J0L6FD1	6176	
1	"	BB26FD1	6162	
1	"	1C26FD1	6169	
1	"	85L6FD1	6181	
1	"	7F87FD1	6168	
1	"	6D26FD1	6174	
1	"	J0BDGD1	6179	
1	"	6D87FD1	6184	
1	"	4Z6GFC1	8027	
1	"	2cw733j	9575	
1	"	JR4XSC1	none	
1	dell optiplex 745	2DC1KD1	10027	

**E-waste – Please Submit This Form to the Technology Dept.
Furniture & Equipment – Please Submit This Form to MOF**

Approved and Released by Site Administrator Signature: _____

Director Signature: _____

Forward Director Approved Form to Business Services

Chief Business Officer Signature: _____

BOE Consent Item Approval (Date): _____

Forward Form to Purchasing

Posted to Inventory Control by Purchasing (Date): _____

Condition Code:

1	Excellent
2	Fair
3	Poor-Retire

Rev. 01-28-2015

Fiscal Department: Purchasing
2060 Challenger Drive, Alameda, CA 94501
Phone 510.337.7087 Fax 510.337.2322

From **District office**
Current Location

To: **e-waste**
New Location

[illegible]

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**E-waste – Please Submit This Form to the Technology Dept.
Furniture & Equipment – Please Submit This Form to MOF**

Approved and Released by Site Administrator Signature: _____

Director Signature: _____



Forward Director Approved Form to Business Services

Chief Business Officer Signature: _____

BOE Consent Item Approval (Date): _____

Forward Form to Purchasing

Posted to Inventory Control by Purchasing (Date): _____

Condition Code:

1	Excellent
2	Fair
3	Poor-Retire

Rev. 01-28-2015

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Approval of Measure I Contracts (Standing Item)

Item Type: Consent

Background: Alameda voters approved Measure I in November 2014, and the Bond Program Project Schedule: Option 5A was approved at the April 14, 2015 board meeting.

As the bond program schedule dictates, there will be various contracts to come before the Board for approval. Contracts may include construction bid contracts, architectural services contract addenda, specialists/consultants agreements, etc. Staff has created a standing board item to approve the bond program contracts to keep the bond program contracts separate from the approval of other contracts.

The following contracts related directly to the Measure I Bond Program are presented for ratification:

1. (Fund 21) Project Addendum No. 211612 to Agreement for Architectural Services for Measure I Bond Projects between AUSD and QKA for an estimated construction cost budget of \$3,318,525.00.
2. (Fund 21) Project Addendum No. 211603 to Agreement for Architectural Services for Measure I Bond Projects between AUSD and QKA for an estimated construction cost budget of \$1,775,025.00.
3. (Fund 21) Project Addendum No. 211604 to Agreement for Architectural Services for Measure I Bond Projects between AUSD and QKA for an estimated construction cost budget of \$1,080,450.00.
4. (Fund 21) Project Addendum No. 211613 to Agreement for Architectural Services for Measure I Bond Projects between AUSD and QKA for an estimated construction cost budget of \$30,484,125.00.
5. (Fund 21) Fee Increase Proposal to Project Addendum No. 211512 to Agreement for Architectural Services for Measure I Bond Projects between AUSD and QKA for a fee increase of \$89,099.00.
6. (Fund 21) Proposal for Measure I ACLC/NEA between AUSD and QKA for a proposed fee of \$202,753.00.
7. (Fund 21) Proposal for Measure I Chipman/AOA between AUSD and QKA for a proposed fee of \$126,350.00.
8. (Fund 21) Proposal for Measure I Encinal Jr. and Sr. High School between AUSD and QKA for a proposed fee of \$2,626,230.00.
9. (Fund 21) Proposal for Measure I Haight between AUSD and QKA for a proposed fee of \$359,353.00.
10. (Fund 21) Project Addendum No. 211512 to Agreement for Architectural Services for Measure I Bond Projects between AUSD and QKA for a fee increase of \$89,099.00.
11. (Fund 21) Project Addendum No. 211514 to Agreement for Architectural Services for Measure I Bond Projects between AUSD and QKA for a fee increase of \$29,600.00.

Goals: Create a system of attractive school options

Fund:

Fund Codes: 21 Building – Bond Fund

Fiscal Analysis

Amount (Savings) (Cost): See attached contract(s) for detailed expenditures.

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization. | #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By: Shariq Khan, Chief Business Officer

ATTACHMENTS:

Description	Upload Date	Type
❑ Project Addendum 211612	1/17/2017	Backup Material
❑ Project Addendum 211603	1/17/2017	Backup Material
❑ Project Addendum 211604	1/17/2017	Backup Material
❑ Project Addendum 211613	1/17/2017	Backup Material
❑ Proposal for Increase	1/17/2017	Backup Material
❑ Proposal ACLC/NEA	1/17/2017	Backup Material
❑ Proposal Chipman/AOA	1/17/2017	Backup Material
❑ Proposal EHS	1/17/2017	Backup Material
❑ Proposal Haight	1/17/2017	Backup Material
❑ Project Addendum 211512	1/17/2017	Backup Material
❑ Project Addendum 211514	1/17/2017	Backup Material

**PROJECT ADDENDUM NO. 211603
TO
AGREEMENT FOR ARCHITECTURAL SERVICES FOR MEASURE I BOND PROJECTS**

This Project Addendum No. 211603 to Agreement for Architectural Services for Measure I Bond Projects ("Addendum") is made as of January 24, 2017, and forms a part of the Agreement for Architectural Services between **Alameda Unified School District**, a California public school district ("District") and **Quattrocchi Kwok Architects, Inc.** ("Architect") (collectively "Parties") dated on or about December 19, 2016 ("Agreement"). This Addendum incorporates Services to be performed by Architect for the following project(s) ("Project"):

Woodstock (ACLC/NEA) Modernization

The scope of work may include, but is not limited to the following, plus the following assumptions:

- Implementation of District Safety and Security Standards as budget allows.
- Implementation of District Technology and Communication Standards as budget allows and as standards are developed and approved.
- Critical Mechanical, Electrical, and Plumbing upgrades as budget allows.
- Cost estimates for 90% schematic design and construction documents
- DSA submittal based on 95-99% complete set of construction documents
- QKA performs in-house constructability review on the DSA submittal set.
- In addition to preparation of construction documents, QKA will also obtain DSA approval and assists the District with Bidding, Construction Administration, and Project Closeout
- Scope of work does not include: site topographic and utility surveys, soil reports.

This Addendum modifies the Agreement. By signing where indicated below, each party acknowledges and accepts the modifications as indicated in this Addendum. All other terms and conditions of the Agreement shall remain in full force and effect.

Article 3. Architect Staff

- 3.1. The Architect has been selected to perform the Services herein because of the skills and expertise of key individuals.
- 3.2. The Architect agrees that the following key people in Architect's firm shall be associated with the Project in the following capacities:
- | | |
|----------------------|------------------|
| Principal in Charge: | Mark Quattrocchi |
| Project Associate | Nick Stephenson |
| Project Architect: | TBD |
- 3.2.1. The Architect agrees to contract for or employ at Architect's expense, the following consultant(s) to be associated with the Project in the following capacities:
- | | |
|----------------------|-----------------|
| Civil Engineer: | Brelje & Race |
| Structural Engineer: | ZFA |
| Mechanical Engineer: | Costa Engineers |
| Electrical Engineer: | O'Mahony & Myer |
- 3.3. The Architect shall not change any of the key personnel listed above without prior written approval by District, unless said personnel cease to be employed by Architect. In either case, District shall be allowed to interview and approve replacement personnel.

- 3.4. If any designated lead or key person fails to perform to the satisfaction of the District, then upon written notice the Architect shall have five (5) days to remove that person from the Project and replace that person with one acceptable to the District. All lead or key personnel for any consultant must also be designated by the consultant and are subject to all conditions previously stated in this paragraph.
- 3.5. Architect represents that the Architect has no existing interest and will not acquire any interest, direct or indirect, which could conflict in any manner or degree with the performance of Services required under this Agreement and that no person having any such interest shall be employed by Architect.
- 3.6. Architect shall comply with Education Code section 17302(a) and agrees that any plans and/or specifications included in the Services shall be prepared under the supervision of licensed personnel, and that licensed personnel shall be in "responsible charge" of persons who observe the construction.

Article 6. Fee And Method Of Payment

- 6.1. District shall pay Architect for all Services contracted for under this Agreement an amount equal to the following ("Fee"):
- 6.1.2 **Pre-Design / Architectural Program Development Phase through Project Close-Out Phases:**
An amount not to exceed the figure derived from the Construction Cost Budget, including all subconsultants' fee(s), based on the following fee schedule:

**Woodstock (ACLC/NEA) Modernization
Architect Fee – OPSC funding – NEW CONSTRUCTION**

Total Cumulative	Estimated Construction Cost Budget (Hard Costs)		% of Hard Costs	Estimated Total
	1st 500k	\$0.00	9.00%	
	2nd 500k	\$0.00	8.50%	
	next \$1m	\$0.00	8.00%	
	next \$4m	\$0.00	7.00%	
	next \$4m	\$0.00	6.00%	
	in excess of \$10m	\$0.00	5.00%	
		Architectural Estimated Fee:		
Reimbursable expenses not to exceed: (Not part of architectural estimated fee)				
Estimated Construction Cost Budget:				

Woodstock (ACLC/NEA) Modernization Project

Architect Fee – OPSC funding – MODERNIZATIONS

Total Cumulative	Estimated Construction Cost Budget (Hard Costs)		% of Hard Costs	Estimated Total
	1st 500k	\$0.00	12.00%	\$60,000
	2nd 500k	\$0.00	11.50%	\$57,500
	next \$1m	\$0.00	11.00%	\$85,253
	next \$4m	\$0.00	10.00%	
	next \$4m	\$0.00	9.00%	
	in excess of \$10m	\$0.00	8.00%	
Total Estimated Fee:				\$202,753
Reimbursable expenses not to exceed: (Not part of architectural estimated fee)				\$10,138
Estimated Construction Cost Budget:				\$1,775,025

BASIC SERVICES: For all “Basic Services” compensation in the amount of a percentage of construction as follows:

All fees are based on the stated percentage of “total construction costs” for the project and the said compensation applies to work let under a single construction contract. “Total construction costs” for purposes of this paragraph shall mean the total amount of money derived by adding the contractor’s bid for the project accepted by District and any Additive Bid Alternates (whether awarded or not) and Change Orders agreed to by District and the contractor which require additional architectural services (deductive Change Orders and deductive Bid Alternatives shall not reduce “total construction costs” for purposes of this paragraph). For the Lease-Leaseback delivery method “total construction costs” for purposes of this paragraph shall mean the Guaranteed Maximum Price (GMP) inclusive of GMP contingencies, agreed to by District plus any additive construction Change Orders agreed to by District.

Each portion of the project let separately on a segregated bid basis shall be considered a separate project for purposes of determining the fee.

For purposes of computing initial fees based upon “total construction costs” the parties estimate such costs at \$1,775,025, resulting in an initial fee of \$202,753. This estimate may be revised by the parties at any time to more accurately reflect actual “total construction costs” as such costs become apparent from later estimates, the award of the contract of GMP, or additive change orders agreed to by District and contractor. Any revision must be agreed to by District and Architect and reduced to writing.

- 6.2. District shall pay Architect the Fee for all Services performed and pursuant to the provisions of **Exhibit “D.”**
- 6.3. Architect shall bill its work under this Agreement in accordance with **Exhibit “D.”**
- 6.4. No increase in Fee will be due from change orders caused by Architect’s error or omission unless (1) the change order resulted in the District requiring Architect to provide additional services and (2) for

omissions only, the omission did not result in an increase to the cost of the Project as commissioned. District will meet and confer with Architect before denying a Fee increase based on subsection (2).

- 6.5. The Architect's Fee set forth in this Agreement shall be full compensation for all of Architect's Services incurred in the performance hereof as indicated in **Exhibit "D."**
- 6.6. Regardless of the structure of Architect's Fee, the Architect's Fee may be adjusted downward if the Scope of Services of this Agreement is reduced by the District in accordance with this Agreement.

Exhibit "A," Section I (MEETINGS / SITE VISITS / WORKSHOP)

Add the following to indicate the number of meetings for each Phase as applicable:

To be determined.

Exhibit "B," Section K (CRITERIA AND BILLING FOR EXTRA SERVICES)

Replace with the following:

The following rates, which include overhead, administrative cost and profit, shall be utilized in arriving at the fee for Extra Work and shall not be changed for the term of the Agreement, unless agreed to in writing by the Parties and approved by the District's Board.

QKA BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Architect - Principal	\$ 215.00
Architect - Associate	\$ 200.00
Project Architect/Designer	\$ 195.00
Job Captain	\$ 185.00
Construction Admin Project Manager	\$ 185.00
Specifications Writer	\$190.00
CADD/Drafting/BIM Modeler	\$170.00
Construction Admin Technician	\$160.00
Clerical	\$110.00

Consultant BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Managing Principal	
Senior Vice President/Regional Vice President	
Director of Cost Management	
Senior Cost Manager	
Cost Manager	
Cost Management Technician/Coordinator	

Exhibit "C" (SCHEDULE OF WORK)

Add the following to indicate the schedule for Architect's performance of the Project:

Phase	Date to be Completed
Pre- Design/Architectural Program Development Phase:	_____
For Schematic Design Phase:	_____
For Design Development Phase:	_____
For Construction Documents Phase:	_____
For Bidding Phase:	_____
For Construction Administration Phase:	_____
For Close Out:	_____, 201__

IN WITNESS WHEREOF, the parties hereto have executed this Addendum on the date(s) indicated below.

Alameda Unified School District**Quattrocchi Kwok Architects, Inc.**

Date: _____, 2017

Date: _____, 2017

By: _____

Print Name: Robbie Lyng

Print Title: Director, Maintenance Operations &
Facilities

By: _____

Print Name:

Print Title:

License No.:

Date: _____, 2017

By: _____

Print Name: Shariq Khan

Print Title: Chief Business Officer

Date: _____, 2017

By: _____

Print Name:

Print Title: School Board President

**PROJECT ADDENDUM NO. 211603
TO
AGREEMENT FOR ARCHITECTURAL SERVICES FOR MEASURE I BOND PROJECTS**

This Project Addendum No. 211603 to Agreement for Architectural Services for Measure I Bond Projects ("Addendum") is made as of January 24, 2017, and forms a part of the Agreement for Architectural Services between **Alameda Unified School District**, a California public school district ("District") and **Quattrocchi Kwok Architects, Inc.** ("Architect") (collectively "Parties") dated on or about December 19, 2016 ("Agreement"). This Addendum incorporates Services to be performed by Architect for the following project(s) ("Project"):

Woodstock (ACLC/NEA) Modernization

The scope of work may include, but is not limited to the following, plus the following assumptions:

- Implementation of District Safety and Security Standards as budget allows.
- Implementation of District Technology and Communication Standards as budget allows and as standards are developed and approved.
- Critical Mechanical, Electrical, and Plumbing upgrades as budget allows.
- Cost estimates for 90% schematic design and construction documents
- DSA submittal based on 95-99% complete set of construction documents
- QKA performs in-house constructability review on the DSA submittal set.
- In addition to preparation of construction documents, QKA will also obtain DSA approval and assists the District with Bidding, Construction Administration, and Project Closeout
- Scope of work does not include: site topographic and utility surveys, soil reports.

This Addendum modifies the Agreement. By signing where indicated below, each party acknowledges and accepts the modifications as indicated in this Addendum. All other terms and conditions of the Agreement shall remain in full force and effect.

Article 3. Architect Staff

- 3.1. The Architect has been selected to perform the Services herein because of the skills and expertise of key individuals.
- 3.2. The Architect agrees that the following key people in Architect's firm shall be associated with the Project in the following capacities:
- | | |
|----------------------|------------------|
| Principal in Charge: | Mark Quattrocchi |
| Project Associate | Nick Stephenson |
| Project Architect: | TBD |
- 3.2.1. The Architect agrees to contract for or employ at Architect's expense, the following consultant(s) to be associated with the Project in the following capacities:
- | | |
|----------------------|-----------------|
| Civil Engineer: | Brelje & Race |
| Structural Engineer: | ZFA |
| Mechanical Engineer: | Costa Engineers |
| Electrical Engineer: | O'Mahony & Myer |
- 3.3. The Architect shall not change any of the key personnel listed above without prior written approval by District, unless said personnel cease to be employed by Architect. In either case, District shall be allowed to interview and approve replacement personnel.

- 3.4. If any designated lead or key person fails to perform to the satisfaction of the District, then upon written notice the Architect shall have five (5) days to remove that person from the Project and replace that person with one acceptable to the District. All lead or key personnel for any consultant must also be designated by the consultant and are subject to all conditions previously stated in this paragraph.
- 3.5. Architect represents that the Architect has no existing interest and will not acquire any interest, direct or indirect, which could conflict in any manner or degree with the performance of Services required under this Agreement and that no person having any such interest shall be employed by Architect.
- 3.6. Architect shall comply with Education Code section 17302(a) and agrees that any plans and/or specifications included in the Services shall be prepared under the supervision of licensed personnel, and that licensed personnel shall be in "responsible charge" of persons who observe the construction.

Article 6. Fee And Method Of Payment

- 6.1. District shall pay Architect for all Services contracted for under this Agreement an amount equal to the following ("Fee"):
- 6.1.2 **Pre-Design / Architectural Program Development Phase through Project Close-Out Phases:**
An amount not to exceed the figure derived from the Construction Cost Budget, including all subconsultants' fee(s), based on the following fee schedule:

**Woodstock (ACLC/NEA) Modernization
Architect Fee – OPSC funding – NEW CONSTRUCTION**

Total Cumulative	Estimated Construction Cost Budget (Hard Costs)	% of Hard Costs	Estimated Total
	1st 500k	\$0.00	9.00%
	2nd 500k	\$0.00	8.50%
	next \$1m	\$0.00	8.00%
	next \$4m	\$0.00	7.00%
	next \$4m	\$0.00	6.00%
	in excess of \$10m	\$0.00	5.00%
	Architectural Estimated Fee:		
	Reimbursable expenses not to exceed: (Not part of architectural estimated fee)		
	Estimated Construction Cost Budget:		

Woodstock (ACLC/NEA) Modernization Project

Architect Fee – OPSC funding – MODERNIZATIONS

Total Cumulative	Estimated Construction Cost Budget (Hard Costs)		% of Hard Costs	Estimated Total
	1st 500k	\$0.00	12.00%	\$60,000
	2nd 500k	\$0.00	11.50%	\$57,500
	next \$1m	\$0.00	11.00%	\$85,253
	next \$4m	\$0.00	10.00%	
	next \$4m	\$0.00	9.00%	
	in excess of \$10m	\$0.00	8.00%	
Total Estimated Fee:				\$202,753
Reimbursable expenses not to exceed: (Not part of architectural estimated fee)				\$10,138
Estimated Construction Cost Budget:				\$1,775,025

BASIC SERVICES: For all “Basic Services” compensation in the amount of a percentage of construction as follows:

All fees are based on the stated percentage of “total construction costs” for the project and the said compensation applies to work let under a single construction contract. “Total construction costs” for purposes of this paragraph shall mean the total amount of money derived by adding the contractor’s bid for the project accepted by District and any Additive Bid Alternates (whether awarded or not) and Change Orders agreed to by District and the contractor which require additional architectural services (deductive Change Orders and deductive Bid Alternatives shall not reduce “total construction costs” for purposes of this paragraph). For the Lease-Leaseback delivery method “total construction costs” for purposes of this paragraph shall mean the Guaranteed Maximum Price (GMP) inclusive of GMP contingencies, agreed to by District plus any additive construction Change Orders agreed to by District.

Each portion of the project let separately on a segregated bid basis shall be considered a separate project for purposes of determining the fee.

For purposes of computing initial fees based upon “total construction costs” the parties estimate such costs at \$1,775,025, resulting in an initial fee of \$202,753. This estimate may be revised by the parties at any time to more accurately reflect actual “total construction costs” as such costs become apparent from later estimates, the award of the contract of GMP, or additive change orders agreed to by District and contractor. Any revision must be agreed to by District and Architect and reduced to writing.

- 6.2. District shall pay Architect the Fee for all Services performed and pursuant to the provisions of **Exhibit “D.”**
- 6.3. Architect shall bill its work under this Agreement in accordance with **Exhibit “D.”**
- 6.4. No increase in Fee will be due from change orders caused by Architect’s error or omission unless (1) the change order resulted in the District requiring Architect to provide additional services and (2) for

omissions only, the omission did not result in an increase to the cost of the Project as commissioned. District will meet and confer with Architect before denying a Fee increase based on subsection (2).

- 6.5. The Architect's Fee set forth in this Agreement shall be full compensation for all of Architect's Services incurred in the performance hereof as indicated in **Exhibit "D."**
- 6.6. Regardless of the structure of Architect's Fee, the Architect's Fee may be adjusted downward if the Scope of Services of this Agreement is reduced by the District in accordance with this Agreement.

Exhibit "A," Section I (MEETINGS / SITE VISITS / WORKSHOP)

Add the following to indicate the number of meetings for each Phase as applicable:

To be determined.

Exhibit "B," Section K (CRITERIA AND BILLING FOR EXTRA SERVICES)

Replace with the following:

The following rates, which include overhead, administrative cost and profit, shall be utilized in arriving at the fee for Extra Work and shall not be changed for the term of the Agreement, unless agreed to in writing by the Parties and approved by the District's Board.

QKA BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Architect - Principal	\$ 215.00
Architect - Associate	\$ 200.00
Project Architect/Designer	\$ 195.00
Job Captain	\$ 185.00
Construction Admin Project Manager	\$ 185.00
Specifications Writer	\$190.00
CADD/Drafting/BIM Modeler	\$170.00
Construction Admin Technician	\$160.00
Clerical	\$110.00

Consultant BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Managing Principal	
Senior Vice President/Regional Vice President	
Director of Cost Management	
Senior Cost Manager	
Cost Manager	
Cost Management Technician/Coordinator	

Exhibit "C" (SCHEDULE OF WORK)

Add the following to indicate the schedule for Architect's performance of the Project:

Phase	Date to be Completed
Pre- Design/Architectural Program Development Phase:	_____
For Schematic Design Phase:	_____
For Design Development Phase:	_____
For Construction Documents Phase:	_____
For Bidding Phase:	_____
For Construction Administration Phase:	_____
For Close Out:	_____, 201__

IN WITNESS WHEREOF, the parties hereto have executed this Addendum on the date(s) indicated below.

Alameda Unified School District**Quattrocchi Kwok Architects, Inc.**

Date: _____, 2017

Date: _____, 2017

By: _____

Print Name: Robbie Lyng

Print Title: Director, Maintenance Operations &
Facilities

By: _____

Print Name:

Print Title:

License No.:

Date: _____, 2017

By: _____

Print Name: Shariq Khan

Print Title: Chief Business Officer

Date: _____, 2017

By: _____

Print Name:

Print Title: School Board President

**PROJECT ADDENDUM NO. 211604
TO
AGREEMENT FOR ARCHITECTURAL SERVICES FOR MEASURE I BOND PROJECTS**

This Project Addendum No. 211604 to Agreement for Architectural Services for Measure I Bond Projects ("Addendum") is made as of January 24, 2017, and forms a part of the Agreement for Architectural Services between **Alameda Unified School District**, a California public school district ("District") and **Quattrocchi Kwok Architects, Inc.** ("Architect") (collectively "Parties") dated on or about December 19, 2016 ("Agreement"). This Addendum incorporates Services to be performed by Architect for the following project(s) ("Project"):

Chipman/AOA Modernization

The scope of work may include, but is not limited to the following, plus the following assumptions:

- Implementation of District Safety and Security Standards as budget allows.
- Implementation of District Technology and Communication Standards as budget allows and as standards are developed and approved.
- Critical Mechanical, Electrical, and Plumbing upgrades as budget allows.
- Cost estimates for 90% schematic design and construction documents
- DSA submittal based on 95-99% complete set of construction documents.
- QKA performs in-house constructability review on the DSA submittal set.
- In addition to preparation of construction documents, QKA will also obtain DSA approval and assist the District with Bidding, Construction Administration, and Project Closeout.
- Scope of work does not include: site topographic and utility surveys, soil reports.

This Addendum modifies the Agreement. By signing where indicated below, each party acknowledges and accepts the modifications as indicated in this Addendum. All other terms and conditions of the Agreement shall remain in full force and effect.

Article 3. Architect Staff

- 3.1. The Architect has been selected to perform the Services herein because of the skills and expertise of key individuals.
- 3.2. The Architect agrees that the following key people in Architect's firm shall be associated with the Project in the following capacities:

Principal in Charge:	Mark Quattrocchi
Project Associate	Nick Stephenson
Project Architect:	TBD

- 3.2.1. The Architect agrees to contract for or employ at Architect's expense, the following consultant(s) to be associated with the Project in the following capacities:

Civil Engineer:	Brelje & Race
Structural Engineer:	ZFA
Mechanical Engineer:	Costa Engineers
Electrical Engineer:	O'Mahony & Myer

- 3.3. The Architect shall not change any of the key personnel listed above without prior written approval by District, unless said personnel cease to be employed by Architect. In either case, District shall be allowed to interview and approve replacement personnel.

- 3.4. If any designated lead or key person fails to perform to the satisfaction of the District, then upon written notice the Architect shall have five (5) days to remove that person from the Project and replace that person with one acceptable to the District. All lead or key personnel for any consultant must also be designated by the consultant and are subject to all conditions previously stated in this paragraph.
- 3.5. Architect represents that the Architect has no existing interest and will not acquire any interest, direct or indirect, which could conflict in any manner or degree with the performance of Services required under this Agreement and that no person having any such interest shall be employed by Architect.
- 3.6. Architect shall comply with Education Code section 17302(a) and agrees that any plans and/or specifications included in the Services shall be prepared under the supervision of licensed personnel, and that licensed personnel shall be in "responsible charge" of persons who observe the construction.

Article 6. Fee And Method Of Payment

- 6.1. District shall pay Architect for all Services contracted for under this Agreement an amount equal to the following ("Fee"):
- 6.1.2 **Pre-Design / Architectural Program Development Phase through Project Close-Out Phases:**
An amount not to exceed the figure derived from the Construction Cost Budget, including all subconsultants' fee(s), based on the following fee schedule:

Chipman/AOA Modernization Project

Architect Fee – OPSC funding – NEW CONSTRUCTION

Total Cumulative	Estimated Construction Cost Budget (Hard Costs)	% of Hard Costs	Estimated Total
	1st 500k	\$0.00	9.00%
	2nd 500k	\$0.00	8.50%
	next \$1m	\$0.00	8.00%
	next \$4m	\$0.00	7.00%
	next \$4m	\$0.00	6.00%
	in excess of \$10m	\$0.00	5.00%
	Architectural Estimated Fee:		
	Reimbursable expenses not to exceed: (Not part of architectural estimated fee)		
	Estimated Construction Cost Budget:		

Chipman/AOA Modernization Project

Architect Fee – OPSC funding – MODERNIZATIONS

Total Cumulative	Estimated Construction Cost Budget (Hard Costs)		% of Hard Costs	Estimated Total
	1st 500k	\$0.00	12.00%	\$60,000
	2nd 500k	\$0.00	11.50%	\$57,500
	next \$1m	\$0.00	11.00%	\$8,850
	next \$4m	\$0.00	10.00%	
	next \$4m	\$0.00	9.00%	
	in excess of \$10m	\$0.00	8.00%	
Total Estimated Fee:				\$126,350
Reimbursable expenses not to exceed: (Not part of architectural fee):				\$6,317
Estimated Construction Cost Budget:				\$1,080,450

BASIC SERVICES: For all “Basic Services” compensation in the amount of a percentage of construction as follows:

All fees are based on the stated percentage of “total construction costs” for the project and the said compensation applies to work let under a single construction contract. “Total construction costs” for purposes of this paragraph shall mean the total amount of money derived by adding the contractor’s bid for the project accepted by District and any Additive Bid Alternates (whether awarded or not) and Change Orders agreed to by District and the contractor which require additional architectural services (deductive Change Orders and deductive Bid Alternatives shall not reduce “total construction costs” for purposes of this paragraph). For the Lease-Leaseback delivery method “total construction costs” for purposes of this paragraph shall mean the Guaranteed Maximum Price (GMP) inclusive of GMP contingencies, agreed to by District plus any additive construction Change Orders agreed to by District.

Each portion of the project let separately on a segregated bid basis shall be considered a separate project for purposes of determining the fee.

For purposes of computing initial fees based upon “total construction costs” the parties estimate such costs at \$1,080,450, resulting in an initial fee of \$126,350. This estimate may be revised by the parties at any time to more accurately reflect actual “total construction costs” as such costs become apparent from later estimates, the award of the contract of GMP, or additive change orders agreed to by District and contractor. Any revision must be agreed to by District and Architect and reduced to writing.

- 6.2. District shall pay Architect the Fee for all Services performed and pursuant to the provisions of **Exhibit “D.”**
- 6.3. Architect shall bill its work under this Agreement in accordance with **Exhibit “D.”**
- 6.4. No increase in Fee will be due from change orders caused by Architect’s error or omission unless (1) the change order resulted in the District requiring Architect to provide additional services and (2) for

omissions only, the omission did not result in an increase to the cost of the Project as commissioned. District will meet and confer with Architect before denying a Fee increase based on subsection (2).

- 6.5. The Architect's Fee set forth in this Agreement shall be full compensation for all of Architect's Services incurred in the performance hereof as indicated in **Exhibit "D."**
- 6.6. Regardless of the structure of Architect's Fee, the Architect's Fee may be adjusted downward if the Scope of Services of this Agreement is reduced by the District in accordance with this Agreement.

Exhibit "A," Section I (MEETINGS / SITE VISITS / WORKSHOP)

Add the following to indicate the number of meetings for each Phase as applicable:

To be determined.

Exhibit "B," Section K (CRITERIA AND BILLING FOR EXTRA SERVICES)

Replace with the following:

The following rates, which include overhead, administrative cost and profit, shall be utilized in arriving at the fee for Extra Work and shall not be changed for the term of the Agreement, unless agreed to in writing by the Parties and approved by the District's Board.

QKA BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Architect - Principal	\$ 215.00
Architect - Associate	\$ 200.00
Project Architect/Designer	\$ 195.00
Job Captain	\$ 185.00
Construction Admin Project Manager	\$ 185.00
Specifications Writer	\$190.00
CADD/Drafting/BIM Modeler	\$170.00
Construction Admin Technician	\$160.00
Clerical	\$110.00

Consultant BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Managing Principal	
Senior Vice President/Regional Vice President	
Director of Cost Management	
Senior Cost Manager	
Cost Manager	
Cost Management Technician/Coordinator	

Exhibit "C" (SCHEDULE OF WORK)

Add the following to indicate the schedule for Architect's performance of the Project:

Phase	Date to be Completed
Pre- Design/Architectural Program Development Phase:	_____
For Schematic Design Phase:	_____
For Design Development Phase:	_____
For Construction Documents Phase:	_____
For Bidding Phase:	_____
For Construction Administration Phase:	_____
For Close Out:	_____, 201__

IN WITNESS WHEREOF, the parties hereto have executed this Addendum on the date(s) indicated below.

Alameda Unified School District**Quattrocchi Kwok Architects, Inc.**

Date: _____, 2017

Date: _____, 2017

By: _____

Print Name: Robbie Lyng

Print Title: Director, Maintenance Operations &
Facilities

By: _____

Print Name:

Print Title:

License No.:

Date: _____, 2017

By: _____

Print Name: Shariq Khan

Print Title: Chief Business Officer

Date: _____, 2017

By: _____

Print Name:

Print Title: School Board President

**PROJECT ADDENDUM NO. 211613
TO
AGREEMENT FOR ARCHITECTURAL SERVICES FOR MEASURE I BOND PROJECTS**

This Project Addendum No. 211613 to Agreement for Architectural Services for Measure I Bond Projects ("Addendum") is made as of January 24, 2017, and forms a part of the Agreement for Architectural Services between **Alameda Unified School District**, a California public school district ("District") and **Quattrocchi Kwok Architects, Inc.** ("Architect") (collectively "Parties") dated on or about December 19, 2016 ("Agreement"). This Addendum incorporates Services to be performed by Architect for the following project(s) ("Project"):

Encinal High School Modernization

The scope of work may include, but is not limited to the following, plus the following assumptions:

- Campus Site Safety and Security Improvements – Implementation of soon-to-be developed District Standards for Site Safety and Security.
- Campus Technology and Communications Systems Improvements – Implementation of soon-to-be developed District Standards for Technology and Communications systems.
- Reconfiguration of classroom wings 100 and 200
- New 2-story classroom building to replace wing 400
- Modernization to existing science classrooms building, the 600 wing and associated toilet rooms, the Locker rooms, and the Library-Media Center/Computer Lab.
- Critical mechanical and electrical work and roofing.
- Accessibility improvements required by DSA for approval, including work at toilet rooms, path of travel improvements, and accessible parking.
- Cost estimates for 90% schematic design and construction documents.
- DSA submittal based on 95-99% complete set of construction documents.
- QKA performs in-house constructability review on the DSA submittal set.

This Addendum modifies the Agreement. By signing where indicated below, each party acknowledges and accepts the modifications as indicated in this Addendum. All other terms and conditions of the Agreement shall remain in full force and effect.

Article 3. Architect Staff

- 3.1. The Architect has been selected to perform the Services herein because of the skills and expertise of key individuals.
- 3.2. The Architect agrees that the following key people in Architect's firm shall be associated with the Project in the following capacities:
- | | |
|----------------------|------------------|
| Principal in Charge: | Mark Quattrocchi |
| Project Associate | Nick Stephenson |
| Project Architect: | Jon Stong |
- 3.2.1. The Architect agrees to contract for or employ at Architect's expense, the following consultant(s) to be associated with the Project in the following capacities:
- | | |
|----------------------------|-----------------|
| Civil Engineer: | Brelje & Race |
| Structural Engineer: | ZFA |
| Mechanical Engineer: | Costa Engineers |
| Electrical Engineer: | O'Mahony & Myer |
| Consulting Cost Estimator: | Dan Bartlett |

- 3.3. The Architect shall not change any of the key personnel listed above without prior written approval by District, unless said personnel cease to be employed by Architect. In either case, District shall be allowed to interview and approve replacement personnel.
- 3.4. If any designated lead or key person fails to perform to the satisfaction of the District, then upon written notice the Architect shall have five (5) days to remove that person from the Project and replace that person with one acceptable to the District. All lead or key personnel for any consultant must also be designated by the consultant and are subject to all conditions previously stated in this paragraph.
- 3.5. Architect represents that the Architect has no existing interest and will not acquire any interest, direct or indirect, which could conflict in any manner or degree with the performance of Services required under this Agreement and that no person having any such interest shall be employed by Architect.
- 3.6. Architect shall comply with Education Code section 17302(a) and agrees that any plans and/or specifications included in the Services shall be prepared under the supervision of licensed personnel, and that licensed personnel shall be in "responsible charge" of persons who observe the construction.

Article 6. Fee And Method Of Payment

- 6.1. District shall pay Architect for all Services contracted for under this Agreement an amount equal to the following ("Fee"):
- 6.1.2 **Pre-Design / Architectural Program Development Phase through Project Close-Out Phases:**
An amount not to exceed the figure derived from the Construction Cost Budget, including all subconsultants' fee(s), based on the following fee schedule:

_____ **Project**

Architect Fee – OPSC funding – NEW CONSTRUCTION

Total Cumulative	Estimated Construction Cost Budget (Hard Costs)		% of Hard Costs	Estimated Total
	1st 500k	\$0.00	9.00%	
	2nd 500k	\$0.00	8.50%	
	next \$1m	\$0.00	8.00%	
	next \$4m	\$0.00	7.00%	
	next \$4m	\$0.00	6.00%	
	in excess of \$10m	\$0.00	5.00%	
		Architectural Estimated Fee:		
Reimbursable expenses not to exceed: (Not part of architectural estimated fee)				
Estimated Construction Cost Budget:				

Encinal High School Modernization Project

Architect Fee – OPSC funding – MODERNIZATIONS

Total Cumulative	Estimated Construction Cost Budget (Hard Costs)		% of Hard Costs	Estimated Total
	1st 500k	\$0.00	12.00%	\$60,000
	2nd 500k	\$0.00	11.50%	\$57,500
	next \$1m	\$0.00	11.00%	\$110,000
	next \$4m	\$0.00	10.00%	\$400,000
	next \$4m	\$0.00	9.00%	\$360,000
	in excess of \$10m	\$0.00	8.00%	\$1,638,730
Total Estimated Fee:				\$2,626,230
Reimbursable expenses not to exceed: (Not part of architectural estimated fee):				\$60,000
Estimated Construction Cost Budget:				\$30,484,125

EXTRA SERVICES: See proposal dated December 19, 2016 attached.

BASIC SERVICES: For all “Basic Services” compensation in the amount of a percentage of construction as follows:

All fees are based on the stated percentage of “total construction costs” for the project and the said compensation applies to work let under a single construction contract. “Total construction costs” for purposes of this paragraph shall mean the total amount of money derived by adding the contractor’s bid for the project accepted by District and any Additive Bid Alternates (whether awarded or not) and Change Orders agreed to by District and the contractor which require additional architectural services (deductive Change Orders and deductive Bid Alternatives shall not reduce “total construction costs” for purposes of this paragraph). For the Lease-Leaseback delivery method “total construction costs” for purposes of this paragraph shall mean the Guaranteed Maximum Price (GMP) inclusive of GMP contingencies, agreed to by District plus any additive construction Change Orders agreed to by District.

Each portion of the project let separately on a segregated bid basis shall be considered a separate project for purposes of determining the fee.

For purposes of computing initial fees based upon “total construction costs” the parties estimate such costs at \$30,484,125, resulting in an initial fee of \$2,626,230. This estimate may be revised by the parties at any time to more accurately reflect actual “total construction costs” as such costs become apparent from later estimates, the award of the contract of GMP, or additive change orders agreed to by District and contractor. Any revision must be agreed to by District and Architect and reduced to writing.

- 6.2. District shall pay Architect the Fee for all Services performed and pursuant to the provisions of **Exhibit “D.”**
- 6.3. Architect shall bill its work under this Agreement in accordance with **Exhibit “D.”**
- 6.4. No increase in Fee will be due from change orders caused by Architect’s error or omission unless (1) the change order resulted in the District requiring Architect to provide additional services and (2) for

omissions only, the omission did not result in an increase to the cost of the Project as commissioned. District will meet and confer with Architect before denying a Fee increase based on subsection (2).

- 6.5. The Architect's Fee set forth in this Agreement shall be full compensation for all of Architect's Services incurred in the performance hereof as indicated in **Exhibit "D."**
- 6.6. Regardless of the structure of Architect's Fee, the Architect's Fee may be adjusted downward if the Scope of Services of this Agreement is reduced by the District in accordance with this Agreement.

Exhibit "A," Section I (MEETINGS / SITE VISITS / WORKSHOP)

Add the following to indicate the number of meetings for each Phase as applicable:

To be determined

Exhibit "B," Section K (CRITERIA AND BILLING FOR EXTRA SERVICES)

Replace with the following:

The following rates, which include overhead, administrative cost and profit, shall be utilized in arriving at the fee for Extra Work and shall not be changed for the term of the Agreement, unless agreed to in writing by the Parties and approved by the District's Board.

QKA BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Architect - Principal	\$ 215.00
Architect - Associate	\$ 200.00
Project Architect/Designer	\$ 195.00
Job Captain	\$ 185.00
Construction Admin Project Manager	\$ 185.00
Specifications Writer	\$190.00
CADD/Drafting/BIM Modeler	\$170.00
Construction Admin Technician	\$160.00
Clerical	\$110.00

_____Consultant BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Managing Principal	
Senior Vice President/Regional Vice President	
Director of Cost Management	
Senior Cost Manager	
Cost Manager	
Cost Management Technician/Coordinator	

Exhibit "C" (SCHEDULE OF WORK)

Add the following to indicate the schedule for Architect's performance of the Project:

Phase	Date to be Completed
Pre- Design/Architectural Program Development Phase:	_____
For Schematic Design Phase:	_____
For Design Development Phase:	_____
For Construction Documents Phase:	_____
For Bidding Phase:	_____
For Construction Administration Phase:	_____
For Close Out:	_____, 201__

IN WITNESS WHEREOF, the parties hereto have executed this Addendum on the date(s) indicated below.

Alameda Unified School District**Quattrocchi Kwok Architects, Inc.**

Date: _____, 2017

Date: _____, 2017

By: _____
Print Name: Robbie Lyng
Print Title: Director, Maintenance Operations &
Facilities

By: _____
Print Name: _____
Print Title: _____
License No.: _____

Date: _____, 2017

By: _____
Print Name: Shariq Khan
Print Title: Chief Business Officer

Date: _____, 2017

By: _____
Print Name: _____

Print Title: School Board President



QUATTROCCHI KWOK
ARCHITECTS

December 8, 2016

Robbie Lyng, Director
Maintenance, Operations and Facilities
Alameda Unified School District
2060 Challenger Dr.
Alameda, CA 94501

RE: Maya Lin ES Modernization
QKA Job No. 1494.00
AUSD Project Addendum 211512

Dear Robbie,

The purpose of this letter is to record an adjustment to our fee for the Maya Lin Elementary School Modernization, QKA project # 1494.00, based on our Master Agreement dated April 10th, 2015. The original Basic Services Fee was based on a Construction Budget of \$2,500,000.00, which generated a Fee of \$277,500.00; the GMP of \$3,390,987.00 represents an increase amount \$89,099.00, bringing the new Total Basic Services Fee to \$366,599.

If this adjustment corresponds with your records, please return a signed copy of this letter to the attention of our Contracts Manager, Carol Tonelli, at your earliest convenience. We will revise our invoicing accordingly at the beginning of January. Please call me if you have any questions regarding this fee adjustment.

Sincerely,

Mark Quattrocchi, AIA

cc: Stacy Moore, AUSD

Accepted on behalf of Alameda Unified School District

Robbie Lyng, Director, AUSD Maintenance,
Operations & Facilities

1-5-17
Date

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95404
P: 707.576.0829
F: 707.576.0295
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QUATTROCCHI KWOK
ARCHITECTS

Dec. 19, 2016

Robbie Lyng
Director of Maintenance and Operations
Alameda Unified School District
2060 Challenger Drive
Alameda, CA 94501

RE: ACLC/NEA – Measure I Bond Project
Project No. TBD

Dear Robbie,

We are pleased to provide this proposal for architectural services for ACLC/NEA – Measure I Bond Project. The project consists of preparation of construction documents needed for the following campus improvements;

Scope of Work

- Implementation of District Safety and Security Standards as budget allows.
- Implementation of District Technology and Communication Standards as budget allows and as standards are developed and approved.
- Critical Mechanical, Electrical and Plumbing upgrades as budget allows.
- Cost estimates for 90% schematic design and construction documents
- DSA submittal based on 95-99% complete set of construction documents
- QKA performs in-house constructability review on the DSA submittal set.
- In addition to preparation of construction documents, QKA will also obtain DSA approval and assist the District with Bidding, Construction Administration, and Project Closeout.
- Scope of work does not include: site topographic and utility surveys, soils reports

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Architectural Staff

We propose the following key people to be associated with this project in the following capacities;

Principal in Charge: Mark Quattrocchi
Project Associate: Nick Stephenson
Project Architect: TBD

We propose to include the following consultants as part of our team on this project

Civil Engineer: Brelje & Race
Structural Engineer: ZFA
Mechanical Engineer: Costa Engineers
Electrical Engineer: O'Mahony & Myer

Compensation

QKA proposes to provide services for a fee based on a percentage of the construction cost for modernization work. For purposes of this proposal, the Construction Cost Budget is set at \$1,775,025 (escalated to year of construction of 2018). The total fee is calculated in the following table;

Construction Cost	% of Construction Cost	Fee
1st \$500k	12.00%	\$60,000
2nd \$500k	11.50%	\$57,500
next \$1m	11.00%	85,253
next \$4m	10.00%	
next \$4m	9.00%	
in excess of \$10m	8.00%	
Total Fee		\$202,753

Compensation will be adjusted as necessary after the Bid and Construction Administration Phases.

Compensation is exclusive of reimbursable expenses that may include DSA fees, copying, plotting, mileage, and postage. Costs for these items will be billed at direct cost plus 5% and are not expected to exceed \$10,138. Services requested by the District that are not included in this proposal will be provided as an Additional Service using the hourly rates included in Exhibit B of the Master Agreement.

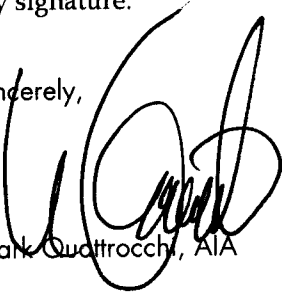
Project Schedule

Work will be performed in accordance with mutually agreed upon project schedule that satisfies the District's need to have construction completed by 2018.

All work will be performed in accordance with the Master Agreement for Architectural Services on District Measure I Bond Program Projects, dated April 10, 2015. I understand you will provide an amendment to the Master Agreement for this project.

Thank you for the opportunity to provide this proposal. Please let me know if you have any questions. If this proposal is deemed acceptable, we will look forward to receiving a completed Project Addendum for my signature.

Sincerely,

A handwritten signature in black ink, appearing to read 'Mark Quattrocchi', is written over the word 'Sincerely,'.

Mark Quattrocchi, AIA

Cc: Brenda Parella, Jamie Ferranti



QUATTROCCHI KWOK
ARCHITECTS

Dec. 19, 2016

Robbie Lyng
Director of Maintenance and Operations
Alameda Unified School District
2060 Challenger Drive
Alameda, CA 94501

RE: AOA/Adult School at Chipman (401 Pacific Ave.) – Measure I Bond Project
Project No. TBD

Dear Robbie,

We are pleased to provide this proposal for architectural services for AOA/Adult School at Chipman – Measure I Bond Project. The project consists of preparation of construction documents needed for the following campus improvements;

Scope of Work

- Implementation of District Safety and Security Standards as budget allows.
- Implementation of District Technology and Communication Standards as budget allows and as standards are developed and approved.
- Critical Mechanical, Electrical and Plumbing upgrades as budget allows.
- Cost estimates for 90% schematic design and construction documents
- DSA submittal based on 95-99% complete set of construction documents
- QKA performs in-house constructability review on the DSA submittal set.
- In addition to preparation of construction documents, QKA will also obtain DSA approval and assist the District with Bidding, Construction Administration, and Project Closeout.
- Scope of work does not include: site topographic and utility surveys, soils reports

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Architectural Staff

We propose the following key people to be associated with this project in the following capacities;

Principal in Charge: Mark Quattrocchi
Project Associate: Nick Stephenson
Project Architect: TBD

We propose to include the following consultants as part of our team on this project

Civil Engineer: Brelje & Race
Structural Engineer: ZFA
Mechanical Engineer: Costa Engineers
Electrical Engineer: O'Mahony & Myer

Compensation

QKA proposes to provide services for a fee based on a percentage of the construction cost for modernization work. For purposes of this proposal, the Construction Cost Budget is set at **\$1,080,450** (escalated to year of construction of 2018). The total fee is calculated in the following table;

Construction Cost	% of Construction Cost	Fee
1st \$500k	12.00%	\$60,000
2nd \$500k	11.50%	\$57,500
next \$1m	11.00%	8,850
next \$4m	10.00%	
next \$4m	9.00%	
in excess of \$10m	8.00%	
Total Fee		\$126,350

Compensation will be adjusted as necessary after the Bid and Construction Administration Phases.

Compensation is exclusive of reimbursable expenses that may include DSA fees, copying, plotting, mileage, and postage. Costs for these items will be billed at direct cost plus 5% and are not expected to exceed \$6,317. Services requested by the District that are not included in this proposal will be provided as an Additional Service using the hourly rates included in Exhibit B of the Master Agreement.

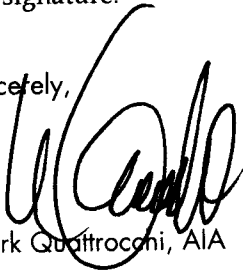
Project Schedule

Work will be performed in accordance with mutually agreed upon project schedule that satisfies the District's need to have construction completed by 2018.

All work will be performed in accordance with the Master Agreement for Architectural Services on District Measure I Bond Program Projects, dated April 10, 2015. I understand you will provide an amendment to the Master Agreement for this project.

Thank you for the opportunity to provide this proposal. Please let me know if you have any questions. If this proposal is deemed acceptable, we will look forward to receiving a completed Project Addendum for my signature.

Sincerely,

A handwritten signature in black ink, appearing to read 'Mark Quattroconi', written over the word 'Sincerely,'.

Mark Quattroconi, AIA

Cc: Brenda Parella, Jamie Ferranti



QUATTROCCHI KWOK
ARCHITECTS

December 19, 2016

Robbie Lyng
Director of Maintenance and Operations
Alameda Unified School District
2060 Challenger Drive
Alameda, CA 94501

RE: Encinal High School Modernization
Project No. 1617.00

Dear Robbie,

I am pleased to provide this proposal for architectural services for modernization to Encinal High School. The project consists of design services needed for the following campus improvements.

Scope of Work

The scope of work below is identified in the Board adopted June 10, 2014 Facilities Master Plan; Implementation Plan. This is a general project list and will be refined as the design work progresses:

- Campus Site Safety and Security Improvements - Implementation of soon-to-be developed District Standards for Site Safety and Security.
- Campus Technology and Communications Systems Improvements - Implementation of soon-to-be developed District Standards for Technology and Communications systems.
- Reconfiguration of classroom wings 100 and 200
- New 2-story classroom building to replace wing 400
- Modernization to existing science classrooms building, the 600 wing and associated toilet rooms, the Locker Rooms, and the Library-Media Center/Comp. Lab
- Critical mechanical and electrical work and roofing.
- Accessibility improvements required by DSA for approval, including work at toilet rooms, path of travel improvements, and accessible parking.

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- Cost estimates for 90% schematic design and construction documents
- DSA submittal based on 95-99% complete set of construction documents
- QKA performs in-house constructability review on the DSA submittal set.

In addition to preparation of design and construction documents, QKA will also obtain DSA approval and assist the District with Bidding, Construction Administration, and Project Closeout.

Architectural Staff

We propose the following key people to be associated with this project in the following capacities;

Principal in Charge:	Mark Quattrocchi
Project Associate:	Nick Stephenson
Project Architect:	Jon Stong

We propose to include the following consultants as part of our team on this project

Civil Engineer:	Brelje & Race
Structural Engineer:	ZFA
Mechanical Engineer:	Costa Engineers
Electrical Engineer:	O'Mahony & Myer
Consulting Cost Estimator	Dan Bartlett

Compensation

QKA proposes to provide services for a fee based on a percentage of the construction cost for modernization as established in the Master Agreement. The Board adopted Implementation Plan total "Project Cost" for Encinal HS, including soft costs is \$39.5 million in 2014 year costs – 70% of this, or \$33.8 million, is Construction Cost. The Encinal HS Modernization Construction Cost Budget is set at \$30,484,125. The total fee is calculated in the following table;

Construction Cost	% of Construction Cost	Fee
1st \$500k	12.00%	\$60,000
2nd \$500k	11.50%	\$57,500
next \$1m	11.00%	\$110,000
next \$4m	10.00%	\$400,000
next \$4m	9.00%	\$360,000
in excess of \$10m	8.00%	\$1,638,730
Total Fee		\$2,626,230

Compensation is based on a single construction bid and DSA approval, and will be adjusted as necessary after each design phase and Bid and Construction Administration Phases. Compensation is exclusive of reimbursable expenses that may include DSA fees, copying,

plotting, mileage, and postage. Costs for these items will be billed at direct cost plus 5% and are not expected to exceed \$60,000.

Extra Services

Services requested by the District that are not included in this proposal will be provided as an Extra Service using the hourly rates included in Exhibit B of the Master Agreement.

As described in Exhibit B of the Master Agreement, anticipated Extra Service consultants are identified below. However, the need for additional consultants may be determined during design. Once design work has commenced, QKA will provide fee proposals for Extra Services consultants.

- Fire sprinkler consultant
- Landscape Architect
- Elevator consultant
- Audio Visual consultant
- Energy consultant

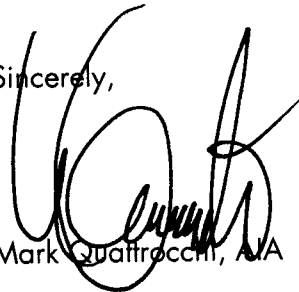
Project Schedule

Work will be performed in accordance with mutually agreed upon project schedule that satisfies the District's need to have construction completed by fall 2020.

All work will be performed in accordance with the Master Agreement for Architectural Services on District Measure I Bond Program Projects, dated April 10, 2015.

Thank you for the opportunity to provide this proposal. Please let me know if you have any questions. If this proposal is acceptable, we will look forward to receiving a completed Project Addendum for signature.

Sincerely,



Mark Quattrocchi, AIA



QUATTROCCHI KWOK
ARCHITECTS

Dec 19, 2016

Robbie Lyng
Director of Maintenance and Operations
Alameda Unified School District
2060 Challenger Drive
Alameda, CA 94501

RE: Haight Elementary School – Measure I Bond Project
Project No. TBD

Dear Robbie,

We are pleased to provide this proposal for architectural services for the Haight Elementary School – Measure I Bond Project. The project consists of preparation of construction documents needed for the following campus improvements;

Scope of Work

- Limited modernization to existing classrooms as budget allows.
- Reconfigure east wing into one new science classroom and four kindergarten classrooms.
- Build two new classrooms.
- Implementation of District Safety and Security Standards as budget allows.
- Implementation of District Technology and Communication Standards as budget allows and as standards are developed and approved.
- Site accessibility upgrades per Master Plan and as required by DSA, as budget allows.
- Critical Mechanical, Electrical and Plumbing upgrades as budget allows.
- Cost estimates for 90% schematic design and construction documents
- DSA submittal based on 95-99% complete set of construction documents

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- QKA performs in-house constructability review on the DSA submittal set.
- In addition to preparation of construction documents, QKA will also obtain DSA approval and assist the District with Bidding, Construction Administration, and Project Closeout.
- Scope of work does not include: Site topographic and utility surveys or Soils reports

Architectural Staff

We propose the following key people to be associated with this project in the following capacities;

Principal in Charge: Mark Quattrocchi
Project Associate: Nick Stephenson
Project Architect: TBD

We propose to include the following consultants as part of our team on this project

Civil Engineer: Brelje & Race
Structural Engineer: ZFA
Mechanical Engineer: Costa Engineers
Electrical Engineer: O'Mahony & Myer

Compensation

QKA proposes to provide services for a fee based on a percentage of the construction cost for modernization work. For purposes of this proposal, the Construction Cost Budget is set at \$3,318,525 (escalated to year of construction of 2018). The total fee is calculated in the following table;

Construction Cost	% of Construction Cost	Fee
1st \$500k	12.00%	\$60,000
2nd \$500k	11.50%	\$57,500
next \$1m	11.00%	\$110,000
next \$4m	10.00%	\$131,853
next \$4m	9.00%	
in excess of \$10m	8.00%	
Total Fee		\$359,353

Compensation will be adjusted as necessary after the Bid and Construction Administration Phases.

Compensation is exclusive of reimbursable expenses that may include DSA fees, copying, plotting, mileage, and postage. Costs for these items will be billed at direct cost plus 5% and are not expected to exceed \$17,968. Services requested by the District that are not included in this proposal will be provided as an Additional Service using the hourly rates included in Exhibit B of the Master Agreement.

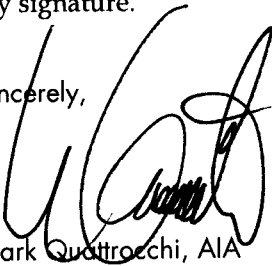
Project Schedule

Work will be performed in accordance with mutually agreed upon project schedule that satisfies the District's need to have construction completed in 2019.

All work will be performed in accordance with the Master Agreement for Architectural Services on District Measure I Bond Program Projects, dated April 10, 2015. I understand you will provide an amendment to the Master Agreement for this project.

Thank you for the opportunity to provide this proposal. Please let me know if you have any questions. If this proposal is deemed acceptable, we will look forward to receiving a completed Project Addendum for my signature.

Sincerely,

A handwritten signature in black ink, appearing to read 'Mark Quattrocchi', with a stylized flourish at the end.

Mark Quattrocchi, AIA

Cc: Brenda Parella, Jamie Ferranti

**PROJECT ADDENDUM NO. 211512
TO
AGREEMENT FOR ARCHITECTURAL SERVICES FOR MEASURE I BOND PROJECTS**

This Project Addendum No. 211512 to Agreement for Architectural Services for Measure I Bond Projects ("Addendum") is made as of January 24, 2017, and forms a part of the Agreement for Architectural Services between **Alameda Unified School District**, a California public school district ("District") and **Quattrocchi Kwok Architects, Inc.** ("Architect") (collectively "Parties") dated on or about December 8, 2016 ("Agreement"). This Addendum incorporates Services to be performed by Architect for the following project(s) ("Project"):

Maya Lin Elementary School Modernization

The scope of work may include, but is not limited to the following, plus the following assumptions:

- Site Safety and Security Improvements - Implementation of soon-to-be developed District Standards for Site Safety and Security. The development of the District Standards for site safety and security is not included in this proposal.
- Technology and Communications Systems Improvements - Implementation of soon-to-be developed District Standards for Technology and Communications systems. The development of the District Standards for technology and communications systems is not included in this proposal.
- Critical mechanical and electrical improvements
- Comprehensive window replacement
- Accessibility improvements identified in the Facilities Master Plan
- Additional accessibility improvements, not included in the Facilities Master Plan, but required by DSA for approval, including work at toilet rooms, path of travel improvements, and accessible parking.

In addition to preparation of construction documents, QKA will also obtain DSA approval and assist the District with Bidding, Construction Administration, and Project Closeout.

This Addendum modifies the Agreement. By signing where indicated below, each party acknowledges and accepts the modifications as indicated in this Addendum. All other terms and conditions of the Agreement shall remain in full force and effect.

Article 3. Architect Staff

- 3.1. The Architect has been selected to perform the Services herein because of the skills and expertise of key individuals.
- 3.2. The Architect agrees that the following key people in Architect's firm shall be associated with the Project in the following capacities:
- | | |
|----------------------|------------------|
| Principal in Charge: | Mark Quattrocchi |
| Project Associate | John Dybczak |
| Project Architect: | Nick Stephenson |
- 3.3. The Architect agrees to contract for or employ at Architect's expense, the following consultant(s) to be associated with the Project in the following capacities:
- | | |
|---------------------|-----------------|
| Civil Engineer: | Brelje & Race |
| Structural Engineer | ZFA |
| Mechanical Engineer | Costa Engineers |
| Electrical Engineer | O'Mahony & Myer |

- 3.4. The Architect shall not change any of the key personnel listed above without prior written approval by District, unless said personnel cease to be employed by Architect. In either case, District shall be allowed to interview and approve replacement personnel.
- 3.5. If any designated lead or key person fails to perform to the satisfaction of the District, then upon written notice the Architect shall have five (5) days to remove that person from the Project and replace that person with one acceptable to the District. All lead or key personnel for any consultant must also be designated by the consultant and are subject to all conditions previously stated in this paragraph.
- 3.6. Architect represents that the Architect has no existing interest and will not acquire any interest, direct or indirect, which could conflict in any manner or degree with the performance of Services required under this Agreement and that no person having any such interest shall be employed by Architect.
- 3.7. Architect shall comply with Education Code section 17302(a) and agrees that any plans and/or specifications included in the Services shall be prepared under the supervision of licensed personnel, and that licensed personnel shall be in "responsible charge" of persons who observe the construction.

Article 6. Fee And Method Of Payment

- 6.1. District shall pay Architect for all Services contracted for under this Agreement an amount equal to the following ("Fee"):
- **Fee adjustment per GMP construction cost - increase: \$89,099.00**
 - **See attached proposal from QKA dated December 8, 2016.**
 - **Total Basic Services Fee to date \$366,599.00**

IN WITNESS WHEREOF, the parties hereto have executed this Addendum on the date(s) indicated below.

Alameda Unified School District

Quattrocchi Kwok Architects, Inc.

Date: _____, 2017

Date: _____, 2017

By: _____

By: _____

Print Name:

Print Name:

Print Title:

Print Title:

License No.:

Date: _____, 2017

By: _____

Print Name:

Print Title: Board President

Date: _____, 2017

**PROJECT ADDENDUM NO. 211514
TO
AGREEMENT FOR ARCHITECTURAL SERVICES FOR MEASURE I BOND PROJECTS**

This Project Addendum No. 211514 to Agreement for Architectural Services for Measure I Bond Projects ("Addendum") is made as of January 24, 2017, and forms a part of the Agreement for Architectural Services between **Alameda Unified School District**, a California public school district ("District") and **Quattrocchi Kwok Architects, Inc.** ("Architect") (collectively "Parties") dated on or about December 1, 2016 ("Agreement"). This Addendum incorporates Services to be performed by Architect for the following project(s) ("Project"):

Technology and Communications District Standards

The scope of work may include, but is not limited to the following, plus the following assumptions:

- Provide revised Technology & Communications order of magnitude "project budgets" for district schools that reflect the Board approved standards.
- Facilitate two 2.5 hour meetings in Alameda with AUSD staff with QKA, our electrical engineer O'Mahony & Myer (OMM) and cost estimator Dan Bartlett. AUSD staff will include Rob Van Herk including others of his Technology Department staff and AUSD Facilities staff.
- During the meetings, the District and design teams will broadly outline upgrades to meet Technology and Communications standards.
- QKA will provide site plans of each school to help facilitate approximations of data infrastructure for budgeting purposes, however no detailed area of lineal takes offs will be provided.
- Utilize Technology Department's knowledge of the condition of existing data infrastructure to determine rough improvement needs.
- Systems to review and provide order of magnitude budgets for are as follows:
 - Network/data systems
 - VoIP systems
 - Classroom AV systems
 - Campus Clock systems
 - Campus Bell and PA Systems
- The design team will prepare written or sketched scope summaries of the agreed system approaches, for cost estimator's use.
- Cost estimator will use the information gathered in the meetings, along with information provided by OMM, to create approximate order of magnitude budgets for each campus. The budgets will be broken down by campus and system type.
- The project budgets will be used by the District to reprioritize or re-budget campuses to better ensure adequate funds are provided for the desired Technology & Communications improvements.

Scope of services not included:

- Detailed system design and/or drawing deliverables, other than overall sketches for system approaches at each campus.
- Detailed quantiles or detailed itemized cost estimates.

This Addendum modifies the Agreement. By signing where indicated below, each party acknowledges and accepts the modifications as indicated in this Addendum. All other terms and conditions of the Agreement shall remain in full force and effect.

Article 3. Architect Staff

3.1. The Architect has been selected to perform the Services herein because of the skills and expertise of key individuals.

3.2. The Architect agrees that the following key people in Architect's firm shall be associated with the Project in the following capacities:

Principal in Charge:	Mark Quattrocchi
Project Associate:	Nick Stephenson

3.2.1. The Architect agrees to contract for or employ at Architect's expense, the following consultant(s) to be associated with the Project in the following capacities:

Cot Estimator:	Dan Bartlett
Electrical Engineer:	O'Mahony & Myer

3.3. The Architect shall not change any of the key personnel listed above without prior written approval by District, unless said personnel cease to be employed by Architect. In either case, District shall be allowed to interview and approve replacement personnel.

3.4. If any designated lead or key person fails to perform to the satisfaction of the District, then upon written notice the Architect shall have five (5) days to remove that person from the Project and replace that person with one acceptable to the District. All lead or key personnel for any consultant must also be designated by the consultant and are subject to all conditions previously stated in this paragraph.

3.5. Architect represents that the Architect has no existing interest and will not acquire any interest, direct or indirect, which could conflict in any manner or degree with the performance of Services required under this Agreement and that no person having any such interest shall be employed by Architect.

3.6. Architect shall comply with Education Code section 17302(a) and agrees that any plans and/or specifications included in the Services shall be prepared under the supervision of licensed personnel, and that licensed personnel shall be in "responsible charge" of persons who observe the construction.

Article 6. Fee And Method Of Payment

6.1. District shall pay Architect for all Services contracted for under this Agreement an amount equal to the following ("Fee"):

- **Fee Amendment**
 - Current Fee: \$29,900
 - **Additional Fee: \$29,600**
 - **Revised Total Fee: \$50,500**

BASIC SERVICES: For all “Basic Services” compensation in the amount of a percentage of construction as follows:

All fees are based on the stated percentage of “total construction costs” for the project and the said compensation applies to work let under a single construction contract. “Total construction costs” for purposes of this paragraph shall mean the total amount of money derived by adding the contractor’s bid for the project accepted by District and any Additive Bid Alternates (whether awarded or not) and Change Orders agreed to by District and the contractor which require additional architectural services (deductive Change Orders and deductive Bid Alternatives shall not reduce “total construction costs” for purposes of this paragraph). For the Lease-Leaseback delivery method “total construction costs” for purposes of this paragraph shall mean the Guaranteed Maximum Price (GMP) inclusive of GMP contingencies, agreed to by District plus any additive construction Change Orders agreed to by District.

Each portion of the project let separately on a segregated bid basis shall be considered a separate project for purposes of determining the fee.

For purposes of computing initial fees based upon “total construction costs” the parties estimate such costs result in an additional fee of \$29,600, bringing the total fee for the project to \$50,500. This estimate may be revised by the parties at any time to more accurately reflect actual “total construction costs” as such costs become apparent from later estimates, the award of the contract of GMP, or additive change orders agreed to by District and contractor. Any revision must be agreed to by District and Architect and reduced to writing.

- 6.2. District shall pay Architect the Fee for all Services performed and pursuant to the provisions of **Exhibit “D.”**
- 6.3. Architect shall bill its work under this Agreement in accordance with **Exhibit “D.”**
- 6.4. No increase in Fee will be due from change orders caused by Architect’s error or omission unless (1) the change order resulted in the District requiring Architect to provide additional services and (2) for omissions only, the omission did not result in an increase to the cost of the Project as commissioned. District will meet and confer with Architect before denying a Fee increase based on subsection (2).
- 6.5. The Architect’s Fee set forth in this Agreement shall be full compensation for all of Architect’s Services incurred in the performance hereof as indicated in **Exhibit “D.”**
- 6.6. Regardless of the structure of Architect’s Fee, the Architect’s Fee may be adjusted downward if the Scope of Services of this Agreement is reduced by the District in accordance with this Agreement.

Exhibit “A,” Section I (MEETINGS / SITE VISITS / WORKSHOP)

Add the following to indicate the number of meetings for each Phase as applicable:

To be determined.

Exhibit “B,” Section K (CRITERIA AND BILLING FOR EXTRA SERVICES)

Replace with the following:

The following rates, which include overhead, administrative cost and profit, shall be utilized in arriving at the fee for Extra Work and shall not be changed for the term of the Agreement, unless agreed to in writing by the Parties and approved by the District’s Board.

QKA BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Architect - Principal	\$ 210.00
Architect - Associate	\$ 195.00
Project Architect/Designer	\$ 190.00
Job Captain	\$ 180.00
Construction Admin Project Manager	\$ 180.00
Specifications Writer	\$185.00
CADD/Drafting/BIM Modeler	\$165.00
Construction Admin Technician	\$155.00
Clerical	\$105.00

_____Consultant BASIC HOURLY RATE SCHEDULE	
Job Title	Hourly Rate
Managing Principal	
Senior Vice President/Regional Vice President	
Director of Cost Management	
Senior Cost Manager	
Cost Manager	
Cost Management Technician/Coordinator	

Exhibit “C” (SCHEDULE OF WORK)

Add the following to indicate the schedule for Architect’s performance of the Project:

Phase	Date to be Completed
Pre- Design/Architectural Program Development Phase:	_____
For Schematic Design Phase:	_____
For Design Development Phase:	_____
For Construction Documents Phase:	_____
For Bidding Phase:	_____
For Construction Administration Phase:	_____
For Close Out:	_____, 201__

IN WITNESS WHEREOF, the parties hereto have executed this Addendum on the date(s) indicated below.

Alameda Unified School District

Quattrocchi Kwok Architects, Inc.

Date: _____, 2017

Date: _____, 2017

By: _____
Print Name: Robbie Lyng
Print Title: Director, Maintenance Operations &
Facilities

By: _____
Print Name:
Print Title:
License No.:

Date: _____, 2017

By: _____
Print Name: Shariq Khan
Print Title: Chief Business Officer

Date: _____, 2017

By: _____
Print Name:

Print Title: School Board President

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Ratification of Contracts Executed Pursuant to Board Policy 3300

Item Type: Consent

Background: On August 9, 2016, the Board of Education delegated authority to enter into contracts on behalf of the Alameda Unified School District and to purchase supplies, materials, apparatus, equipment, and services up to the amounts specified in Public Contract Code 20111 and Education Code section 17604 to the Superintendent of Schools, Chief Student Support Officer, Chief Academic Officer, Chief Business Officer, Chief Human Resources Officer, and the General Counsel.

Resolution Number 2016-2017.04 further limited the delegation to expenditures of less than \$87,800 and required that the Board of Education ratify the contracts within sixty (60) days of incurring the expense.

The following contracts are presented for ratification:

1. (Fund 01) Professional Services Agreement between AUDS and MBS Engineering, Inc. for a flat fee of \$42,504.00.
2. (Fund 01) Amendment No. 1 to Professional Services Agreement between AUDS and MBS Engineering, Inc. for an increase of \$28,660.00.

Goals: Routine Matter

Fund: General Fund

Fund Codes: 01 General Fund

Fiscal Analysis

Amount (Savings) (Cost): See attached contract(s) for detailed expenditures.

Department Budget: N/A

Recommendation: Approve as submitted.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization. | #6 - Allocation of funds must support our vision, mission, and guiding principles. | #7 - All employees must receive respectful treatment and professional support to achieve district goals.

Submitted By: Shariq Khan, Chief Business Officer

ATTACHMENTS:

Description	Upload Date	Type
☐ PSA	1/17/2017	Backup Material
☐ Amendment No. 1	1/17/2017	Backup Material

ALAMEDA UNIFIED SCHOOL DISTRICT

Excellence & Equity For All Students

Professional Services Agreement

This Agreement is entered into between the Alameda Unified School District (AUSD) and MBS Engineering, Inc. (CONTRACTOR). AUSD is authorized by Government Code Section 53060 to contract for the furnishing of special services and advice in financial, economic, account, engineering, legal, and administrative matters with persons specially trained, experienced, and competent to perform such services. CONTRACTOR is specially trained, experienced, and competent to provide such services. The parties agree as follows:

1. Services. The CONTRACTOR shall provide the following services:

Design, engineer, build, and install a new 2" gas system to supply gas to heaters at Alameda High School. Weld 2" gas pipe and fittings using Welding Procedure AWD B2.1 SMAW 6G. Per MBS proposal #58997.2016, attached hereto as "Exhibit A".

2. Terms. CONTRACTOR shall commence work on December 8, 2016, or the day immediately following approval by an executive cabinet member, if the total amount the CONTRACTOR has contracted with the District is below \$87,800 in the current fiscal year, or the Board of Education if total Agreement equals or exceeds \$87,800, whichever is later. All contracts over \$25,000 must be presented to the Board of Education for approval within sixty (60) days of work commencing. The work shall be completed no later than December 23, 2016.

3. Compensation. Check one of the following boxes:

This sum shall be for full performance of this Agreement and includes fees, costs, and expenses incurred by CONTRACTOR including, but not limited to labor, materials, taxes, profit, overhead, travel, insurance, subcontractor costs, and other costs.

3.1 ☒ CONTRACTOR is providing services for a flat fee which shall not exceed \$ 42,504.

3.2 ☐ CONTRACTOR will be compensated at an hourly rate. Contractor will provide a maximum of hours of service at a rate of \$ _____ per hour for a total not to exceed \$ _____.

3.3 ☐ Other: _____

AUSD shall not be liable to CONTRACTOR for any costs or expenses paid or incurred or equipment, materials or supplies used by CONTRACTOR in performing services for AUSD, except as follows: _____

Payment for the work shall be made for all undisputed amounts in monthly installment payments within forty-five (45) days after the CONTRACTOR submits an invoice to AUSD for work actually completed and after AUSD's written approval of the work, or the portion of the work for which payment is to be made.

The granting of any payment by AUSD or the recipient thereof by CONTRACTOR, shall in no way lessen the liability of CONTRACTOR to correct unsatisfactory work, although the unsatisfactory character of that work may not have been apparent or detected at the time a payment was made. Work, which does not conform to the requirements of this Agreement, may be rejected by District and in that case must be replaced by CONTRACTOR without delay.

4. Strategic Alignment. Check one of the following boxes:

4.1 ☒ **School-based Agreements:** How does this service support your academic goals and increase student achievement as described in the Board-approved School Site Plan? To improve facilities for better use.

4.2 ☐ **Central Office Agreements:** How does this service support the overall strategic goals of the department and increase student achievement? _____

5. Conduct of Contractor. CONTRACTOR will adhere to the following staff requirements and provide AUSD with evidence of staff qualifications, prior to commencing the work under this Agreement and consistent with invoicing requirements outlined in Section 9, which include:

5.1 Tuberculosis Screening. Check one of the following boxes:

5.1.1 ☐ TB Clearance will be completed through the AUSD Human Resources Department prior to starting work.

5.1.2 ☒ **Waiver of TB Screening.** CONTRACTOR is not required to provide evidence of TB Clearance because CONTRACTOR will not work directly with students on more than an occasional basis.

bs (CONTRACTOR initials)

____ (District Representative initials)

5.2 Fingerprinting of Employees and Agents. The fingerprinting and criminal background investigation requirements of Education Code Section 45125.1 apply to CONTRACTOR's services under this Agreement and CONTRACTOR certifies its compliance with these provisions as follows: "CONTRACTOR has complied with the fingerprinting and criminal background investigation requirements of Education Code Section 45125.1 with respect to all CONTRACTOR's employees, subcontractors, agents, and subcontractors' employees or agents ("Employees") regardless of whether those Employees are paid or unpaid, concurrently employed by AUSD or acting as independent contractors of CONTRACTOR, who may have contact with AUSD pupils in the course of providing services pursuant to the Agreement, and the California Department of Justice has determined that none of those Employees has been convicted of a felony, as that term is defined in Education Code Section 45122.1. CONTRACTOR further certifies that it has received and reviewed fingerprint results for each of its Employees and CONTRACTOR has requested and reviewed subsequent arrest records for all Employees who may come into contact with AUSD pupils in providing services to the District under this Agreement."

5.2.1 ☐ Fingerprint Clearance will be completed through the AUSD Human Resources Department prior to starting work.

- 5.2.2 ☒ **Waiver of Fingerprint Requirement.** CONTRACTOR is not required to comply with section 7.2 because CONTRACTOR's services are of limited duration and District employees will directly supervise CONTRACTOR at all times that CONTRACTOR is in the presence of students.

 (CONTRACTOR initials)

 (District Representative initials)

- 5.3 **Removal of CONTRACTOR's Employee(s).** In the event that AUSD, in its sole discretion, at any time during the term of this Agreement, desires the removal of any CONTRACTOR related persons, employee, representative, or agent from an AUSD school site and/or property, CONTRACTOR shall immediately upon receiving notice from AUSD of such desire, cause the removal of such person or persons.

6. **Insurance.** CONTRACTOR will provide AUSD with evidence of the following insurance coverage prior to commencing the work under this Agreement:

- 6.1 **Workers' Compensation Insurance. Check one of the following boxes.** If CONTRACTOR employs any person to perform work in connection with this Agreement, CONTRACTOR shall procure and maintain at all times during the performance of such work, Workers' Compensation Insurance in conformance with the laws of the State of California and Federal laws when applicable. Employers' Liability Insurance shall not be less than One Million Dollars (\$1,000,000) per accident or disease.

Check only one of the boxes below:

- ☐ The CONTRACTOR is aware of the provisions of Section 3700 of the Labor Code which requires every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of that Code, and will comply with such provisions before commencing the performance of the work of this Agreement.
- ☐ The CONTRACTOR does not employ anyone in the manner subject to the Workers' Compensation laws of California.

- 6.2 **General Liability Insurance.** CONTRACTOR shall maintain general liability insurance, including automobile coverage with limits of One Million Dollars (\$1,000,000) per occurrence for bodily injury and property damage. The coverage shall be primary as to AUSD and shall name AUSD as an additional insured. Evidence of insurance is attached. Inclusion of AUSD as an additional insured shall not affect AUSD's right to a claim, demand, suit or judgment made, brought or recovered against CONTRACTOR. The policy shall protect CONTRACTOR and AUSD in the same manner as though each were separately issued. Nothing in said policy shall operate to increase the insurer's liability as set forth in the policy beyond the amount or amounts shown or to which the insurer would have been liable if only one interest were named as an insured.

- 6.3 **Professional Liability Insurance.** If CONTRACTOR is offering AUSD professional advice under this Agreement, CONTRACTOR shall maintain errors and omissions insurance or professional liability insurance with coverage limits of One Million Dollars (\$1,000,000) per claim.

- 6.3.1 ☐ **Waiver of Insurance.** CONTRACTOR is not required to maintain any insurance under this Agreement. Waiver of insurance does not release CONTRACTOR from responsibility for any claim or demand.

 (CONTRACTOR initials)

 (District Representative initials)

7. **Notices.** All notices and invoices provided for under this Agreement shall be in writing and either personally delivered during normal business hours or sent by U.S. Mail (certified, return receipt requested) with postage prepaid to the other party at the address set forth below:

AUSD Representative:

Name: Robbie Lyng
E-mail: rlyng@alameda.k12.ca.us
Site/Dept: AUSD-MOF
Address: 2060 Challenger Dr., Alameda, Ca 94501
Phone: 510-337-7090

CONTRACTOR:

Name: MBS
Title: Principal
Address: 12893 Alcosta Blvd., Suite K
San Ramon, CA 94583
Phone: 925-307-6224

Notice shall be effective when received if personally served or, if mailed, three days after mailing. Either party must give written notice of a change in address. CONTRACTOR shall submit invoices in a form that includes the name of the person providing the service, the service performed, the date service was rendered, and the hours spent on the work.

8. **Invoicing.** Invoices furnished by CONTRACTOR under this Agreement must be in a form acceptable to AUSD. All amounts paid by AUSD shall be subject to audit by AUSD.

- 8.1 Invoice shall include but not be limited to: Consultant name, consultant address, invoice date, invoice sequence number, purchase order number, name of school or department service was provided to, period of service, number of hours of service, brief description of services provided, hourly rate, and total payment requested.
- 8.2 In addition, unless specifically waived by AUSD as set forth above, invoices from Agencies or Organizations must include evidence of compliance with section 7 herein.

9. **Licenses and Permits.** CONTRACTOR shall obtain and keep in force all licenses, permits, and certificates necessary for the performance of this Agreement.

10. Contractor Qualifications / Performance of Services.

- 10.1 Contractor Qualifications. CONTRACTOR is specially trained, experienced, competent and fully licensed to provide the Services required by this Agreement in conformity with the laws and regulations of the State of California, the United States of America, and all local laws, ordinances and regulations, as they may apply.
- 10.2 Standard of Care. CONTRACTOR represents that CONTRACTOR has the qualifications and ability to perform the Services in a professional manner, without the advice, control, or supervision of AUSD. Contractor's services will be performed, findings obtained, reports and recommendations prepared in accordance with generally and currently accepted principles and practices of its profession for services to California school districts.

11. **Status of Contractor.** This is not an employment contract. CONTRACTOR, in the performance of this Agreement, shall be and act as an independent contractor. CONTRACTOR understands and agrees that it and all of its employees shall not be considered officers, employees, agents, partner, or joint venture of AUSD, and are not entitled to benefits of any kind or nature normally provided employees of AUSD and/or to which AUSD's employees are normally entitled, including, but not limited to, State Unemployment Compensation or Workers' Compensation. CONTRACTOR shall assume full responsibility for payment of all federal, state, and local taxes or contributions, including unemployment insurance, social security, and income taxes with respect to CONTRACTOR's employees. In the performance of the work herein contemplated, CONTRACTOR is an independent

contractor or business entity, with the sole authority for controlling and directing the performance of the details of the work. AUSD's interest is only in the results obtained.

12. **Assignment.** The obligations of CONTRACTOR under this Agreement shall not be assigned by CONTRACTOR without the express prior written consent of AUSD.
13. **Anti-Discrimination.** It is the policy of AUSD that in connection with all work performed under contracts there be no discrimination against any employee engaged in the work because of race, color, ancestry, national origin, religious creed, physical disability, medical condition, marital status, sexual orientation, gender, or age and therefore the CONTRACTOR agrees to comply with applicable federal and California laws including, but not limited to, the California Fair Employment and Housing Act beginning with Government Code Section 12900 and Labor Code Section 1735 and AUSD policy. In addition, the CONTRACTOR agrees to require like compliance by all its subcontractors. Contractor shall not engage in unlawful discrimination in employment on the basis of actual or perceived race, color, national origin, ancestry, religion, age, marital status, pregnancy, physical or mental disability, medical condition, veteran status, gender, sex, or sexual orientation.
14. **Drug-Free/Smoke Free Policy.** No drugs, alcohol, and/or smoking are allowed at any time in any buildings and/or grounds on AUSD property. No students, staff, visitors, CONTRACTORS, or subcontractors are to smoke or use drugs or alcohol on these sites.
15. **Indemnification.** CONTRACTOR agrees to hold harmless, indemnify, and defend AUSD and its officers, agents, and employees from any and all claims or losses accruing or resulting from injury, damage, or death of any person, firm, or corporation in connection with the performance of this Agreement. CONTRACTOR also agrees to hold harmless, indemnify, and defend AUSD and its elective board, officers, agents, and employees from any and all claims or losses incurred by any supplier, contractor, or subcontractor furnishing work, services, or materials to CONTRACTOR in connection with the performance of the Agreement. This provision survives termination of this Agreement.
16. **Copyright/Trademark/Patent/Ownership.** CONTRACTOR understands and agrees that all matters produced under this Agreement shall become the property of AUSD and cannot be used without AUSD's express written permissions. AUSD shall have all rights, title, and interest in said matters, including the right to secure and maintain the copyright, trademark and/or patent of said matter in the name of AUSD. CONTRACTOR consents to use of CONTRACTOR's name in conjunction with the sale, use, performance, and distribution of the matters, for any purpose and in any medium. These matters include, without limitation, drawings, plans, specifications, studies, reports, memoranda, computation sheets, the contents of computer diskettes, artwork, copy, posters, billboards, photographs, videotapes, audiotapes, systems designs, software, reports, diagrams, surveys, source codes, or any other original works of authorships, or other documents prepared by CONTRACTOR or its subcontractors in connection with the Services performed under this Agreement. All works shall be works for hire as defined under Title 17 of the United States Code, and all copyrights in those works are the property of AUSD.
17. **Waiver.** No delay or omission by either party in exercising any right under this Agreement shall operate as a waiver of that or any other right or prevent a similar subsequent act from constituting a violation of the Agreement.

- 18. Termination.** AUSD may at any time terminate this Agreement upon written notice to CONTRACTOR. AUSD shall compensate CONTRACTOR for services satisfactorily provided through the date of termination. In addition, AUSD may terminate this Agreement for cause should CONTRACTOR fail to perform any part of this Agreement. In the event of termination for cause, AUSD may secure the required services from another contractor. If the cost to AUSD exceeds the cost of providing the services pursuant to the Agreement, CONTRACTOR shall pay the additional cost.
- 19. No Rights in Third Parties.** This Agreement does not create any rights in, or inure to the benefit of, any third party except as expressly provided herein.
- 20. AUSD's Evaluation of CONTRACTOR and CONTRACTOR's Employees and/or Subcontractors.** AUSD may evaluate the CONTRACTOR's work in any way that AUSD is entitled to do so pursuant to applicable law. The AUSD's evaluation may include, without limitation:
- 20.1** Requesting that AUSD employee(s) evaluate the CONTRACTOR and the CONTRACTOR's employees and subcontractors and each of their performance.
 - 20.2** Announced and unannounced observance of CONTRACTOR, CONTRACTOR's employee(s), and/or subcontractor(s).
- 21. Limitation of AUSD Liability.** Other than as provided in this Agreement, AUSD's financial obligations under this Agreement shall be limited to the payment of the compensation provided in this Agreement. Notwithstanding any other provision of this Agreement, in no event shall AUSD be liable, regardless of whether any claim is based on contract or tort, for any special, consequential, indirect, or incidental damages, including, but not limited to, lost profits or revenue, arising out of or in connection with this Agreement for the services performed in connection with this Agreement.
- 22. Confidentiality.** The CONTRACTOR and all CONTRACTOR's agents, personnel, employee(s), and/or subcontractor(s) shall maintain the confidentiality of all information received in the course of performing the Services. CONTRACTOR understands that student records are confidential and agree to comply with all state and federal laws concerning the maintenance and disclosure of student records. This requirement to maintain confidentiality shall extend beyond the termination of this Agreement. CONTRACTOR will be permitted access to student data only where permissible under state and federal law and only after executing AUSD's Confidentiality Agreement Regarding Student Data.
- 23. Conflict of Interest.** CONTRACTOR shall abide by and be subject to all applicable AUSD policies, regulations, statutes or other laws regarding conflict of interest. CONTRACTOR shall not hire any officer or employee of AUSD to perform any service by this Agreement. CONTRACTOR affirms to the best of his/her/its knowledge, there exists no actual or potential conflict of interest between CONTRACTOR's family, business or financial interest and the services provided under this Agreement, and in the event of change in either private interest or services under this Agreement, any question regarding possible conflict of interest which may arise as a result of such change will be brought to AUSD's attention in writing. Through its execution of this Agreement, CONTRACTOR acknowledges that it is familiar with the provisions of Section 1090 *et seq.* and Section 87100 *et seq.* of the Government Code of the State of California, and certifies that it does not know of any facts which constitute a violation of said provisions. In the event CONTRACTOR receives any information subsequent to execution of this Agreement, which might constitute a violation of said provisions, CONTRACTOR agrees it shall notify AUSD of this information.

- 24. Integration/Entire Agreement of Parties.** This Agreement constitutes the entire agreement between the Parties and supersedes all prior discussions, negotiations, and agreements, whether oral or written. This Agreement may be amended or modified only by a written instrument executed by both Parties.
- 25. Litigation.** This Agreement shall be performed in Alameda, California and is governed by the laws of the State of California. The Alameda County Superior Court shall have jurisdiction over any state court litigation initiated to enforce or interpret this Agreement. If litigation is initiated, the prevailing party shall be entitled to reasonable attorney's fees and costs.
- 26. Agreement Contingent on Governing Board Approval.** The District shall not be bound by the terms of this Agreement until it has been formally approved or ratified by the District's Governing Board, and no payment shall be owed or made to CONTRACTOR absent formal approval.
- 27. Signature Authority.** Each party has the full power and authority to enter into and perform the Agreement and the person signing this Agreement on behalf of each party has been given the proper authority and empowered to enter into this Agreement.
- 28. Counterparts.** This Agreement and all amendments and supplements to it may be executed in counterparts, and all counterparts together shall be construed as one document.
- 29. Incorporation of Recitals and Exhibits.** The Recitals and each Exhibit attached hereto are hereby incorporated herein by reference.
- 30. Other.** Additional terms set forth in this paragraph 30 must be approved by AUSD General Counsel:
_____ (General Counsel initials)

I. SITE

CONTRACTOR

Print Name & Title:

Brian James, President

CONTRACTOR Signature:



Date:

12-8-16

SOURCE OF FUNDS

Unrestricted Funds (general fund) _____ Donated Funds _____

Restricted Funds (categorical) _____ with Appropriate Director Approval: _____

(Director Signature)

Budget Code: 01-8150-0-0000-8500-6271-022-77-3014

Requesting Administrator _____

Date _____

FORWARD TO: Business Services Administrative Assistant for Processing

II. HR

Human Resource Approval ☐ Yes ☐ No

Signature of Human Resource Administrator _____

Date _____

FORWARD TO: Business Services Administrative Assistant for Processing

III. CABINET

- ☒ Superintendent, Sean McPhetridge
- ☐ Chief Human Resources Officer, Tim Erwin
- ☐ Chief Academic Officer, Steven Fong
- ☐ Chief Student Support Officer, Kirsten Zazo

- ☐ General Counsel, Chad Pimentel
- ☐ Chief Business Officer, Shariq Khan

Signature of Cabinet Member _____

Date _____

BOE Approval Required For Contracts Equal To Or Greater Than \$87, 800:

Signature of President, Board of Education _____

Date _____

Signature of Secretary, Board of Education _____

Date _____

IV. BOARD

ALAMEDA UNIFIED SCHOOL DISTRICT

Excellence & Equity For All Students

Instructions to Independent Contractors

Contractors who are not corporations should complete this form and submit with other contract documents.

Check all items that are true and correct. Contractor must inform Fiscal Services if business is converted into a corporation.

INDEPENDENT CONTRACTOR STATUS CHECKLIST

(Employee v. Independent Contractor)

Independent

Retired school employee?

☐ Yes

☐ No

Contractor's Name: _____

Current school employee?

☐ Yes

☐ No

COMMON LAW FACTORS:

- ☐ **NO INSTRUCTIONS:** The worker will not be required to follow explicit instructions to accomplish the job. AUSD may provide job specifications, however.
- ☐ **NO TRAINING:** The worker will not receive training provided by AUSD. The worker will use independent methods to accomplish the work.
- ☐ **RIGHT TO HIRE OTHERS:** The worker is being hired to provide a result and will have the right to hire others to do the actual work/job.
- ☐ **WORK NOT ESSENTIAL TO AUSD:** AUSD's success or continuation does not depend on the services of the worker.
- ☐ **OWN WORK HOURS:** The worker will establish the work hours for the job.
- ☐ **NOT A CONTINUING RELATIONSHIP:** The worker will not have a continuing relationship with AUSD. If the relationship is frequent, it will be at irregular intervals, or call (no full-time), or whenever work is available.
- ☐ **CONTROL OF ASSISTANTS:** If assistants are hired, it will be at the worker's sole discretion. The worker will be responsible for hiring, supervising, and paying those assistants.
- ☐ **TIME TO PURSUE OTHER WORK:** The worker will have time to pursue other gainful work.
- ☐ **JOB LOCATION:** The worker will control the job location if work is performed on AUSD's premises; AUSD will not direct or supervise the work.
- ☐ **ORDER OF WORK:** The worker will determine the order and sequence in which the job will be performed.
- ☐ **BASIS OF PAYMENT:** The worker will be paid by the job or project, not by actual time expended. Periodic payments may be made, though, based on a percentage of the completed job. Also, overall compensation may be based on the projected number of days/hours needed to do the job times a fixed daily/hourly rate. However, this compensation will be set in advance of the job.
- ☐ **WORK FOR MULTIPLE FIRMS:** The worker may work for more than one firm or agency at a time.
- ☐ **BUSINESS EXPENSES:** The worker will be responsible for incidental or special business expenses.
- ☐ **OWN TOOLS/EQUIPMENT:** The worker will furnish the tools/equipment needed for the job. If AUSD leases equipment to the worker, the terms will be equivalent to what an independent business person could have obtained in the open market.

- ☐ **SIGNIFICANT INVESTMENT:** The worker can perform services without hiring AUSD's facilities (equipment, office furniture, machinery, etc.). The worker's investment in his/her trade is real, essential, and adequate.
- ☐ **SERVICES AVAILABLE TO GENERAL PUBLIC:** The worker makes his/her services available to the general public by (check one or more):
- ☐ Having an office and assistants
 - ☐ Advertising his/her services (e.g., business cards, letterhead, telephone book, other)
 - ☐ Having business signs
 - ☐ Having a business license
 - ☐ Listing services in a business directory
 - ☐ Other
 - ☐ (Attached copies of business license, business cards, letterhead, advertisements)
- ☐ **POSSIBLE PROFIT OR LOSS:** The worker can make a profit or a loss (check one or more):
- ☐ The worker hires, directs, and pays assistants
 - ☐ The worker has his/her own office, equipment, materials, or facilities
 - ☐ The worker has continuing and recurring liabilities
 - ☐ The worker has agreed to perform specific jobs for prices agreed upon in advance
 - ☐ The worker's services affect his/her own business reputation
- ☐ **LIMITED RIGHT TO DISCHARGE:** The worker cannot be fired so long as a result is produced which meets the contract specifications.
- ☐ **NO COMPENSATION FOR NON-COMPLETION:** The worker is responsible for the satisfactory completion of the job and is not entitled to compensation in case of non-completion.
- ☐ **NO INTERIM REPORTS:** The worker is hired for the final result, and therefore, the worker will be asked for progress or interim reports. (Note: Reports which are defined in the Independent Contractor Agreement as an expected final result of the agreement or which are required by state or federal law are part of the services contracted for and are not considered "interim" or "progress" reports.)

I, _____ (contractor's printed name), certify that all the statements as checked above are true and correct according to the best of my knowledge.

Signature: _____

EXHIBIT A



12893 Alcosta Boulevard, Suite K
San Ramon, CA 94583
P: 925.307.6224
F: 925.365.1618
License: #990872
www.MBS.Engineering

Proposal #58997.2016

Company Name: Alameda High School

Jobsite: 2201 Encinal Ave, Alameda

Location: Roof gas system that feeds boiler room to the gym.

Basis and Overview:

Design and engineer a gas system to establish gas flow to roof top gas heaters.

Description of Work:

Design, engineer, build and install a new 2" gas system to supply gas to heaters. Existing gas line was disconnected along with historical Building.

Scope:

Weld 2" gas pipe and fittings using Welding Procedure AWS B2.1 SMAW 6G

Schedule Gas Line to be out of service:

- 4 days shop time and 4 days onsite.

Materials:

- 415 feet 2" black pipe
- 15 weld on 2" 90's
- 4x4x2 weld on tee
- 4" 150 lbs flange kit with bolts and gaskets
- 32 roof blocks
- 32 pipe straps

Materials	\$ 6,565.00
Labor	\$ 30,039.00
<u>Equipment</u>	<u>\$ 5,900.00</u>
Total	\$ 42,504.00

MBS Engineering, Inc. offers the following:

- a. **Gas Line Engineering** – In-house engineer to review the meter set, downstream gas load, pipe lengths and pressure drop (Delta P) calculations to insure installation does not compromise the gas system or any of the appliances it feeds.
- b. **Factory Trained & Certified Regulator Mechanics** – Pressure regulators play a crucial role by insuring the downstream gas system is supplying the proper amount of gas flow and pressure. Each proposal should review each regulator for proper settings.
- c. **Factory Trained Gas Meter Technicians** – Working on a gas system requires a complete working knowledge of a gas meter and all of its components. Without such training the possibility one could damage a gas system is high. Once damage has occurred PG&E (or another gas utility) can shut down the work in progress and bill the property owner for any and all repairs.
- d. **Certified Pipe Welders** – Every weld should be certified and guaranteed not to fail. Non-certified pipe welders do not and cannot guarantee long-term performance on systems they work on.
- e. **Certified Seismic Valve Installers**
- f. **Certified Excess Flow Valve Installers**
- g. **Licensed Plumbing Contractor** (License# 990872) / A-General Engineering License
- h. **Properly Licensed and Bonded**
- i. **Experience** – MBS Engineering, Inc. has successfully installed over 50,000 seismic valves at residential, industrial & commercial locations including schools, hospitals, HOA's, and business offices.

Signature: _____
Print Name: _____
Date: _____
P.O. Number: _____

***This offer expires 30 days from date on
proposal***

Thank you for this opportunity.



**AMENDMENT NO. 1 TO PROFESSIONAL SERVICES AGREEMENT
WITH MBS Engineering, Inc.:**

This Amendment to the professional services agreement (“Amendment”) between MBS Engineering, Inc. (“Consultant”) and the Alameda Unified School District, a California public school district located in Alameda, California (“District”) (collectively, the “Parties”) is made and entered into this 12th day of December, 2016,

RECITALS

- A. WHEREAS, on December 8, 2016, Consultant and District entered into an agreement for the performance of professional services (“Agreement”), more particularly described in the Agreement, a copy of which is attached hereto as **Exhibit A**.
- B. WHEREAS, the Agreement currently states that the compensation for work performed shall be for a flat fee not to exceed \$42,504.
- C. WHEREAS, the Parties agree that the compensation to Consultant for work performed under the Agreement should be increased because of additional detected corroded gas piping in the Industrial Arts and 800 Building at Alameda High School per proposal attached hereto at **Exhibit B**.
- D. WHEREAS, the Parties agree that the compensation to Consultant for work performed under the Agreement should be increased to an amount not to exceed \$71,164.
- E. WHEREAS, it is now the desire and intention of the Parties to amend the Agreement as set forth below.

NOW, THEREFORE, in light of the foregoing facts and in further consideration of the promises and agreements of the Parties set forth herein below, it is mutually agreed as follows:

TERMS AND CONDITIONS

Except as revised herein, all other provisions of the Agreement shall remain in full force and effect and are reaffirmed. If there is any conflict between this Amendment and any provision of the Agreement, the provisions of this Amendment shall control.

THE FOLLOWING CHANGE SHALL BE MADE TO THE AGREEMENT:

- 1. The amount not to exceed identified in section 3.1 shall be increased to \$71,164.

ACCEPTED AND AGREED on the date indicated below:

Dated: _____, 2016

Dated: _____, 2016

**ALAMEDA UNIFIED
SCHOOL DISTRICT**

CONSULTANT

By: _____

By: _____

Print Name: Robbie Lyng

Print Name:

Print Title: Director

Print Title:

By: _____

Print Name: Shariq Khan

Print Title: Chief Business Officer

By: _____

Print Name: Sean McPhetridge

Print Title: Superintendent

EXHIBIT B



12893 Alcosta Boulevard, Suite K
San Ramon, CA 94583
P: 925.307.6224
F: 925.365.1618
License: #990872
www.MBS.Engineering

Proposal #58996.2016

Company Name: Alameda High School

Jobsite: 2201 Encinal Ave, Alameda

Location: Roof gas system that feeds roof top gas heating units on Industrial Arts and 800 Building.

Basis and Overview:

Design and engineer a gas system to establish gas flow to roof top gas heaters.

Description of Work:

Design, engineer, build and install a new 2" gas system to supply gas to heaters. Existing gas line was disconnected along with historical Building.

Scope:

Weld 2" gas pipe and fittings using Welding Procedure AWS B2.1 SMAW 6G

Schedule Gas Line to be out of service:

- 2 days shop time and 3 days onsite.

Materials:

- 210 feet 2" black pipe
- 6 weld on 2" 90's
- 4x4x2 weld on tee
- 4" manual shut-off valve flanged
- 4" 150 lbs flange kit with bolts and gaskets
- 21 roof blocks
- 27 pipe straps

Materials	\$ 4,859.00
Labor	\$ 19,256.00
Equipment	<u>\$ 4,545.00</u>
Total	\$ 28,660.00

MBS Engineering, Inc. offers the following:

- a. **Gas Line Engineering** – In-house engineer to review the meter set, downstream gas load, pipe lengths and pressure drop (Delta P) calculations to insure installation does not compromise the gas system or any of the appliances it feeds.
- b. **Factory Trained & Certified Regulator Mechanics** – Pressure regulators play a crucial role by insuring the downstream gas system is supplying the proper amount of gas flow and pressure. Each proposal should review each regulator for proper settings.
- c. **Factory Trained Gas Meter Technicians** – Working on a gas system requires a complete working knowledge of a gas meter and all of its components. Without such training the possibility one could damage a gas system is high. Once damage has occurred PG&E (or another gas utility) can shut down the work in progress and bill the property owner for any and all repairs.
- d. **Certified Pipe Welders** – Every weld should be certified and guaranteed not to fail. Non-certified pipe welders do not and cannot guarantee long-term performance on systems they work on.
- e. **Certified Seismic Valve Installers**
- f. **Certified Excess Flow Valve Installers**
- g. **Licensed Plumbing Contractor** (License# 990872) / A-General Engineering License
- h. **Properly Licensed and Bonded**
- i. **Experience** – MBS Engineering, Inc. has successfully installed over 50,000 seismic valves at residential, industrial & commercial locations including schools, hospitals, HOA's, and business offices.

Signature: _____

Print Name: _____

Date: _____

P.O. Number: _____

***This offer expires 30 days from date on
proposal***

Thank you for this opportunity.

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Approval of Northern Alameda Consortium for Adult Education JPA Agreement

Item Type: Action

Background: Peralta Community College District and numerous Alameda County school districts are working in concert to envision and realize Adult Education goals as specified in the Adult Education Block Grant Program. All parties in the Northern Alameda County Consortium for Adult Education's executive steering group and working group, representing PCCD and local educational agencies in the region's delivery of Adult School programs, have agreed to the terms outlined in the Joint Powers Agreement herein.

Tonight, we ask the Board of Education to approve the JPA so we carry through on the vision of PCCD and AUSD working together to secure funding and partner together.

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): N/A

Department Budget: N/A

Recommendation: Approve as submitted.

AUSD Guiding Principle: #1 - All students have the ability to achieve academic and personal success.| #3 - Administrators must have the knowledge, leadership skills and ability to ensure student success.| #4 - Parental involvement and community engagement are integral to student success.| #5 - Accountability, transparency, and trust are necessary at all levels of the organization.| #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By: Sean McPhetridge Ed.D., Superintendent

ATTACHMENTS:

Description	Upload Date	Type
❑ Final JPA	1/18/2017	Backup Material
❑ JPA Signature Pages	1/18/2017	Backup Material

**JOINT EXERCISE OF POWERS AGREEMENT
NORTHERN ALAMEDA CONSORTIUM FOR ADULT EDUCATION
JOINT POWERS AUTHORITY**

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JOINT EXERCISE OF POWERS AGREEMENT

TO ESTABLISH, OPERATE, AND MAINTAIN A JOINT PROGRAM FOR MANAGEMENT OF ADULT EDUCATION PROGRAMS AND SERVICES

THIS AGREEMENT is entered into pursuant to the provisions of the Joint Exercise of Powers Act, Title 1, Division 7, Chapter 5, Article 1 (Sections 6500, et seq.) of the California Government Code between the public educational agencies signatory hereto, and also those which may hereafter become signatory hereto, for the purpose of operating a joint powers entity to be known and designated as the Northern Alameda Consortium for Adult Education Joint Powers Authority, hereinafter designated as the "Authority".

WITNESSETH:

WHEREAS, the state of California has enacted the Education Finance Omnibus Trailer Act of 2015, which includes the establishment of the Adult Education Block Grant Program ("Program"); and

WHEREAS, the Program apportions state grant funds for adult education delivery with the intent of integrating existing adult education programs, creating linkages between programs and developing regional plans to better serve the educational needs of adults; and

WHEREAS, promoting educational opportunities for adults within Alameda County is a top priority of the signatories hereto; and

WHEREAS, it has been determined by the signatories hereto that they can more efficiently utilize the apportioned state grant funds and meet the educational needs of adults by collaborating and coordinating their efforts; and

WHEREAS, it is to the mutual benefit of the parties herein subscribed and in the best public interest of said parties to join together to establish this Authority to accomplish the purposes hereinafter set forth; and

WHEREAS, the development, organization, and implementation of such an Authority is of such magnitude that it is desirable for aforesaid parties to join together in this Joint Powers Agreement in order to accomplish the purposes hereinafter set forth; and

WHEREAS, Title 1, Division 7, Chapter 5, Article 1, of the California Government Code authorizes joint exercise by two or more public agencies of any power common to them; and

NOW, THEREFORE, FOR AND IN CONSIDERATION OF THE MUTUAL ADVANTAGES TO BE DERIVED THEREFROM, AND IN CONSIDERATION OF THE EXECUTION OF THIS AGREEMENT BY OTHER PUBLIC AGENCIES, EACH OF THE PARTIES HERETO DOES AGREE AS FOLLOWS:

1. DEFINITIONS

Unless the context requires otherwise, the terms used herein and in the Bylaws shall have the following meanings:

- (a) "Authority" shall mean the Northern Alameda Consortium for Adult Education Joint Powers Authority created by this Agreement.
- (b) "Executive Cabinet of Directors" shall mean the governing board of the Authority established by the Bylaws to direct and control the Authority.
- (c) "Member" shall mean an individual school district, community college district, regional occupational center or program, the county board of education or county superintendent of schools, or joint powers authority comprised solely of public educational agencies which belong to the Authority. The term "Member" shall not exclude withdrawn Members with respect to their ongoing obligations for the policy years they participated.
- (d) "Peralta CCD" shall mean the Peralta Community College District.
- (e) "Public Educational Agency" shall mean a school district, community college district, regional occupational program/center or program, county board of education or superintendent of schools or joint powers agency/authority consisting of one or more of the foregoing and serving the interests of public entities.
- (f) "School District Members" shall mean each of the school districts which are parties to this Agreement.
- (g) "Working Group" shall be the committee established by the Bylaws to conduct the business of the Authority.

2. CREATION OF THE JOINT POWERS ENTITY

A Joint Powers Entity, separate and apart from the Public Educational Agencies signatory hereto, shall be and is hereby created and shall hereafter be designated as the Northern Alameda Consortium for Adult Education Joint Powers Authority. Pursuant to California Government Code section 6508.1, the debts, liabilities, and obligations of the Authority shall be solely its own and they shall not constitute debts, liabilities, and obligations of its officers, directors, employees, agents, Executive Cabinet of Directors, Working Group, Executive Director (defined herein) or of any Member.

3. TERM OF THE AGREEMENT

This Agreement shall be effective upon the execution hereof by the duly authorized representatives of all of the parties hereto and shall supersede and replace any agreement or agreements between the parties relating to the Authority. This Agreement shall continue in effect until lawfully terminated as provided herein. In the event of a reorganization of one or more of the Public Educational Agencies participating in this Agreement, the successor in interest or successors in interest to the obligations of any such reorganized Public Educational Agency may be substituted as a party or as parties to this Agreement.

4. PARTIES TO THE AGREEMENT

- (a) Only local public entities of California government which are empowered by law and actually engaged in activities pertaining to providing for the educational needs of adults are eligible to become parties to this Agreement.
- (b) The parties to this Agreement are all local Public Educational Agencies which are, as of the effective date of this Agreement, Members of the Authority or which are subsequently admitted as Members in accordance with section 8 of this Agreement.

5. PURPOSE OF THE AUTHORITY

The Authority is established, pursuant to the Joint Powers provisions of the California Government Code, to provide the services and other items necessary and appropriate for the establishment, operation, and maintenance of a joint program for adult education delivery with the intent of integrating existing adult education programs, creating linkages between programs and developing and maintaining regional plans to better serve the educational needs of adults.

6. POWERS OF THE AUTHORITY

The Authority shall have the power and authority to exercise any power common to the public educational agencies which are parties to this Agreement, provided that the same are in furtherance of the functions and objectives set forth herein. Pursuant to Section 6509 of the California Government Code, the exercise of the aforesaid powers of the Authority shall be subject to the restrictions upon the manner of exercising such powers by a Public Educational Agency having the same status as a Member District or joint powers authority, except as otherwise provided in this Agreement. Similarly, the manner in which those powers are exercised are subject to the restrictions required of the Member whose restrictions in the exercise of its powers are the most stringent.

The Authority's powers include, but are not limited to, the following:

- To make and enter into contracts.
- To incur debts, liabilities, and obligations.

- To acquire, hold, or dispose of property, contributions and donations of property, funds, services, and other forms of assistance from persons, firms, corporations, and government entities.
- To sue and be sued in its own name, and to settle any claim against it.
- To receive and use contributions and advances from member Districts as provided in California Government Code Section 6505, including contributions or advances of personnel, equipment or property.
- To invest any money in its treasury that is not required for its immediate necessities, pursuant to Government Code Section 6509.5.
- To carry out all provisions of this Agreement.

7. BYLAWS

The Authority shall be governed pursuant to those certain Bylaws, a copy of which is attached hereto as Exhibit "A" and incorporated herein by reference, and by such amendments to the Bylaws as may from time to time be adopted. Wherever in this Agreement "Bylaws" are referred to, said Bylaws shall be those set forth in Exhibit "A", as may be amended. Each party to this Agreement agrees to comply with and be bound by the provisions of said Bylaws and further agrees that the Authority shall be operated pursuant to this Agreement and said Bylaws.

Procedures for amending the Bylaws shall be as provided in the Bylaws so long as not inconsistent with this Agreement. All amendments must be approved by two-thirds (2/3) vote of the Members of the Executive Cabinet of Directors before the amendment shall become effective. Such amendments shall be binding upon all Members of the Authority. The effective date of any amendment will be on the first day of the next month following adoption, unless otherwise stated.

8. MEMBERSHIP IN THE AUTHORITY

- (a) Each party to this Agreement must be eligible for membership in the Authority as defined in the Bylaws and shall become a member of the Authority on the effective date of this Agreement, except as provided herein below. Each party which becomes a member of the Authority shall be entitled to the rights and privileges of, and shall be subject to the obligations of, membership as provided in this Agreement and in the Bylaws.
- (b) Upon approval of two-thirds (2/3) vote of the Executive Cabinet of Directors, any school district, community college district, county superintendent of schools or board of education, regional occupational center or program, joint powers authority comprised solely of public educational entities, or other public educational agencies that are not a party hereto but desire to join the Authority created hereby, may become a Member hereof by executing a copy of this Agreement whereby said school district, community college district, county superintendent of schools or board of education, regional occupational center or program, joint powers authority comprised solely of public educational entities, or other public educational agencies

agrees to comply with the terms of this Agreement and of the Bylaws effective as of the date of such execution.

- (c) Each Member, as a party to this Agreement, certifies that it intends to and does contract with all other Members as parties to this Agreement and, with such other Members as may later be added as parties to this Agreement. Each Member also certifies that removal of any party from this Agreement shall not affect this Agreement or the Member's obligations hereunder.
- (d) Public Educational Agencies applying for membership in the Authority on or after the initial date of execution of this Agreement shall be subject to the following conditions:
 - (i) Submission of a formal letter requesting membership.
 - (ii) Approval of membership request by the Executive Cabinet of Directors.

9. EXECUTIVE CABINET OF DIRECTORS

The Authority shall be governed by an Executive Cabinet of Directors which shall be composed of representatives of those Members who have exercised their right to participate on the Executive Cabinet of Directors. Each School District Member shall be entitled at any given time to appoint one member and one or more alternate member(s) of the Executive Cabinet of Directors, each of whom shall be an officer, director or employee of the appointing Member. Peralta CCD shall be entitled at any given time to appoint six members and one or more alternate member(s) of the Executive Cabinet of Directors each of whom shall be an officer, trustee or employee of Peralta CCD. Appointments shall be made as specified in the Bylaws. At any meeting of the Executive Cabinet of Directors, each duly appointed member, or in the member's absence, one of the alternate members as determined by the Member, shall have one vote on behalf of his or her Member.

10. POWERS OF THE EXECUTIVE CABINET OF DIRECTORS

- (a) The Members, acting through the Executive Cabinet of Directors, shall retain overall responsibility for governance of the Authority, including the right to exercise all powers of the Authority not delegated to other persons or bodies of the Authority.
- (b) The Executive Cabinet of Directors shall have the following express powers, duties and responsibilities:
 - (i) Election of certain Authority officers and the members of its Working Group, except that vacancies occurring in those offices during their term shall be filled pursuant to Section 12(c) and 12(f).
 - (ii) Approval of the annual budget of the Authority.

- (iii) Approval of amendments to this Agreement and the Bylaws.
- (iv) The exercise of powers of the Authority, including promulgation of policies, procedures and rules, with respect to all matters reserved to the Executive Cabinet of Directors by this Agreement, the Bylaws or otherwise.

11. MEETINGS OF THE EXECUTIVE CABINET OF DIRECTORS

- (a) The Bylaws of the Authority shall make provision for calling and holding meetings of the Executive Cabinet of Directors which shall include, in any event, at least two regular meetings annually.
- (b) Meetings of the Executive Cabinet of Directors shall be conducted in accordance with this Section, the Bylaws and applicable provisions of law governing the meetings of legislative bodies and governing boards of local public entities of the State of California including the provisions of the Ralph M. Brown Act (California Government Code Section 54950 et seq.).
- (c) The presence in person (or by telephone in the case of a noticed telephonic meeting) of a majority of Member representatives from both K-12 districts and community colleges shall constitute a quorum for the conduct of business of the Board except as otherwise provided by this Agreement, the Bylaws or other applicable provisions of law.

12. EXECUTIVE DIRECTOR AND OTHER STAFF

- (a) The Executive Cabinet of Directors shall appoint an Executive Director who shall be responsible for the general administration of the business and day-to-day activities of the Authority as coordinated with the Working Group.
- (b) The Executive Cabinet of Directors shall appoint an attorney at law who shall serve as general Legal Counsel to the Authority.
- (c) Subject to the approval of the Executive Cabinet of Directors, the Executive Director shall employ, or otherwise engage, such other staff of the Authority as may be necessary for the administration of the Authority.
- (d) As determined appropriate by the Executive Director, staff functions may be performed by employees of the Authority, by officers, directors and employees of any Member and by agents, advisors and consultants retained under contract by Authority.
- (e) The Executive Director and other staff of the Authority shall have such powers, duties and obligations as are established by this Agreement, the Bylaws, the

policies, procedures and rules promulgated by the Authority and any contractual arrangements which may exist between the Authority and the respective person.

- (f) The Executive Director's responsibilities shall include payment of claims against the Authority; provided, however, that with respect to claims arising under programs operated by the Authority, claim settlement authority shall be in accordance with the policies and procedures governing the particular program.
- (g) Subject to any applicable contractual arrangements which may take precedence, the Executive Director and Legal Counsel shall serve at the will and pleasure of the Executive Cabinet of Directors.

13. OFFICERS OF THE AUTHORITY

- (a) The officers of the Authority shall be a President, Secretary and Treasurer-Auditor whose duties shall be as set forth in this Agreement, the Bylaws or as prescribed by applicable provisions of law.
- (b) The President shall be elected by the Executive Cabinet of Directors and shall serve two-year terms. Neither the President nor Secretary shall serve for more than two complete consecutive terms in his or her respective office. The terms of each office will ordinarily commence immediately following the first Executive Cabinet of Directors meeting of each odd-numbered calendar year except that if an election has not been conducted by that date, the terms shall commence as soon as the election has been held.
- (c) The Treasurer-Auditor shall be a non-voting member of the Executive Cabinet of Directors. Given Peralta CCD's role as fiscal agent for the Authority, the Treasurer-Auditor shall be Peralta CCD's Vice-Chancellor, Finance and Administration or his designee.
- (d) The Secretary shall be appointed by the Executive Cabinet of Directors. The Secretary shall serve at the pleasure of the Working Group. If the senior representative of the Executive Director is unable to serve for any reason, including his or her removal from office by the Working Group, the Working Group shall appoint a replacement who may be another senior member of the Executive Director, a senior staff member of the Authority, a member of the Working Group, a member of the Executive Cabinet of Directors or an officer, director or employee of a Member agency.
- (e) The Authority may have such other officers as provided in the Bylaws.
- (f) If a vacancy occurs mid-term in the office of the President, the Secretary shall automatically succeed to the office of President to serve out the balance of the term of his/her predecessor.

14. WORKING GROUP

- (a) The day-to-day business of the Authority will be conducted, directed and supervised by a Working Group which shall be composed so that the number of members appointed by Peralta CCD equals the number of members collectively appointed by the School District Members
- (b) The Working Group shall have the powers, duties and obligations granted to it by this Agreement, the Bylaws and as delegated by the Executive Cabinet of Directors.
- (c) The members shall serve terms of office as provided in the Bylaws.
- (d) If a vacancy occurs mid-term in the office of a regular member of the Working Group, the Alternate member, if there is one, shall automatically succeed to the vacant office for the balance of the term of his/her predecessor. If there is no Alternate member, or if the vacancy occurs mid-term in the office of the Alternate member, the Member of the Group that appointed such Member shall fill the vacant office by appointment and the appointee shall serve out the balance of his/her predecessor's term.

15. COMMITTEES

The Authority shall establish two standing committees; the Community Based Organizations (“CBO”) Committee and the Finance Committee. The Authority shall also have other committees as may be provided for in the Bylaws or which are created by the Executive Cabinet of Directors, the Working Group or the President. Committees of the Authority shall have powers, duties and responsibilities as provided in the Bylaws or as delegated and directed by the appointing person.

16. INSURANCE COVERAGE

The Authority shall maintain insurance coverage on its activities as determined by the Working Group to be necessary and adequate.

17. ACCOUNTS AND RECORDS

- (a) Annual Budget.
 - (i) The Working Group shall cause to be prepared, shall review and approve and shall recommend a proposed annual budget to the Executive Cabinet of Directors for its consideration.
 - (ii) In the event a proposed budget is not approved, the Authority shall continue to operate using the budget figures from the previous fiscal year.

- (iii) The Maintenance of Effort (“MOE”) funding levels for each Member shall remain constant in each annual budget.
- (b) Funds and Accounts. The Treasurer-Auditor of the Authority shall establish and maintain such funds and accounts as may be required by law and good accounting practices. Books and records of the Authority in the hands of the Treasurer-Auditor shall be open to inspection at all reasonable times by authorized representatives of each Member. A quarterly unaudited financial statement will be produced and distributed to all Members. The Authority shall adhere to the standard of strict accountability for funds set forth in Government Code Section 6505.
- (c) Treasurer-Auditor's Report. The Treasurer-Auditor, within one hundred and twenty (120) days after the close of each fiscal year, shall give a complete written report of all financial activities for such fiscal year to the Board and to each member District.
- (d) Annual Audit. Pursuant to Government Code Section 6505, the Authority shall contract with an independent certified public accountant to make an annual fiscal year audit of all accounts and financial statements of the Authority, conforming in all respects with the requirements of that section. A report of the audit shall be filed as a public record with the County Auditor of each Member within six months of the end of the fiscal year under examination. Costs of the audit shall be considered a general expense of the Authority.

18. RESPONSIBILITIES FOR FUNDS AND PROPERTIES

- (a) The Treasurer-Auditor shall have custody of and disburse the Authority's funds. He or she may delegate disbursing authority to such persons as may be authorized by the Working Group to perform that function, subject to the requirements of (b) below.
- (b) Pursuant to Government Code Section 6505.5, the Treasurer-Auditor shall:
 - (i) Receive and acknowledge receipt for all funds of the Authority and place them in the treasury of the Treasurer-Auditor to the credit of the Authority.
 - (ii) Be responsible upon his or her official bond for the safekeeping and disbursement of all Authority funds so held by him or her.
 - (iii) Pay any sums due from the Authority, as approved for payment by the Working Group or by any body or person to whom the Executive Cabinet of Directors has delegated approval authority, making such payments from Authority funds upon warrants drawn by the Treasurer-Auditor. All warrants of the Authority shall be signed by two persons as designated by

the Executive Cabinet of Directors; provided, however, that the Executive Cabinet of Directors may, by resolution, authorize imprest accounts for expenditures of funds in limited amounts for which only one authorized signatory shall be required on the instrument.

- (iv) Verify and report in writing to the Authority and to the Members, as of the first day of each quarter of the fiscal year, the amount of money then held for the Authority, the amount of receipts since the last report, and the amount paid out since the last report.
- (c) Pursuant to Government Code Section 6505.1, the Executive Director, the Treasurer Auditor and such other persons as the Executive Cabinet of Directors may designate shall have charge of, handle and have access to the property of the Authority.
- (d) The Authority shall secure and pay for a fidelity bond or bonds, in an amount or amounts and in form specified by the Executive Cabinet of Directors, covering the Treasurer-Auditor and all other officers and staff of the Authority who are authorized to hold or disburse funds of the Authority, and all other officers and staff who are authorized to have charge of, handle, and have access to property of the Authority.
- (e) The Authority shall maintain a reserve fund which shall constitute no less than seven percent (7%) of the Authority's annual budget. The reserve fund shall be used to provide the necessary funds to pay for staff, office space and other overhead costs to be incurred to conduct the day-to-day business of the Authority.
- (f) The Authority shall present two reports during the fiscal year (interim reports) on the status of the Authority's financial health. The first interim report is due December 15 for the period ending October 31. The second interim report is due March 17 for the period ending January 31.
- (g) The authority shall adopt a budget by July 1 of each year. And shall approve an allocation schedule as defined under AB 104. Moreover, for any year for which funds to the Authority are less than the amount allocated in the prior year, the amount of funds to be distributed to a Member shall not be reduced by a percentage greater than the percentage by which the total amount of funds allocated to the Authority decreased, except as provided under section 84914 (b) (2) under AB104.

19. RESPONSIBILITIES OF THE MEMBERS

- (a) Each Member shall timely pay all premiums, fees, charges and assessments imposed or levied by the Authority.

- (b) Each Member shall provide the Authority with requested information and assistance in order to perform the programs and other obligation under this Agreement.
- (c) Each Member shall in all ways cooperate with and assist the Authority in all matters relating to this Agreement and comply with the Bylaws and the policies, procedures and rules promulgated by the Authority.

20. WITHDRAWAL FROM OR TERMINATION OF MEMBERSHIP

Any party to this Agreement, which has completed three (3) consecutive years as a Member of the Authority, may voluntarily terminate this Agreement as to itself and withdraw from membership in the Authority. Such termination and withdrawal of membership shall become effective subject and according to the conditions, manner and means set forth in the Bylaws.

21. TERMINATION OF AGREEMENT

This Agreement may be terminated at the end of any fiscal year by affirmative vote of two-thirds (2/3) of the Members of the Executive Cabinet of Directors; provided, however, that the Authority and this Agreement shall continue to exist for the purpose of disposing of claims, distribution of assets, and all other functions necessary to conclude the affairs of the Authority.

22. DISPOSITION OF PROPERTY AND FUNDS

In the event of the dissolution of the Authority, the complete rescission, or other final termination of this Agreement by all public agencies then a party hereto, any property interest remaining in the Authority following a discharge of all obligations shall be disposed of as provided by the Bylaws.

In the event a Member withdraws from this Agreement, any property interest of that Member remaining in the Authority following discharge of all obligations shall be disposed of as provided for by the Bylaws.

23. AMENDMENTS

This Agreement may be amended at any time with a subsequent written Agreement signed by two-thirds (2/3) of Members of the Executive Cabinet of Directors. Any such amendment shall be effective upon the date of final execution thereof, unless otherwise provided by the amendment.

24. SEVERABILITY

Should any portion, term, condition, or provision of this Agreement be decided by a court of competent jurisdiction to be illegal or in conflict with any law of the State of California, or be otherwise rendered unenforceable or ineffectual, the validity of the remaining portions, terms, conditions, and provisions shall not be affected thereby.

25. LIABILITY

Pursuant to the provisions of (Sections 895, et seq.) the California Government Code, the Members are jointly or severally liable upon any liability which is otherwise imposed by law upon any one of the Members or upon the Authority for injury caused by a negligent or wrongful act or omission occurring in the performance of this Agreement.

If a Member or the Authority is held liable upon any judgment for damages caused by such an act or omission and makes payments in excess of its pro rata share on such judgment, such Member or the Authority is entitled to contribution from each of the other Members that are parties to the Agreement. A Member's pro rata share shall be determined in the same manner as for the disposition of property and funds as provided in the Agreement and the Bylaws.

The Authority may insure itself, to the extent deemed necessary or appropriate by the Executive Committee against loss, liability, and claims arising out of or connected with this Agreement.

26. INDEMNITY

- (a) **Directors, Officers and Employees** - The Authority shall defend and indemnify its directors, Members officers and employees to the same extent as any public agency of the State of California is obliged to defend and indemnify its public employees pursuant to California Government Code Section 825 et seq. or other applicable provisions of law.
- (b) **Member Agencies** - To the extent any Member's negligent or wrongful act or omission is the cause of an injury for which other Members may be, or are sought to be, held liable pursuant to California Government Code Section 895 et seq., the Member which is legally responsible for the injury shall, at its own expenses, defend, indemnify and hold harmless all of such other Members from any and all legal consequences, costs or expenses of the negligent or wrongful conduct or omission. Nothing in this Section shall be deemed to preclude a Member having the duty to defend, indemnify and hold harmless, from resorting to any insurance or other form of coverage for losses available to the Member agency, including insurance or coverage for losses procured through the Authority.

27. ENFORCEMENT

The Authority is hereby given authority to enforce this Agreement. In the event suit is brought upon this Agreement by the Authority and judgment is rendered against a Member(s), the Member(s) shall pay all costs incurred by the Authority, including reasonable attorney's fees as fixed by the court.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed by their authorized officers thereunto duly authorized as set forth herein below.

Name of Entity: **PERALTA COMMUNITY COLLEGE DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **ALAMEDA UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **ALBANY UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **BERKELEY UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **OAKLAND UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **PIEDMONT UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

**BYLAWS OF THE NORTHERN ALAMEDA CONSORTIUM
FOR ADULT EDUCATION JOINT POWERS AUTHORITY**

PREAMBLE

These Bylaws are adopted effective November 10, 2016 pursuant to the Joint Exercise of Powers Agreement of the Northern Alameda Consortium for Adult Education Joint Powers Authority (the "Agreement").

ARTICLE I - THE AUTHORITY

SECTION 1.1. Name of Authority. The name of the Authority created by the Agreement shall be the Northern Alameda Consortium for Adult Education Joint Powers Authority (the "Authority").

SECTION 2.1. Office of Authority. The principal office of the Authority shall be at the address listed in Attachment A to these Bylaws, or at such other location as the Executive Cabinet of Directors may designate by resolution.

SECTION 3.1. Fiscal Year. The fiscal year for the Authority shall commence July 1 of each calendar year and end June 30 of the following calendar year.

ARTICLE II – EXECUTIVE CABINET OF DIRECTORS

SECTION 2.1. Membership. The Authority shall be governed by an Executive Cabinet of Directors (the "Executive Cabinet"). Each of the parties to the Agreement, as set forth in section 9 of the Agreement, (the "Members") shall be entitled to participate and be represented by a representative on the Executive Cabinet. If a Member elects to exercise its right to representation on the Executive Cabinet, it shall promptly notify the Authority in writing of the names of the Executive Cabinet member and alternates who have been selected to represent the Member, and subsequently of any successors to them. The Member shall also comply with any other procedures which may be established by resolution of the Executive Cabinet to identify Members who have chosen to participate and be represented on the Executive Cabinet and to authenticate Member representatives. All designated members and alternates of the Executive Cabinet shall comply with the provisions of California law which require certain public officials to file Statements of Economic Interests. Any Member which is not then exercising its right to participate on the Executive Cabinet may change its status at any time by written notice to the Authority of that decision, by designation of its representative Executive Cabinet member and alternate and by compliance with any other procedures established by the Executive Cabinet.

SECTION 2.2. Powers. The powers of the Executive Cabinet shall be as set forth in Section 10 of the Agreement.

SECTION 2.3. Meetings.

(a) Regular Meetings. Regular meetings of the Executive Cabinet shall be held a minimum of two (2) times each year at a time and place to be set by the Executive Cabinet.

(b) Special Meetings. Special meetings of the Executive Cabinet may be called in accordance with the provisions of California Government Code Section 54956. The agenda for each special meeting of the Executive Cabinet shall be posted at the principal office of the Authority and mailed to each Member at least five (5) days in advance of the meeting.

(c) Public Meeting. All meetings of the Executive Cabinet shall be open to the public, except as provided by law.

(d) Quorum. The presence of a majority of the members of the Executive Cabinet shall constitute a quorum for the transaction of business. Except as otherwise provided in the Agreement, the Bylaws or by law, no action may be taken by the Executive Cabinet except by affirmative vote of those members of the Executive Cabinet present. A smaller number may adjourn a meeting.

(e) Order of Business. At the regular meetings of the Executive Cabinet, the following shall be the order of business:

- i. Roll Call.
- ii. Approval of Minutes of the previous meeting.
- iii. Agenda Items.
- iv. Adjournment

(f) Manner of Voting. With respect to matters of business affecting only a particular program, voting on such matters shall be restricted to those Executive Cabinet members whose Members are participants in that particular program, and the votes of other Executive Cabinet members shall not be counted.

(g) Action by the Executive Cabinet. All resolutions adopted by the Executive Cabinet shall be in writing, signed by the President and attested to by the Secretary. All other actions of the Executive Cabinet shall be by motion recorded in written minutes.

(h) Rule of Order. All rules of order not otherwise provided for shall be determined, to the extent practicable, in accordance with "Robert's Rules of Order;" provided, however, that no action of the Executive Cabinet shall be invalidated or its legality otherwise affected by the failure or omission to observe or follow "Robert's Rules of Order."

ARTICLE III - WORKING GROUP

SECTION 3.1. Composition.

- (a) The membership of the Working Group shall be as set forth in Section 14 of the Agreement.
- (b) The members of the Working Group shall be appointed as individuals and not merely as representatives of a specific Member.

SECTION 3.2. Term. At least one representative of the Executive Cabinet shall serve as a Working Group member during his/her tenure as such officer. The terms of all members of the Working Group other than the Executive Cabinet member shall be two (2) years. A member may be reappointed to serve on the Working Group

SECTION 3.3. Powers, Duties and Responsibilities.

(a) Under the direction of the Executive Director, the Working Group shall conduct, direct and supervise the day-to-day business of the Authority and in doing so shall exercise the powers expressly granted to it by the Agreement, these Bylaws and as otherwise delegated by the Executive Cabinet.

(b) The following duties and responsibilities shall be assumed and carried out by the Working Group, which shall have all powers necessary for those purposes:

- i. Provide general support to the Executive Director.
- ii. Approve contracts, within budget limits.
- iii. Make payments pursuant to previously authorized contracts, within budget limits; this authority includes the power to authorize and reimburse expenses incurred for budgeted activities, within budget limits.
- iv. Prepare a proposed annual budget and make recommendations to the Finance Committee no later than fifteen (15) days prior to a regularly scheduled meeting of the Executive Cabinet.
- v. Prepare and recommend mid-year budget adjustments.
- v. Act as Executive Director in the absence of the Executive Director.
- vi. Establish policies and procedures to implement the Agreement, the Bylaws and the operation of specific programs.

(c) Subject only to such limitations as are expressly stated in the Agreement, these Bylaws or a resolution of the Executive Cabinet, the Working Group shall have and be entitled to exercise all powers which may be reasonably implied from powers expressly granted and which are reasonably necessary to conduct, direct and supervise the business of the Authority

SECTION 3.4. Meetings.

(a) Regular Meetings. Regular meetings of the Working Group shall be held at least six (6) times a year and at other times as the Working Group deems appropriate. The time and place of regular meetings shall be set by the Working Group, and the Executive Cabinet shall be notified of the meeting schedule. The agenda for each regular meeting of the Working Group shall be posted at the principal office of the Authority and mailed to each Working Group member and alternate in a manner to ensure receipt at least seventy-two (72) hours in advance of the meeting.

(b) Special Meetings. Special meetings of the Working Group may be called by the Executive Director or a majority of Working Group members, in accordance with the provisions of California Government Code Section 54956. The agenda for each special meeting of the Committee shall be posted at the principal office of the Authority and mailed to each Working Group member so as to be received by each member at least two (2) days in advance of the meeting.

(c) Public Meetings. All meetings of the Working Group shall be open to the public, except as provided by law.

(d) Quorum. A majority of representatives from both K-12 districts and community colleges must be in attendance. Except as otherwise provided, no action may be taken by the Working Group except by affirmative vote of not less than a majority of those Working Group members present. A smaller number may adjourn a meeting.

(e) Action by the Working Group. All recommendations to the Executive Cabinet shall be in writing, signed by the President and attested to by the Secretary. All other actions of the Working Group shall be by motion recorded in written minutes.

(f) Removal from Working Group. A member may be removed from the Working Group in the following ways:

i. Death.

ii. Voluntary resignation or replacement by appointing group.

iii. Absence from three (3) consecutive meetings without a valid reason, in which case the Executive Director may recommend to the Executive Cabinet that member be removed from Working Group. If the Working Group recommends to the Executive Cabinet that a Working Group member be removed, the Executive Cabinet shall vote on the matter at its next regularly scheduled meeting. Removal of a Working Group member shall require an affirmative vote of not less than two-thirds (2/3) of those Executive Cabinet members present.

ARTICLE IV - OFFICERS

SECTION 4.1. Principal Officers.

The principal officers of the Authority are the President, Secretary and Treasurer-Auditor, as provided in Section 13 of the Agreement.

SECTION 4.2. Other Officers.

The Executive Cabinet may create such other offices and appoint such other officers as it deems necessary and advisable. Officers so appointed shall serve at the pleasure of the Executive Cabinet and shall exercise such powers, perform such duties and assume such responsibilities as set forth in a resolution duly adopted by the Executive Cabinet for that purpose.

ARTICLE V - COMMITTEES

SECTION 5.1. Establishment of Committees.

Pursuant to Section 15 of the Agreement, the Authority shall have the standing committees specified in this Article and such other committees as may be appointed from time-to-time by the Executive Cabinet, Working Group or the President.

SECTION 5.2. Finance Committee.

The "Finance Committee" shall be a standing committee of the Authority. The committee shall consist of five members. The Treasurer-Auditor shall serve as a voting member and as the Committee Chair. Two of the members shall be appointed by Peralta CCD and the other two members shall be appointed by the School District Members. All members of the committee shall be: (1) affiliated with a Member; (2) generally knowledgeable about governmental accounting and finance issues; and (3) selected by the Executive Cabinet. Committee members other than the Treasurer-Auditor shall serve up to two, two-year terms with the terms of one or more member beginning in even-numbered calendar years and the other members' terms beginning in odd-numbered calendar years.

The Finance Committee, with the support of the Executive Director and Treasurer-Auditor, shall coordinate and oversee all financial activities and fiscal affairs of the Authority. The Finance Committee shall have the following duties and responsibilities:

- (a) Review quarterly financial reports from the Treasurer-Auditor.
- (b) Coordinate preparation of annual audit of the Authority's financial statements.
- (c) Work with the Treasurer-Auditor on financial reporting and recordkeeping.
- (d) Prepare reports and evaluations as necessary and requested by the Working Group or the Executive Cabinet.

BYLAWS

NORTHERN ALAMEDA CONSORTIUM FOR ADULT EDUCATION JOINT POWERS AUTHORITY

- (e) Oversee and provide supervision of accounting staff.
- (f) Perform other duties as assigned by the Executive Director.
- (g) Delegate any of these duties and responsibilities as it deems appropriate.

SECTION 5.3 CBO Committee. The “CBO Committee” shall be a standing committee of the Authority. The committee shall consist of up to three members. The intent of the Committee is to serve as a liaison between the Authority and the community based organizations (“CBOs”) which provide adult education services in the region. The goals of the Committee are to facilitate integration of existing adult education programs; assist CBOs in obtaining grant money; and create linkages between programs and obtain data to help further define the regional plan.

SECTION 5.4. Meetings of Standing Committees. Standing committees shall meet on the call of their respective committee chairs. Minutes of committee meetings shall be recorded and upon approval shall be distributed to the Working Group and Executive Cabinet. Activities of committees shall be reported upon as directed by the Working Group and the Executive Cabinet. Meetings of committees shall be conducted in accordance with the Ralph M. Brown Act (California Government Code sections 54950 et seq.) including, as applicable, the provisions of sections 54952.2 and 54952.3.

ARTICLE VI - EXECUTIVE DIRECTOR

SECTION 6.1. Appointment. The Executive Cabinet shall appoint an Executive Director in accordance with Section 12 of the Agreement.

SECTION 6.2. Powers. In accordance with Section 12 of the Agreement, the Executive Director shall administer the business and activities of the Authority. The Executive Director shall have such powers, duties and responsibilities as set forth in the Agreement, these Bylaws and as may be provided by agreement between the Executive Director and the Authority or as otherwise delegated to the Executive Director by the Executive Cabinet.

ARTICLE VII - AMENDMENT

These Bylaws may be amended from time to time by resolution of the Executive Cabinet duly adopted upon a two-thirds vote of the entire Executive Cabinet at a regular or special meeting of the Executive Cabinet; provided, however, that no such amendment shall be adopted unless at least thirty (30) days written notice thereof has previously been given to all members of the Executive Cabinet. Such notice shall identify the section or sections of the Bylaws proposed to be amended.

ARTICLE VIII - RECORDS RETENTION

All records and documents of the Authority shall be retained in accordance with a records retention policy and procedure adopted by the Executive Cabinet.

[Remainder of page intentionally left blank]

ATTACHMENT A

**Principal Place of Business for the Northern Alameda Consortium for Adult Education
Joint Powers Authority:**

333 E. 8th Street

Oakland, CA 94606

27. ENFORCEMENT

The Authority is hereby given authority to enforce this Agreement. In the event suit is brought upon this Agreement by the Authority and judgment is rendered against a Member(s), the Member(s) shall pay all costs incurred by the Authority, including reasonable attorney's fees as fixed by the court.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed by their authorized officers thereunto duly authorized as set forth herein below.

Name of Entity: **PERALTA COMMUNITY COLLEGE DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **ALAMEDA UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **ALBANY UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **BERKELEY UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **OAKLAND UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

Name of Entity: **PIEDMONT UNIFIED SCHOOL DISTRICT**

Date: _____

By: _____

Title: _____

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Audit Report for Fiscal Year Ended June 30, 2016 (10 Mins/Action)

Item Type: Information

Background: Each year, a school district is required by law to review at a public meeting the annual audit of the school district's financial records for the prior fiscal year.

The audit examines the District's compliance with state and federal standards and procedures in order for the District to implement sound fiscal management practices for the most effective and efficient use of public funds.

Attached is the District's Audit Report for the Fiscal Year 2015-2016 ended June 30, 2016. A representative from the audit firm Christy White Accountancy Corporation will present the audit and answer questions.

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost):

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization. | #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By: Shariq Khan, CBO

ATTACHMENTS:

Description	Upload Date	Type
❑ Audit Report Part 1	1/18/2017	Backup Material
❑ Audit Report Part 2	1/18/2017	Backup Material
❑ Audit Report Part 3	1/18/2017	Backup Material
❑ Audit Report Part 4	1/18/2017	Backup Material
❑ Audit Report Part 5	1/18/2017	Backup Material

ALAMEDA UNIFIED SCHOOL DISTRICT

AUDIT REPORT

JUNE 30, 2016

San Diego

Los Angeles

San Francisco
Bay Area

christywhite
A PROFESSIONAL
ACCOUNTANCY CORPORATION *associates*

**ALAMEDA UNIFIED SCHOOL DISTRICT
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FOR THE YEAR ENDED JUNE 30, 2016**

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FINANCIAL SECTION



INDEPENDENT AUDITORS' REPORT

Governing Board
Alameda Unified School District
Alameda, California

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the Alameda Unified School District, as of and for the year ended June 30, 2016, and the related notes to the financial statements, which collectively comprise the Alameda Unified School District's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

Christy White, CPA

Michael Ash, CPA

Heather Rubio

SAN DIEGO
LOS ANGELES
SAN FRANCISCO/BAY AREA

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fax: 619.260.9085
www.christywhite.com

*Licensed by the California
State Board of Accountancy*

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, each major fund, and the aggregate remaining fund information of Alameda Unified School District, as of June 30, 2016, and the respective changes in financial position thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the required supplementary information, such as management's discussion and analysis, budgetary comparison information, schedule of funding progress for OPEB benefits, schedules of proportionate share of net pension liability, and schedules of District contributions for pensions be presented to supplement the basic financial statements. Such information, although not part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Supplementary Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the Alameda Unified School District's basic financial statements. The supplementary information listed in the table of contents, including the schedule of expenditures of Federal awards, which is required by Title 2 U.S. Code of Federal Regulations (CFR) Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, is presented for purposes of additional analysis and is not a required part of the basic financial statements.

The supplementary information listed in the table of contents is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the supplementary information is fairly stated, in all material respects, in relation to the basic financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated December 12, 2016 on our consideration of Alameda Unified School District's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Alameda Unified School District's internal control over financial reporting and compliance.

Christy White Associates

San Diego, California
December 12, 2016

ALAMEDA UNIFIED SCHOOL DISTRICT MANAGEMENT'S DISCUSSION AND ANALYSIS

INTRODUCTION

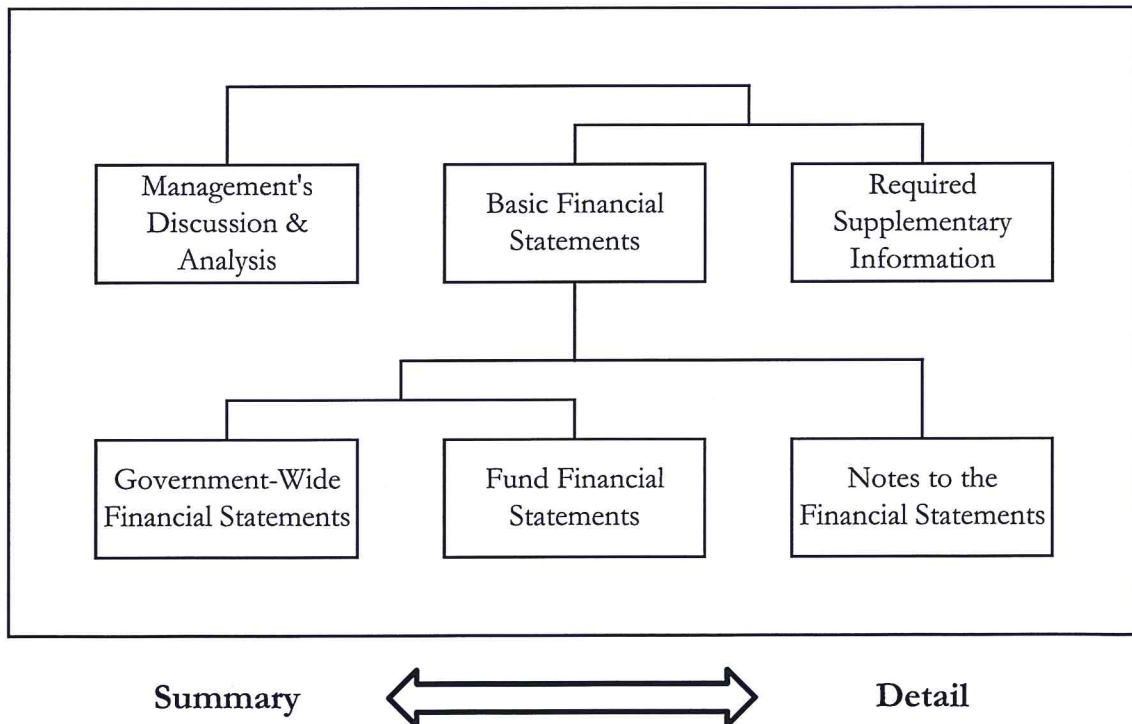
Our discussion and analysis of Alameda Unified School District's (District) financial performance provides an overview of the District's financial activities for the fiscal year ended June 30, 2016. It should be read in conjunction with the District's financial statements, which follow this section.

FINANCIAL HIGHLIGHTS

- Total net position was negative \$61,723,717 at June 30, 2016. This was an increase of \$5,626,390 from the prior year. The change in net position is largely due to the implementation of GASB 68, which requires the establishment of a net pension liability.
- Overall revenues were \$130,030,451 which exceeded expenses of \$124,404,061.

OVERVIEW OF FINANCIAL STATEMENTS

Components of the Financials Section



ALAMEDA UNIFIED SCHOOL DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS, *continued*
FOR THE YEAR ENDED JUNE 30, 2016

This annual report consists of three parts – Management's Discussion and Analysis (this section), the basic financial statements, and required supplementary information. The three sections together provide a comprehensive overview of the District. The basic financial statements are comprised of two kinds of statements that present financial information from different perspectives:

- ▶ **Government-wide financial statements**, which comprise the first two statements, provide both short-term and long-term information about the entity's overall financial position.
- ▶ **Fund financial statements** focus on reporting the individual parts of District operations in more detail. The fund financial statements comprise the remaining statements.
 - ▶ **Governmental Funds** provide a detailed *short-term* view that helps you determine whether there are more or fewer financial resources that can be spent in the near future to finance the District's programs.
 - ▶ **Fiduciary Funds** report balances for which the District is a custodian or *trustee* of the funds, such as Associated Student Bodies and pension funds.

The financial statements also include notes that explain some of the information in the statements and provide more detailed data. The basic financial statements are followed by a section of required and other supplementary information that further explain and support the financial statements.

Government-Wide Statements

The government-wide statements report information about the District as a whole using accounting methods similar to those used by private-sector companies. The statement of net position includes all of the government's assets and liabilities. All of the current year's revenues and expenses are accounted for in the statement of activities, regardless of when cash is received or paid.

The two government-wide statements report the District's net position and how it has changed. Net Position is one way to measure the District's financial health or position. Over time, increases or decreases in the District's net position are an indicator of whether its financial health is improving or deteriorating, respectively.

The government-wide financial statements of the District include governmental activities. All of the District's basic services are included here, such as regular education, food service, maintenance and general administration. Local control formula funding federal and state grants finance most of these activities.

**ALAMEDA UNIFIED SCHOOL DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS, continued
FOR THE YEAR ENDED JUNE 30, 2016**

FINANCIAL ANALYSIS OF THE ENTITY AS A WHOLE

Net Position

The District's net position was negative \$61,723,717 at June 30, 2016, as reflected in the table below. Of this amount, a deficit of \$103,610,139 was unrestricted. Restricted net position is reported separately to show legal constraints from debt covenants and enabling legislation that limit the Governing Board's ability to use that net position for day-to-day operations.

In the 2014-15 year, the District implemented GASB 68. This implementation has caused an increase in long term liabilities due to the presentation of net pension liability.

	Governmental Activities		
	2016	2015	Net Change
ASSETS			
Current and other assets	\$146,331,114	\$139,692,757	\$ 6,638,357
Capital assets	85,837,674	81,357,393	4,480,281
Total Assets	232,168,788	221,050,150	11,118,638
DEFERRED OUTFLOWS OF RESOURCES	12,221,260	8,691,610	3,529,650
LIABILITIES			
Current liabilities	21,733,188	9,941,252	11,791,936
Long-term liabilities	275,956,153	267,894,824	8,061,329
Total Liabilities	297,689,341	277,836,076	19,853,265
DEFERRED INFLOWS OF RESOURCES	8,424,424	19,255,791	(10,831,367)
NET POSITION			
Net investment in capital assets	15,169,669	12,000,556	3,169,113
Restricted	26,716,753	25,641,608	1,075,145
Unrestricted	(103,610,139)	(104,992,271)	1,382,132
Total Net Position	\$ (61,723,717)	\$ (67,350,107)	\$ 5,626,390

**ALAMEDA UNIFIED SCHOOL DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS, continued
FOR THE YEAR ENDED JUNE 30, 2016**

FINANCIAL ANALYSIS OF THE ENTITY AS A WHOLE (continued)

Changes in Net Position

The results of this year's operations for the District as a whole are reported in the Statement of Activities. The table below takes the information from the Statement and rearranges them slightly, so you can see our total revenues, expenses, and special items for the year.

	Governmental Activities		
	2016	2015	Net Change
REVENUES			
Program revenues			
Charges for services	\$ 767,078	\$ 689,135	\$ 77,943
Operating grants and contributions	22,577,375	19,913,138	2,664,237
General revenues			
Property taxes	52,542,814	41,571,382	10,971,432
Unrestricted federal and state aid	51,585,641	45,280,143	6,305,498
Other	2,557,543	3,588,537	(1,030,994)
Total Revenues	130,030,451	111,042,335	18,988,116
EXPENSES			
Instruction	71,502,861	63,134,745	8,368,116
Instruction-related services	12,256,833	11,632,020	624,813
Pupil services	10,563,872	8,231,530	2,332,342
General administration	7,208,402	8,398,649	(1,190,247)
Plant services	11,843,116	10,993,226	849,890
Ancillary and community services	1,734,980	1,479,017	255,963
Debt service	9,124,236	4,693,054	4,431,182
Other Outgo	169,761	885,087	(715,326)
Other	-	29,474	(29,474)
Total Expenses	124,404,061	109,476,802	14,927,259
Change in net position	5,626,390	1,565,533	4,060,857
Net Position - Beginning*	(67,350,107)	(68,915,640)	1,565,533
Net Position - Ending	\$ (61,723,717)	\$ (67,350,107)	\$ 5,626,390

* Beginning Net Position was restated for the 2015 year only

**ALAMEDA UNIFIED SCHOOL DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS, continued
FOR THE YEAR ENDED JUNE 30, 2016**

FINANCIAL ANALYSIS OF THE ENTITY AS A WHOLE (continued)

Changes in Net Position (continued)

The total cost of all our governmental activities this year was \$124,404,061, while net cost of activities was \$101,059,608 (refer to the table below). The amount that our taxpayers ultimately financed for these activities through taxes was only \$52,542,814 because the cost was paid by other governments and organizations who subsidized certain programs with grants and contributions (\$22,577,375).

	Net Cost of Services	
	2016	2015
Instruction	\$ 57,112,446	\$ 50,009,521
Instruction-related services	9,909,608	9,920,104
Pupil services	6,922,526	5,037,293
General administration	6,332,970	7,545,109
Plant services	11,738,289	10,894,935
Ancillary and community services	1,006,014	712,625
Debt service	9,124,236	4,693,054
Transfers to other agencies	(1,086,481)	60,222
Other	-	1,666
Total Expenses	\$ 101,059,608	\$ 88,874,529

FINANCIAL ANALYSIS OF THE DISTRICT'S MAJOR FUNDS

The financial performance of the District as a whole is reflected in its governmental funds as well. As the District completed this year, its governmental funds reported a combined fund balance of \$136,152,548, which is more than last year's ending fund balance of \$134,307,341. The District's General Fund had \$4,355,372 more in operating revenues than expenditures for the year ended June 30, 2016. The District's Building Fund had \$6,072,167 less in operating revenues than expenditures for the year ended June 30, 2016. The District's Bond Interest and Redemption Fund had \$3,691,115 more in operating revenues than expenditures for the year ended June 30, 2016.

CURRENT YEAR BUDGET 2015-16

During the fiscal year, budget revisions and appropriation transfers are presented to the Board for their approval on a monthly basis to reflect changes to both revenues and expenditures that become known during the year. In addition, the Board of Education approves financial projections included with the Adopted Budget, First Interim, and Second Interim financial reports. The Unaudited Actuals reflect the District's financial projections and current budget based on State and local financial information.

**ALAMEDA UNIFIED SCHOOL DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS, continued
FOR THE YEAR ENDED JUNE 30, 2016**

CAPITAL ASSET AND DEBT ADMINISTRATION

Capital Assets

By the end of 2015-16 the District had invested \$85,837,674 in capital assets, net of accumulated depreciation.

	Governmental Activities		
	2016	2015	Net Change
CAPITAL ASSETS			
Land	\$ 4,794,916	\$ 4,794,916	\$ -
Construction in progress	8,322,720	952,474	7,370,246
Land improvements	5,919,467	4,836,231	1,083,236
Buildings & improvements	136,060,047	134,635,500	1,424,547
Furniture & equipment	4,591,568	4,019,447	572,121
Accumulated depreciation	(73,851,044)	(67,881,175)	(5,969,869)
Total Capital Assets	\$ 85,837,674	\$ 81,357,393	\$ 4,480,281

Long-Term Liabilities

At year-end, the District had \$275,956,153 in long-term liabilities, an increase of 3% from last year – as shown in the table below. (More detailed information about the District's long-term liabilities is presented in footnotes to the financial statements.)

	Governmental Activities		
	2016	2015	Net Change
LONG-TERM LIABILITIES			
Total general obligation bonds	\$194,353,422	\$195,686,272	\$ (1,332,850)
Total certificates of participation	-	735,000	(735,000)
Early retirement incentive	-	409,835	(409,835)
Compensated absences	599,535	478,172	121,363
Net OPEB obligation	4,224,280	3,662,008	562,272
Net pension liability	86,358,856	71,395,685	14,963,171
Less: current portion of long-term debt	(9,579,940)	(4,472,148)	(5,107,792)
Total Long-term Liabilities	\$275,956,153	\$267,894,824	\$ 8,061,329

**ALAMEDA UNIFIED SCHOOL DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS, continued
FOR THE YEAR ENDED JUNE 30, 2016**

ECONOMIC FACTORS AND NEXT YEAR'S BUDGET AND RATES

At the time these financial statements were prepared and audited, the District was aware of several circumstances that could affect its future financial health.

Landmark legislation passed in Year 2013 reformed California school district finance by creating the Local Control Funding Formula (LCFF). The District continues to analyze the impact of the LCFF on funding for our program offerings and services. The LCFF is designed to provide a flexible funding mechanism that links student achievement to state funding levels. The LCFF provides a per pupil base grant amount, by grade span, that is augmented by supplemental funding for targeted student groups in low income brackets, those that are English language learners and foster youth. The State anticipates all school districts to reach the statewide targeted base funding levels by 2020-21 but the annual amount funded to meet the target is uncertain.

Factors related to LCFF that the District is monitoring include: (1) estimates of funding in the next budget year and beyond; (2) the Local Control and Accountability Plan (LCAP) that aims to link student accountability measurements to funding allocations; (3) ensuring the integrity of reporting student data through the California Longitudinal Pupil Achievement Data System (CALPADs); and, (4) meeting annual compliance and audit requirements.

The State's economy is expected to grow faster than the national economy with unemployment dropping. Personal income is expected to grow 3.6%; according to the UCLA Anderson Economic Forecast, April 2016. The ability of the State to fund the LCFF and other programs is largely dependent on the strength of the State's economy and while positive remains uncertain.

The District participates in state employee pensions plans, PERS and STRS, and both are underfunded. The District's proportionate share of the liability is reported in the Statement of Net Position as of June 30, 2016. The amount of the liability is material to the financial position of the District. To address the underfunding issues, the pension plans continue to raise employer rates in future years and the increased costs are significant.

Enrollment can fluctuate due to factors such as population growth, competition from private, parochial, inter-district transfers in or out, economic conditions and housing values. Losses in enrollment will cause a school district to lose operating revenues without necessarily permitting the district to make adjustments in fixed operating costs.

All of these factors were considered in preparing the District's budget for the 2016-17 fiscal year.

CONTACTING THE DISTRICT'S FINANCIAL MANAGEMENT

This financial report is designed to provide our citizens, taxpayers, students, and investors and creditors with a general overview of the District's finances and to show the District's accountability for the money it receives. If you have questions about this report or need any additional financial information, contact the District's Business Office at 2060 Challenger Drive, Alameda, California 94501.

ALAMEDA UNIFIED SCHOOL DISTRICT
STATEMENT OF NET POSITION
JUNE 30, 2016

	Governmental Activities
ASSETS	
Cash and investments	\$ 140,227,471
Accounts receivable	6,036,931
Inventory	66,712
Capital assets, not depreciated	13,117,636
Capital assets, net of accumulated depreciation	72,720,038
Total Assets	232,168,788
DEFERRED OUTFLOWS OF RESOURCES	
Deferred outflows related to pensions	12,152,575
Deferred amount on refunding	68,685
Total Deferred Outflows of Resources	12,221,260
LIABILITIES	
Accrued liabilities	11,588,485
Unearned revenue	564,763
Long-term liabilities, current portion	9,579,940
Long-term liabilities, non-current portion	275,956,153
Total Liabilities	297,689,341
DEFERRED INFLOWS OF RESOURCES	
Deferred inflows related to pensions	8,424,424
Total Deferred Inflows of Resources	8,424,424
NET POSITION	
Net investment in capital assets	15,169,669
Restricted:	
Capital projects	5,539,748
Debt service	17,067,051
Educational programs	3,550,365
All others	559,589
Unrestricted	(103,610,139)
Total Net Position	\$ (61,723,717)

The accompanying notes are an integral part of these financial statements.

**ALAMEDA UNIFIED SCHOOL DISTRICT
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2016**

Function/Programs	Expenses	Program Revenues		Net (Expenses)
		Charges for Services	Operating Grants and Contributions	Revenues and Changes in Net Position
				Governmental Activities
GOVERNMENTAL ACTIVITIES				
Instruction	\$ 71,502,861	\$ 65,416	\$ 14,324,999	\$ (57,112,446)
Instruction-related services				
Instructional supervision and administration	3,573,470	12,721	1,607,189	(1,953,560)
Instructional library, media, and technology	882,400	-	19,708	(862,692)
School site administration	7,800,963	5,896	701,711	(7,093,356)
Pupil services				
Home-to-school transportation	2,153,814	-	-	(2,153,814)
Food services	2,807,491	640,804	1,923,767	(242,920)
All other pupil services	5,602,567	-	1,076,775	(4,525,792)
General administration				
Centralized data processing	1,650,032	-	56,736	(1,593,296)
All other general administration	5,558,370	37,427	781,269	(4,739,674)
Plant services	11,843,116	4,814	100,013	(11,738,289)
Ancillary services	1,578,304	-	646,546	(931,758)
Community services	156,676	-	82,420	(74,256)
Interest on long-term debt	9,124,236	-	-	(9,124,236)
Other Outgo	169,761	-	1,256,242	1,086,481
Total Governmental Activities	\$ 124,404,061	\$ 767,078	\$ 22,577,375	(101,059,608)
General revenues				
Taxes and subventions				
Property taxes, levied for general purposes				28,288,823
Property taxes, levied for debt service				11,744,980
Property taxes, levied for other specific purposes				12,509,011
Federal and state aid not restricted for specific purposes				51,585,641
Interest and investment earnings				146,633
Interagency revenues				548,363
Miscellaneous				1,862,547
Subtotal, General Revenue				106,685,998
CHANGE IN NET POSITION				
Net Position - Beginning				
Net Position - Ending				

The accompanying notes are an integral part of these financial statements.

ALAMEDA UNIFIED SCHOOL DISTRICT
GOVERNMENTAL FUNDS
BALANCE SHEET
JUNE 30, 2016

	General Fund	Building Fund	Bond Interest & Redemption Fund	Non-Major Governmental Funds	Total Governmental Funds
ASSETS					
Cash and investments	\$ 29,980,834	\$ 85,423,119	\$ 19,025,223	\$ 5,798,295	\$ 140,227,471
Accounts receivable	5,362,018	115,867	16,510	542,536	6,036,931
Stores inventory	-	-	-	66,712	66,712
Total Assets	\$ 35,342,852	\$ 85,538,986	\$ 19,041,733	\$ 6,407,543	\$ 146,331,114
LIABILITIES					
Accrued liabilities	\$ 7,293,878	\$ 2,185,477	\$ -	\$ 134,431	\$ 9,613,786
Due to other funds	17	-	-	-	17
Unearned revenue	564,763	-	-	-	564,763
Total Liabilities	7,858,658	2,185,477	-	134,431	10,178,566
FUND BALANCES					
Nonspendable	50,000	-	-	67,942	117,942
Restricted	3,445,616	83,353,509	19,041,733	6,204,086	112,044,944
Committed	5,693,636	-	-	-	5,693,636
Assigned	8,734,817	-	-	1,084	8,735,901
Unassigned	9,560,125	-	-	-	9,560,125
Total Fund Balances	27,484,194	83,353,509	19,041,733	6,273,112	136,152,548
Total Liabilities and Fund Balances	\$ 35,342,852	\$ 85,538,986	\$ 19,041,733	\$ 6,407,543	\$ 146,331,114

The accompanying notes are an integral part of these financial statements.

ALAMEDA UNIFIED SCHOOL DISTRICT
RECONCILIATION OF THE GOVERNMENTAL FUNDS BALANCE SHEET TO THE STATEMENT
OF NET POSITION
JUNE 30, 2016

Total Fund Balance - Governmental Funds \$ 136,152,548

Amounts reported for assets and liabilities for governmental activities in the statement of net position are different from amounts reported in governmental funds because:

Capital assets:

In governmental funds, only current assets are reported. In the statement of net position, all assets are reported, including capital assets and accumulated depreciation:

Capital assets	\$ 159,688,718	
Accumulated depreciation	(73,851,044)	85,837,674

Deferred amount on refunding:

In governmental funds, the net effect of refunding bonds is recognized when debt is issued, whereas this amount is deferred and amortized in the government-wide financial statements:

68,685

Unmatured interest on long-term debt:

In governmental funds, interest on long-term debt is not recognized until the period in which it matures and is paid. In the government-wide statement of activities, it is recognized in the period that it is incurred. The additional liability for unmaturing interest owing at the end of the period was:

(1,974,682)

Long-term liabilities:

In governmental funds, only current liabilities are reported. In the statement of net position, all liabilities, including long-term liabilities, are reported. Long-term liabilities relating to governmental activities consist of:

Total general obligation bonds	\$ 194,353,422	
Compensated absences	599,535	
Net OPEB obligation	4,224,280	
Net pension liability	86,358,856	(285,536,093)

Deferred outflows and inflows of resources relating to pensions:

In governmental funds, deferred outflows and inflows of resources relating to pensions are not reported because they are applicable to future periods. In the statement of net position, deferred outflows and inflows of resources relating to pensions are reported.

Deferred outflows of resources related to pensions	\$ 12,152,575	
Deferred inflows of resources related to pensions	(8,424,424)	3,728,151

Total Net Position - Governmental Activities	\$ (61,723,717)
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The accompanying notes are an integral part of these financial statements.

ALAMEDA UNIFIED SCHOOL DISTRICT
GOVERNMENTAL FUNDS
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES
FOR THE YEAR ENDED JUNE 30, 2016

	General Fund	Building Fund	Bond Interest & Redemption Fund	Non-Major Governmental Funds	Total Governmental Funds
REVENUES					
LCFF sources	\$ 73,153,428	\$ -	\$ -	\$ -	\$ 73,153,428
Federal sources	3,961,294	-	-	2,043,246	6,004,540
Other state sources	13,597,271	-	94,384	1,154,356	14,846,011
Other local sources	21,491,251	345,040	11,712,245	3,096,777	36,645,313
Total Revenues	112,203,244	345,040	11,806,629	6,294,379	130,649,292
EXPENDITURES					
Current					
Instruction	66,615,651	-	-	1,260,192	67,875,843
Instruction-related services					
Instructional supervision and administration	3,148,518	-	-	193,326	3,341,844
Instructional library, media, and technology	824,778	-	-	-	824,778
School site administration	7,171,015	-	-	87,090	7,258,105
Pupil services					
Home-to-school transportation	1,995,948	-	-	-	1,995,948
Food services	-	-	-	2,802,084	2,802,084
All other pupil services	5,577,440	-	-	-	5,577,440
General administration					
Centralized data processing	1,639,201	-	-	-	1,639,201
All other general administration	4,970,208	-	-	258,198	5,228,406
Plant services	10,001,686	77,838	-	735,560	10,815,084
Facilities acquisition and maintenance	3,582,952	6,339,369	-	324,642	10,246,963
Ancillary services	1,589,402	-	-	-	1,589,402
Community services	143,858	-	-	-	143,858
Transfers to other agencies	583,815	-	-	-	583,815
Debt service					
Principal	-	-	3,534,118	735,000	4,269,118
Interest and other	3,400	-	4,581,396	27,383	4,612,179
Total Expenditures	107,847,872	6,417,207	8,115,514	6,423,475	128,804,068
Excess (Deficiency) of Revenues	4,355,372	(6,072,167)	3,691,115	(129,096)	1,845,224
Other Financing Sources (Uses)					
Transfers out	(17)	-	-	-	(17)
Net Financing Sources (Uses)	(17)	-	-	-	(17)
NET CHANGE IN FUND BALANCE	4,355,355	(6,072,167)	3,691,115	(129,096)	1,845,207
Fund Balance - Beginning	23,128,839	89,425,676	15,350,618	6,402,208	134,307,341
Fund Balance - Ending	\$ 27,484,194	\$ 83,353,509	\$ 19,041,733	\$ 6,273,112	\$ 136,152,548

The accompanying notes are an integral part of these financial statements.

**ALAMEDA UNIFIED SCHOOL DISTRICT
RECONCILIATION OF THE GOVERNMENTAL FUNDS STATEMENT OF REVENUES,
EXPENDITURES, AND CHANGES IN FUND BALANCES TO THE STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2016**

Net Change in Fund Balances - Governmental Funds \$ 1,845,207

Amounts reported for governmental activities in the statement of activities are different from amounts reported in governmental funds because:

Capital outlay:

In governmental funds, the costs of capital assets are reported as expenditures in the period when the assets are acquired. In the statement of activities, costs of capital assets are allocated over their estimated useful lives as depreciation expense. The difference between capital outlay expenditures and depreciation expense for the period is:

Expenditures for capital outlay:	\$ 10,450,150	
Depreciation expense:	<u>(5,969,869)</u>	4,480,281

Debt service:

In governmental funds, repayments of long-term debt are reported as expenditures. In the government-wide statements, repayments of long-term debt are reported as reductions of liabilities. Expenditures for repayment of the principal portion of long-term debt were:

6,210,000

Deferred amounts on refunding:

In governmental funds, deferred amounts on refunding are recognized in the period they are incurred. In the government-wide statements, the deferred amounts on refunding are amortized over the life of the debt. The net effect of the deferred amounts on refunding during the period was:

(5,724)

Unmatured interest on long-term debt:

In governmental funds, interest on long-term debt is recognized in the period that it becomes due. In the government-wide statement of activities, it is recognized in the period it is incurred. Unmatured interest owing at the end of the period, less matured interest paid during the period but owing from the prior period, was:

(1,890,994)

Accreted interest on long-term debt:

In governmental funds, accreted interest on capital appreciation bonds is not recorded as an expenditure from current sources. In the government-wide statement of activities, however, this is recorded as interest expense for the period.

(4,565,345)

continued on the following page

ALAMEDA UNIFIED SCHOOL DISTRICT
RECONCILIATION OF THE GOVERNMENTAL FUNDS STATEMENT OF REVENUES,
EXPENDITURES, AND CHANGES IN FUND BALANCE TO THE STATEMENT OF
ACTIVITIES, continued
FOR THE YEAR ENDED JUNE 30, 2016

Compensated absences:

In governmental funds, compensated absences are measured by the amounts paid during the period. In the statement of activities, compensated absences are measured by the amount earned. The difference between compensated absences paid and compensated absences earned, was: (121,363)

Postemployment benefits other than pensions (OPEB):

In governmental funds, OPEB costs are recognized when employer contributions are made. In the statement of activities, OPEB costs are recognized on the accrual basis. This year, the difference between OPEB costs and actual employer contributions was: (562,272)

Pensions:

In governmental funds, pension costs are recognized when employer contributions are made, in the government-wide statement of activities, pension costs are recognized on the accrual basis. This year, the difference between accrual-basis pension costs and employer contributions was: (596,430)

Other liabilities not normally liquidated with current financial resources:

In the government-wide statements, expenses must be accrued in connection with any liabilities incurred during the period that are not expected to be liquidated with current financial resources. Examples include special termination benefits such as retirement incentives financed over time, and structured legal settlements. This year, expenses incurred for such obligations were: 409,835

Amortization of debt issuance premium or discount:

In governmental funds, if debt is issued at a premium or at a discount, the premium or discount is recognized as an Other Financing Source or an Other Financing Use in the period it is incurred. In the government-wide statements, the premium or discount is amortized over the life of the debt. Amortization of premium or discount for the period is: 423,195

Change in Net Position of Governmental Activities

\$ 5,626,390

The accompanying notes are an integral part of these financial statements.

ALAMEDA UNIFIED SCHOOL DISTRICT
FIDUCIARY FUNDS
STATEMENT OF NET POSITION
JUNE 30, 2016

	Trust Funds	Agency Funds
	Private-Purpose	Student Body
	Trust Fund	Fund
ASSETS		
Cash and investments	\$ 426,405	\$ 411,822
Accounts receivable	356	-
Due from other funds	17	-
Total Assets	426,778	\$ 411,822
LIABILITIES		
Due to student groups	-	\$ 811,822
Total Liabilities	-	\$ 811,822
NET POSITION		
Unrestricted	426,778	
Total Net Position	\$ 426,778	

The accompanying notes are an integral part of these financial statements.

ALAMEDA UNIFIED SCHOOL DISTRICT
FIDUCIARY FUNDS
STATEMENT OF CHANGES IN NET POSITION
FOR THE YEAR ENDED JUNE 30, 2016

	Trust Funds
	Private-Purpose
	Trust Fund
ADDITIONS	
Investment earnings	\$ 1,459
Other	378,980
Interfund transfers in and other sources	17
Total Additions	380,456
DEDUCTIONS	
Other trust activities	19,200
Total Deductions	19,200
CHANGE IN NET POSITION	361,256
Net Position - Beginning	65,522
Net Position - Ending	\$ 426,778

The accompanying notes are an integral part of these financial statements.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Financial Reporting Entity

The Alameda Unified School District (the "District") accounts for its financial transactions in accordance with the policies and procedures of the Department of Education's *California School Accounting Manual*. The accounting policies of the District conform to generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB) and the American Institute of Certified Public Accountants (AICPA).

The District operates under a locally elected Board form of government and provides educational services to grades K-12 as mandated by the state. A reporting entity is comprised of the primary government and other organizations that are included to ensure the financial statements are not misleading. The primary government of the District consists of all funds, departments and agencies that are not legally separate from the District. For the District, this includes general operations, food service, and student-related activities.

B. Component Units

Component units are legally separate organizations for which the District is financially accountable. Component units may also include organizations that are fiscally dependent on the District in that the District approves their budget, the issuance of their debt or the levying of their taxes. In addition, component units are other legally separate organizations for which the District is not financially accountable but the nature and significance of the organization's relationship with the District is such that exclusion would cause the District's financial statements to be misleading or incomplete. The District has no such component units.

C. Basis of Presentation

Government-Wide Statements. The statement of net position and the statement of activities display information about the primary government (the District). These statements include the financial activities of the overall government, except for fiduciary activities. Eliminations have been made to minimize the double-counting of internal activities. Governmental activities generally are financed through taxes, intergovernmental revenue, and other non-exchange transactions.

The statement of activities presents a comparison between direct expenses and program revenue for each function of the District's governmental activities. Direct expenses are those that are specifically associated with a program or function and, therefore, are clearly identifiable to a particular function. Indirect expense allocations that have been made in the funds have been reserved for the statement of activities. Program revenues include charges paid by the recipients of the goods or services offered by the programs and grants and contributions that are restricted to meeting of operational or capital requirements of a particular program. Revenues that are not classified as program revenues are presented as general revenues. The comparison of program revenues and expenses identifies the extent to which each program or business segment is self-financing or draws from the general revenues of the District.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

C. Basis of Presentation (continued)

Fund Financial Statements. The fund financial statements provide information about the District's funds, including its fiduciary funds. Separate statements for each fund category – governmental and fiduciary – are presented. The emphasis of fund financial statements is on major governmental funds, each displayed in a separate column. All remaining governmental funds are aggregated and reported as non-major funds.

Governmental funds are used to account for activities that are governmental in nature. Governmental activities are typically tax-supported and include education of pupils, operation of food service and child development programs, construction and maintenance of school facilities, and repayment of long-term debt.

Fiduciary funds are used to account for assets held by the District in a trustee or agency capacity for others that cannot be used to support the District's own programs.

Major Governmental Funds

General Fund: The General Fund is the main operating fund of the District. It is used to account for all activities except those that are required to be accounted for in another fund. In keeping with the minimum number of funds principle, all of the District's activities are reported in the General Fund unless there is a compelling reason to account for an activity in another fund. A District may have only one General Fund.

Building Fund: This fund exists primarily to account separately for proceeds from the sale of bonds (*Education Code Section 15146*) and may not be used for any purposes other than those for which the bonds were issued. Other authorized revenues to the Building Fund are proceeds from the sale or lease-with-option-to-purchase of real property (*Education Code Section 17462*) and revenue from rentals and leases of real property specifically authorized for deposit into the fund by the governing board (*Education Code Section 41003*).

Bond Interest and Redemption Fund: This fund is used for the repayment of bonds issued for the District (*Education Code Sections 15125–15262*). The board of supervisors of the county issues the bonds. The proceeds from the sale of the bonds are deposited in the county treasury to the Building Fund of the District. Any premiums or accrued interest received from the sale of the bonds must be deposited in the Bond Interest and Redemption Fund of the District. The county auditor maintains control over the District's Bond Interest and Redemption Fund. The principal and interest on the bonds must be paid by the county treasurer from taxes levied by the county auditor-controller.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

C. Basis of Presentation (continued)

Non-Major Governmental Funds

Special Revenue Funds: Special revenue funds are used to account for and report the proceeds of specific revenue sources that are restricted or committed to expenditures for specified purposes other than debt service or capital projects. The District maintains the following special revenue funds:

Child Development Fund: This fund is used to account separately for federal, state, and local revenues to operate child development programs. All moneys received by the District for, or from the operation of, child development services covered under the Child Care and Development Services Act (*Education Code Section 8200 et seq.*) shall be deposited into this fund. The moneys may be used only for expenditures for the operation of child development programs. The costs incurred in the maintenance and operation of child development services shall be paid from this fund, with accounting to reflect specific funding sources (*Education Code Section 8328*).

Cafeteria Special Revenue Fund: This fund is used to account separately for federal, state, and local resources to operate the food service program (*Education Code Sections 38090–38093*). The Cafeteria Special Revenue Fund shall be used only for those expenditures authorized by the governing board as necessary for the operation of the District's food service program (*Education Code Sections 38091 and 38100*).

Capital Project Funds: Capital project funds are established to account for financial resources to be used for the acquisition or construction of major capital facilities (other than those financed by proprietary funds and trust funds).

Capital Facilities Fund: This fund is used primarily to account separately for moneys received from fees levied on developers or other agencies as a condition of approving a development (*Education Code Sections 17620–17626*). The authority for these levies may be county/city ordinances (*Government Code Sections 65970–65981*) or private agreements between the District and the developer. Interest earned in the Capital Facilities Fund is restricted to that fund (*Government Code Section 66006*).

Special Reserve Fund for Capital Outlay Projects: This fund exists primarily to provide for the accumulation of General Fund moneys for capital outlay purposes (*Education Code Section 42840*).

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

C. Basis of Presentation (continued)

Fiduciary Funds

Trust and Agency Funds: Trust and agency funds are used to account for assets held in a trustee or agent capacity for others that cannot be used to support the District's own programs. The key distinction between trust and agency funds is that trust funds are subject to a trust agreement that affects the degree of management involvement and the length of time that the resources are held.

Scholarship Fund and Private-Purpose Trust Fund: These funds are used to account separately for gifts or bequests per *Education Code Section 41031* that benefit individuals, private organizations, or other governments and under which neither principal nor income may be used for purposes that support the District's own programs.

Student Body Fund: The Student Body Fund is an agency fund and, therefore, consists only of accounts such as cash and balancing liability accounts, such as due to student groups. The student body itself maintains its own general fund, which accounts for the transactions of that entity in raising and expending money to promote the general welfare, morale, and educational experiences of the student body (*Education Code Sections 48930–48938*).

D. Basis of Accounting – Measurement Focus

Government-Wide and Fiduciary Financial Statements

The government-wide and fiduciary fund financial statements are reported using the economic resources measurement focus. The government-wide and fiduciary fund financial statements are reported using the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flows take place.

Net Position equals assets and deferred outflows of resources minus liabilities and deferred inflows of resources. Net investment in capital assets consists of capital assets, net of accumulated depreciation, reduced by the outstanding balances of any borrowings used for the acquisition, construction or improvement of those assets. The net position should be reported as restricted when constraints placed on its use are either externally imposed by creditors (such as through debt covenants), grantors, contributors, or laws or regulations of other governments or imposed by law through constitutional provisions or enabling legislation. The net position restricted for other activities results from special revenue funds and the restrictions on their use.

Governmental Funds

Basis of accounting refers to when revenues and expenditures are recognized in the accounts and reported in the financial statements. Governmental funds use the modified accrual basis of accounting.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

D. Basis of Accounting – Measurement Focus (continued)

Revenues – Exchange and Non-Exchange Transactions

Revenue resulting from exchange transactions, in which each party gives and receives essentially equal value, is recorded under the accrual basis when the exchange takes place. On a modified accrual basis, revenue is recorded in the fiscal year in which the resources are measurable and become available. "Available" means the resources will be collected within the current fiscal year or are expected to be collected soon enough thereafter to be used to pay liabilities of the current fiscal year. Generally, "available" means collectible within the current period or within 60 days after year-end. However, to achieve comparability of reporting among California school districts and so as not to distort normal revenue patterns, with specific respect to reimbursements grants and corrections to State-aid apportionments, the California Department of Education has defined available for school districts as collectible within one year.

Non-exchange transactions, in which the District receives value without directly giving equal value in return, include property taxes, grants, and entitlements. Under the accrual basis, revenue from property taxes is recognized in the fiscal year for which the taxes are levied. Revenue from the grants and entitlements is recognized in the fiscal year in which all eligibility requirements have been satisfied.

Eligibility requirements include timing requirements, which specify the year when the resources are to be used or the fiscal year when use is first permitted; matching requirements, in which the District must provide local resources to be used for a specific purpose; and expenditure requirements, in which the resources are provided to the District on a reimbursement basis. Under the modified accrual basis, revenue from non-exchange transactions must also be available before it can be recognized.

Unearned revenue

Unearned revenue arises when potential revenue does not meet both the "measurable" and "available" criteria for recognition in the current period or when resources are received by the District prior to the incurrence of qualifying expenditures. In subsequent periods, when both revenue recognition criteria are met, or when the District has a legal claim to the resources, the liability for unearned revenue is removed from the balance sheet and revenue is recognized.

Certain grants received that have not met eligibility requirements are recorded as unearned revenue. On the governmental fund financial statements, receivables that will not be collected within the available period are also recorded as unearned revenue.

Expenses/Expenditures

On the accrual basis of accounting, expenses are recognized at the time a liability is incurred. On the modified accrual basis of accounting, expenditures are generally recognized in the accounting period in which the related fund liability is incurred, as under the accrual basis of accounting. However, under the modified accrual basis of accounting, debt service expenditures, as well as expenditures related to compensated absences and claims and judgments, are recorded only when payment is due. Allocations of cost, such as depreciation and amortization, are not recognized in the governmental funds. When both restricted and unrestricted resources are available for use, it is the District's policy to use restricted resources first, then unrestricted resources as they are needed.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

E. Assets, Deferred Outflows of Resources, Liabilities, Deferred Inflows of Resources, Fund Balance and Net Position

Cash and Cash Equivalents

The District's cash and cash equivalents consist of cash on hand, demand deposits and short-term investments with original maturities of three months or less from the date of acquisition.

Investments

Investments with original maturities greater than one year are stated at fair value. Fair value is estimated based on quoted market prices at year-end. All investments not required to be reported at fair value are stated at cost or amortized cost. Fair values of investments in county and State investment pools are determined by the program sponsor.

Inventories

Inventories are valued at cost using the first-in/first-out (FIFO) method. The costs of governmental fund-type inventories are recorded as expenditures when consumed rather than when purchased.

Capital Assets

The accounting and reporting treatment applied to the capital assets associated with a fund is determined by its measurement focus. Capital assets are reported in the governmental activities column of the government-wide statement of net position, but are not reported in the fund financial statements.

Capital assets are capitalized at cost (or estimated historical cost) and updated for additions and retirements during the year. Donated fixed assets are recorded at their acquisition value as of the date received. The District maintains a capitalization threshold of \$5,000. The District does not own any infrastructure as defined in GASB Statement No. 34. Improvements are capitalized; the costs of normal maintenance and repairs that do not add to the value of the asset or materially extend an asset's life are not capitalized. All reported capital assets, except for land and construction in progress, are depreciated. Improvements are depreciated over the remaining useful lives of the related capital assets. Depreciation is computed using the straight-line method over the following estimated useful lives:

<u>Asset Class</u>	<u>Estimated Useful Life</u>
Buildings and Improvements	25 – 50 years
Furniture and Equipment	5 – 15 years
Vehicles	8 years

Interfund Balances

On fund financial statements, receivables and payables resulting from short-term interfund loans are classified as "Due from other funds/Due to other funds." These amounts are eliminated in the governmental activities columns of the statement of net position.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

E. Assets, Deferred Outflows of Resources, Liabilities, Deferred Inflows of Resources, Fund Balance and Net Position (continued)

Compensated Absences

Accumulated unpaid employee vacation benefits are accrued as a liability as the benefits are earned. The entire compensated absence liability is reported on the government-wide financial statements. For governmental funds, the current portion of unpaid compensated absences is recognized upon the occurrence of relevant events such as employee resignations and retirements that occur prior to year-end that have not yet been paid with expendable available financial resource. These amounts are recorded in the fund from which the employees who have accumulated leave are paid.

Accumulated sick leave benefits are not recognized as liabilities of the District. The District's policy is to record sick leave as an operating expense in the period taken because such benefits do not vest, nor is payment probable; however, unused sick leave is added to the creditable service period for calculation of retirement benefits when the employee retires.

Accrued Liabilities and Long-Term Obligations

All payables, accrued liabilities, and long-term obligations are reported in the government-wide financial statements. In general, governmental fund payables and accrued liabilities that, once incurred, are paid in a timely manner and in full from current financial resources are reported as obligations of the funds.

Premiums and Discounts

In the government-wide financial statements, long-term obligations are reported as liabilities in the statement of net position. Bond premiums and discounts are deferred and amortized over the life of the bonds using the straight line method.

Deferred Outflows/Deferred Inflows of Resources

In addition to assets, the District will sometimes report a separate section for deferred outflows of resources. This separate financial statement element, deferred outflows of resources, represents a consumption of net position that applies to a future period and so will not be recognized as an outflow of resources (expense/expenditure) until then.

In addition to liabilities, the District will sometimes report a separate section for deferred inflows of resources. This separate financial statement element, deferred inflows of resources, represents an acquisition of net position that applies to a future period and so will not be recognized as an inflow of resources (revenue) until that time.

Pensions

For purposes of measuring the net pension liability, deferred outflows of resources and deferred inflows of resources related to pensions, and pension expense, information about the fiduciary net position of the defined benefit pension plans (the Plans) of the California State Teachers' Retirement System (CalSTRS) and the California Public Employees' Retirement System (CalPERS) and additions to/deductions from the Plans' fiduciary net position have been determined on the same basis as they are reported by the Plans. For this purpose, benefit payments (including refunds of employee contributions) are recognized when due and payable in accordance with the benefit terms. Investments are reported at fair value.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

E. Assets, Deferred Outflows of Resources, Liabilities, Deferred Inflows of Resources, Fund Balance and Net Position (continued)

Fund Balance

Fund balance is divided into five classifications based primarily on the extent to which the District is bound to observe constraints imposed upon the use of the resources in the governmental funds. The classifications are as follows:

Nonspendable - The nonspendable fund balance classification reflects amounts that are not in spendable form. Examples include inventory, prepaid items, the long-term portion of loans receivable, and nonfinancial assets held for resale. This classification also reflects amounts that are in spendable form but that are legally or contractually required to remain intact, such as the principal of a permanent endowment.

Restricted - The restricted fund balance classification reflects amounts subject to externally imposed and legally enforceable constraints. Such constraints may be imposed by creditors, grantors, contributors, or laws or regulations of other governments, or may be imposed by law through constitutional provisions or enabling legislation.

Committed - The committed fund balance classification reflects amounts subject to internal constraints self-imposed by formal action of the Governing Board. The constraints giving rise to committed fund balance must be imposed no later than the end of the reporting period. The actual amounts may be determined subsequent to that date but prior to the issuance of the financial statements. In contrast to restricted fund balance, committed fund balance may be redirected by the government to other purposes as long as the original constraints are removed or modified in the same manner in which they were imposed, that is, by the same formal action of the Governing Board. The District currently does not have any committed funds.

Assigned - The assigned fund balance classification reflects amounts that the government *intends* to be used for specific purposes. Assignments may be established either by the Governing Board or by a designee of the governing body, and are subject to neither the restricted nor committed levels of constraint. In contrast to the constraints giving rise to committed fund balance, constraints giving rise to assigned fund balance are not required to be imposed, modified, or removed by formal action of the Governing Board. The action does not require the same level of formality and may be delegated to another body or official. Additionally, the assignment need not be made before the end of the reporting period, but rather may be made any time prior to the issuance of the financial statements.

Unassigned - In the General Fund only, the unassigned fund balance classification reflects the residual balance that has not been assigned to other funds and that is not restricted, committed, or assigned to specific purposes. However, deficits in any fund, including the General Fund that cannot be eliminated by reducing or eliminating amounts assigned to other purposes are reported as negative unassigned fund balance.

The District applies restricted resources first when expenditures are incurred for purposes for which either restricted or unrestricted (committed, assigned and unassigned) amounts are available. Similarly, within unrestricted fund balance, committed amounts are reduced first followed by assigned, and then unassigned amounts when expenditures are incurred for purposes for which amounts in any of the unrestricted fund balance classifications could be used.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

F. Interfund Activity

Exchange transactions between funds are reported as revenues in the seller funds and as expenditures/expenses in the purchaser funds. Flows of cash or goods from one fund to another without a requirement for repayment are reported as interfund transfers. Interfund transfers are reported as other financing sources/uses in governmental funds. Repayments from funds responsible for particular expenditures/expenses to the funds that initially paid for them are not presented in the financial statements. Interfund transfers are eliminated in the governmental activities columns of the statement of activities.

G. Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results may differ from those estimates.

H. Budgetary Data

The budgetary process is prescribed by provisions of the California Education Code and requires the governing board to hold a public hearing and adopt an operating budget no later than July 1 of each year. The District governing board satisfied these requirements. The adopted budget is subject to amendment throughout the year to give consideration to unanticipated revenue and expenditures primarily resulting from events unknown at the time of budget adoption with the legal restriction that expenditures cannot exceed appropriations by major object account.

The amounts reported as the original budgeted amounts in the budgetary statements reflect the amounts when the original appropriations were adopted. The amounts reported as the final budgeted amounts in the budgetary statements reflect the amounts after all budget amendments have been accounted for. For purposes of the budget, on-behalf payments have not been included as revenue and expenditures as required under generally accepted accounting principles.

I. Property Tax

Secured property taxes attach as an enforceable lien on property as of January 1. Taxes are payable in two installments on November 1 and February 1 and become delinquent on December 10 and April 10, respectively. Unsecured property taxes are payable in one installment on or before August 31. The County Auditor-Controller bills and collects the taxes on behalf of the District. Local property tax revenues are recorded when received.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

J. New Accounting Pronouncements and Changes in Accounting Principals

GASB Statement No. 72 – In February 2015, GASB issued Statement No. 72, *Fair Value Measurement and Application*. This standard addresses accounting and financial reporting issues related to fair value measurements. The Statement is effective for periods beginning after June 15, 2015. The District has implemented GASB Statement No. 72 for the year ended June 30, 2016.

GASB Statement No. 73 – In June 2015, GASB issued Statement No. 73, *Accounting and Financial Reporting for Pensions and Related Assets That are Not within the Scope of GASB Statement 68, and Amendments to Certain Provisions of GASB Statements 67 and 68*. This standard establishes requirements for defined benefit pensions that are not within the scope of GASB Statement 68 and amends certain provisions of GASB Statements 67 and 68. A portion of this Statement is effective for periods beginning after June 15, 2015. The District has implemented GASB Statement No. 73 for the year ended June 30, 2016.

GASB Statement No. 75 – In June 2015, GASB issued Statement No. 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*. This standard's primary objective is to improve accounting and financial reporting by state and local governments for postemployment benefits other than pensions. The Statement is effective for periods beginning after June 15, 2017. The District has not yet determined the impact on the financial statements.

GASB Statement No. 80 – In January 2016, GASB issued Statement No. 80, *Blending Requirements for Certain Component Units – an Amendment of GASB Statement No. 14*. This standard's primary objective is to improve financial reporting by clarifying the financial statement presentation requirements for certain component units. The Statement is effective for periods beginning after June 15, 2016. The District has not yet determined the impact on the financial statements.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 2 – CASH AND INVESTMENTS

A. Summary of Cash and Investments

	Governmental Funds	Fiduciary Funds
Investment in county treasury	\$ 140,106,183	\$ 426,405
Cash on hand and in banks	70,058	411,822
Cash in revolving fund	51,230	-
Total cash and investments	\$ 140,227,471	\$ 838,227

B. Policies and Practices

The District is authorized under California Government Code to make direct investments in local agency bonds, notes, or warrants within the state; U.S. Treasury instruments; registered state warrants or treasury notes; securities of the U.S. Government, or its agencies; bankers acceptances; commercial paper; certificates of deposit placed with commercial banks and/or savings and loan companies; repurchase or reverse repurchase agreements; medium term corporate notes; shares of beneficial interest issued by diversified management companies, certificates of participation, obligations with first priority security; collateralized mortgage obligations; and the County Investment Pool.

Investment in County Treasury – The District maintains substantially all of its cash in the County Treasury in accordance with *Education Code Section 41001*. The Alameda County Treasurer’s pooled investments are managed by the County Treasurer who reports on a monthly basis to the board of supervisors. In addition, the function of the County Treasury Oversight Committee is to review and monitor the County’s investment policy. The committee membership includes the Treasurer and Tax Collector, the Auditor-Controller, Chief Administrative Officer, Superintendent of Schools Representative, and a public member. The fair value of the District’s investment in the pool is based upon the District’s pro-rata share of the fair value provided by the County Treasurer for the entire portfolio (in relation to the amortized cost of that portfolio). The balance available for withdrawal is based on the accounting records maintained by the County Treasurer, which is recorded on the amortized cost basis.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 2 – CASH AND INVESTMENTS (continued)

C. General Authorizations

Except for investments by trustees of debt proceeds, the authority to invest District funds deposited with the county treasury is delegated to the County Treasurer and Tax Collector. Additional information about the investment policy of the County Treasurer and Tax Collector may be obtained from its website. The table below identifies the investment types permitted by California Government Code.

Authorized Investment Type	Maximum Remaining Maturity	Maximum Percentage of Portfolio	Maximum Investment in One Issuer
Local Agency Bonds, Notes, Warrants	5 years	None	None
Registered State Bonds, Notes, Warrants	5 years	None	None
U. S. Treasury Obligations	5 years	None	None
U. S. Agency Securities	5 years	None	None
Banker's Acceptance	180 days	40%	30%
Commercial Paper	270 days	25%	10%
Negotiable Certificates of Deposit	5 years	30%	None
Repurchase Agreements	1 year	None	None
Reverse Repurchase Agreements	92 days	20% of base	None
Medium-Term Corporate Notes	5 years	30%	None
Mutual Funds	N/A	20%	10%
Money Market Mutual Funds	N/A	20%	10%
Mortgage Pass-Through Securities	5 years	20%	None
County Pooled Investment Funds	N/A	None	None
Local Agency Investment Fund (LAIF)	N/A	None	None
Joint Powers Authority Pools	N/A	None	None

D. Interest Rate Risk

Interest rate risk is the risk that changes in market interest rates will adversely affect the fair value of an investment. Generally, the longer the maturity of an investment, the greater the sensitivity of its fair value to changes in market interest rates. The District manages its exposure to interest rate risk by investing in the County Treasury. The District maintains a pooled investment with the County Treasury with a fair value of approximately \$140,908,915 and an amortized book value of \$140,532,588. The average weighted maturity for this pool is 376 days.

E. Credit Risk

Credit risk is the risk that an issuer of an investment will not fulfill its obligation to the holder of the investment. This is measured by the assignment of a rating by a nationally recognized statistical rating organization. The investments in the County Treasury are not required to be rated. As of June 30, 2016, the pooled investments in the County Treasury were not rated.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 2 – CASH AND INVESTMENTS (continued)

F. Custodial Credit Risk – Deposits

This is the risk that in the event of a bank failure, the District's deposits may not be returned to it. The District does not have a policy for custodial credit risk for deposits. However, the California Government Code requires that a financial institution secure deposits made by state or local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under state law. The market value of the pledged securities in the collateral pool must equal at least 110 percent of the total amount deposited by the public agencies. California law also allows financial institutions to secure public deposits by pledging first trust deed mortgage notes having a value of 150 percent of the secured public deposits and letters of credit issued by the Federal Home Loan Bank of San Francisco having a value of 105 percent of the secured deposits. As of June 30, 2016, the District's bank balance was not exposed to custodial credit risk.

G. Fair Value

The District categorizes the fair value measurements of its investments based on the hierarchy established by generally accepted accounting principles. The fair value hierarchy is based on the valuation inputs used to measure an asset's fair value. The following provides a summary of the hierarchy used to measure fair value:

Level 1 - Quoted prices (unadjusted) in active markets for identical assets.

Level 2 - Observable inputs other than Level 1 prices such as quoted prices for similar assets in active markets, quoted prices for identical or similar assets in markets that are not active, or other inputs that are observable, either directly or indirectly.

Level 3 - Unobservable inputs should be developed using the best information available under the circumstances, which might include the District's own data. The District should adjust that data if reasonable available information indicates that other market participants would use different data or certain circumstances specific to the District are not available to other market participants.

Uncategorized - Investments in the Alameda County Treasury Investment Pool are not measured using the input levels above because the District's transactions are based on a stable net asset value per share. All contributions and redemptions are transacted at \$1.00 net asset value per share.

The District's fair value measurements at June 30, 2016 were as follows:

	<u>Uncategorized</u>
Investment in county treasury	\$ 140,908,915
Total fair market value of investments	<u>\$ 140,908,915</u>

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 3 – ACCOUNTS RECEIVABLE

Accounts receivable at June 30, 2016 consisted of the following:

	General Fund	Building Fund	Bond Interest & Redemption Fund	Non-Major Governmental Funds	Total Governmental Activities	Total Fiduciary
Federal Government						
Categorical aid	\$ 2,204,141	\$ -	\$ -	\$ 376,112	\$ 2,580,253	\$ -
State Government						
Apportionment	87,364	-	-	-	87,364	-
Categorical aid	873,551	-	-	51,559	925,110	-
Lottery	588,465	-	-	-	588,465	-
Local Government						
Other local sources	1,608,497	115,867	16,510	114,865	1,855,739	356
Total	\$ 5,362,018	\$ 115,867	\$ 16,510	\$ 542,536	\$ 6,036,931	\$ 356

NOTE 4 – CAPITAL ASSETS

Capital asset activity for the year ended June 30, 2016 was as follows:

	Balance July 01, 2015	Additions	Deletions	Balance June 30, 2016
Governmental Activities				
Capital assets not being depreciated				
Land	\$ 4,794,916	\$ -	\$ -	\$ 4,794,916
Construction in progress	952,474	7,890,703	520,457	8,322,720
Total Capital Assets not Being Depreciated	5,747,390	7,890,703	520,457	13,117,636
Capital assets being depreciated				
Land improvements	4,836,231	1,083,236	-	5,919,467
Buildings & improvements	134,635,500	1,424,547	-	136,060,047
Furniture & equipment	4,019,447	572,121	-	4,591,568
Total Capital Assets Being Depreciated	143,491,178	3,079,904	-	146,571,082
Less Accumulated Depreciation				
Land improvements	2,666,086	122,088	-	2,788,174
Buildings & improvements	63,499,320	5,588,246	-	69,087,566
Furniture & equipment	1,715,769	259,535	-	1,975,304
Total Accumulated Depreciation	67,881,175	5,969,869	-	73,851,044
Governmental Activities				
Capital Assets, net	\$ 81,357,393	\$ 5,000,738	\$ 520,457	\$ 85,837,674

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 4 – CAPITAL ASSETS (continued)

Depreciation expense has been allocated to governmental activities as follows:

Governmental Activities	
Instruction	\$ 3,580,812
Instructional supervision and administration	221,550
Instructional library, media, and technology	58,776
School site administration	517,236
Home-to-school transportation	142,238
Food services	143,976
All other pupil services	42,097
Ancillary services	58,164
Community services	11,620
All other general administration	305,868
Centralized data processing	116,815
Plant services	770,717
	<u>\$ 5,969,869</u>

NOTE 5 – INTERFUND TRANSACTIONS

A. Interfund Receivables/Payables (Due From/Due To)

The individual interfund receivable and payable balance at June 30, 2016 was due from the General Fund to the Foundation Private Purpose Trust Fund for the contribution to cover a scholarship totaling \$17.

B. Operating Transfers

The individual interfund transfer for the year ended June 30, 2016 consisted of a transfer from General Fund to the Foundation Private Purpose Trust Fund for the contribution to cover a scholarship totaling \$17.

NOTE 6 – ACCRUED LIABILITIES

Accrued liabilities at June 30, 2016 consisted of the following:

	General Fund	Building Fund	Non-Major Governmental Funds	District-Wide	Total Governmental Activities
Payroll	\$ 2,365,323	\$ 5,193	\$ 76,015	\$ -	\$ 2,446,531
Construction	-	2,180,284	-	-	2,180,284
Vendors payable	3,518,355	-	23,825	-	3,542,180
Unmatured interest	-	-	-	1,974,699	1,974,699
Other liabilities	1,410,200	-	34,591	-	1,444,791
Total	\$ 7,293,878	\$ 2,185,477	\$ 134,431	\$ 1,974,699	\$ 11,588,485

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 7 – UNEARNED REVENUE

Unearned revenue at June 30, 2016, consisted of the following:

	Total Governmental Activities
Federal sources	\$ 28,063
State categorical sources	180,000
Local sources	356,700
Total	\$ 564,763

NOTE 8 – LONG-TERM DEBT

A schedule of changes in long-term debt for the year ended June 30, 2016 consisted of the following:

	Balance July 01, 2015	Additions	Deductions	Balance June 30, 2016	Balance Due In One Year
Governmental Activities					
General obligation bonds	\$ 185,896,453	\$ 4,565,345	\$ 5,475,000	\$ 184,986,798	\$ 9,156,745
Unamortized premium	9,941,019	-	429,495	9,511,524	429,495
Unamortized discount	(151,200)	-	(6,300)	(144,900)	(6,300)
Total general obligation bonds	195,686,272	4,565,345	5,898,195	194,353,422	9,579,940
Certificates of participation	735,000	-	735,000	-	-
Early retirement incentive	409,835	-	409,835	-	-
Compensated absences	478,172	121,363	-	599,535	-
Net OPEB obligation	3,662,008	562,272	-	4,224,280	-
Net pension liability	71,395,685	14,963,171	-	86,358,856	-
Total	\$ 272,366,972	\$ 20,212,151	\$ 7,043,030	\$ 285,536,093	\$ 9,579,940

- Payments for general obligation bonds are made in the Bond Interest and Redemption Fund.
- Payments on certificates of participation are made in the Capital Facilities Fund.
- Payments for compensated absences are typically liquidated in the General Fund and the Non-Major Governmental Funds.
- Payments for early retirement program are made in the General Fund.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 8 – LONG-TERM DEBT (continued)

A. General Obligation Bonds

Series	Issue Date	Maturity Date	Interest Rate	Original Issue	Bonds Outstanding		Additions	Deductions	Bonds Outstanding	
					July 01, 2015				June 30, 2016	
Election 2004, Series A	October 13, 2004	August 1, 2029	2.50% - 5.48%	\$ 40,998,093	\$ 63,371,176	\$	3,049,760	\$ 5,255,000	\$ 61,165,936	
Election 2004, Series B	June 21, 2005	August 1, 2036	3.25% - 5.09%	21,997,233	29,120,277		1,515,585	165,000	30,470,862	
Election 2014, Series A	June 5, 2015	August 1, 2039	2.00% - 5.00%	90,000,000	90,000,000		-	-	90,000,000	
2015 Refunding	June 5, 2015	August 1, 2027	2.00% - 5.00%	3,405,000	3,405,000		-	55,000	3,350,000	
					\$ 185,896,453	\$	4,565,345	\$ 5,475,000	\$ 184,986,798	

Election 2004

In an election held March 2, 2004, the voters authorized the District to issue and sale \$63,000,000 of principal amount of general obligation bonds. These bonds were issued for the purpose of financing the construction, renovation, and repair of District facilities, as specified in a list submitted to the voters of the District. There were two issuances under this election:

- Series A, which was issued on October 13, 2004 for \$40,998,093 with interest rates ranging from 2.50% to 5.48%. The original issuance consisted of \$3,000,000 in current interest serial bonds and \$37,998,093 in capital appreciation serial bonds. The principal balance outstanding on June 30, 2016 amounted to \$61,165,936.
- Series B, which was issued on June 21, 2005 for \$21,997,233 with interest rates ranging from 3.25% to 5.09%. The original issuance consisted of \$4,565,000 in current interest serial bonds and \$17,432,233 in capital appreciation serial bonds. The principal balance outstanding on June 30, 2016 amounted to \$30,470,862.

Election 2014

In the election held November 4, 2014, the voter authorized the District to issue and sale \$179,500,000 of principal amount of general obligation bonds. The Series A Bonds are being issued to finance the repair, upgrading, acquisition, construction, and equipping of District sites and facilities. There has been one issuance under this election:

- Series A, which was issued on June 5, 2015 for \$90,000,000 with interest rates ranging from 2.00% to 5.00%. The issuance consisted of current interest serial bonds. The principal balance outstanding on June 30, 2016 amounted to \$90,000,000.

2015 Refunding

The 2015 Refunding Bonds, which was issued on June 5, 2015 for \$3,405,000 with interest rates ranging from 2.00% to 5.00%. The issuance consisted of current interest serial bonds. The principal balance outstanding on June 30, 2016 amounted to \$3,350,000. These bonds were issued to refund the Election 2004, Series B current interest bond maturities August 1, 2016 – August 1, 2027.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 8 – LONG-TERM DEBT (continued)

B. Debt Service Requirements to Maturity – Bonds

The bonds mature through 2040 as follows:

Year Ended June 30,	Principal	Interest	Total
2017	\$ 9,156,745	\$ 6,636,579	\$ 15,793,324
2018	9,368,833	6,491,230	15,860,063
2019	3,265,728	6,664,935	9,930,663
2020	3,199,434	6,931,979	10,131,413
2021	3,116,328	7,219,360	10,335,688
2022 - 2026	15,250,145	40,328,361	55,578,506
2027 - 2031	20,468,226	48,718,712	69,186,938
2032 - 2036	39,618,363	50,794,137	90,412,500
2037 - 2040	41,279,773	9,357,603	50,637,376
Accretion	40,263,223	(40,263,223)	-
Total	\$ 184,986,798	\$ 142,879,673	\$ 327,866,471

C. Certificates of Participation (COPs)

The Certificates of Participation were executed and delivered pursuant to a Trust Agreement, dated as of June 1, 2000, by and among U.S. Bank Trust National Association, as trustee, the California School Boards Association Finance Corporation and the District, to finance the acquisition of relocatable modular classrooms, fund a debt service reserve fund and pay the costs related to the execution and delivery of the Certificates. The Certificates were issued in the amount of \$1,820,000 with interest rates ranging from 4.35% to 5.8%. Debt service payments for the Certificates are made from the General Fund. As of June 30, 2016, the principal balance outstanding was \$0.

D. PARS Supplementary Retirement Plan

During the fiscal year 2010-11, the District offered a supplementary retirement incentive. Public Agency Retirement Services (PARS) is providing the Supplementary Retirement Plan under Section 403(b) of the Internal Revenue Code. The benefits provided under the plan were completely funded as of June 30, 2016.

E. Compensated Absences

Total unpaid employee compensated absences as of June 30, 2016 amounted to \$599,535. This amount is included as part of long-term liabilities in the government-wide financial statements.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 8 – LONG-TERM DEBT (continued)

F. Other Postemployment Benefits (OPEB)

The District follows GASB Statement No. 45, *Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions*. The District's annual required contribution for the year ended June 30, 2016, was \$1,371,000 with net interest and other adjustments of \$11,258 for a net annual OPEB cost of \$1,382,258. The District made contributions during the year of \$819,986, which resulted in an increase to net OPEB obligation of \$562,272. The ending OPEB balance at June 30, 2016 was \$4,224,280. See Note 10 for additional information regarding the OPEB obligation and postemployment benefit plan.

G. Net Pension Liability

The District's beginning net pension liability was \$71,395,685 and increased by \$14,963,171 during the year ended June 30, 2016. The ending net pension liability at June 30, 2016 was \$86,358,856. See Note 11 for additional information regarding the net pension liability.

NOTE 9 – FUND BALANCES

Fund balances were composed of the following elements at June 30, 2016:

	General Fund	Building Fund	Bond Interest & Redemption Fund	Non-Major Governmental Funds	Total Governmental Funds
Non-spendable					
Revolving cash	\$ 50,000	\$ -	\$ -	\$ 1,230	\$ 51,230
Stores inventory	-	-	-	66,712	66,712
Total non-spendable	50,000	-	-	67,942	117,942
Restricted					
Educational programs	3,445,616	-	-	104,749	3,550,365
Capital projects	-	83,353,509	-	5,539,748	88,893,257
Debt service	-	-	19,041,733	-	19,041,733
All others	-	-	-	559,589	559,589
Total restricted	3,445,616	83,353,509	19,041,733	6,204,086	112,044,944
Committed					
Stabilization	5,693,636	-	-	-	5,693,636
Total committed	5,693,636	-	-	-	5,693,636
Assigned					
Other assignments	8,734,817	-	-	1,084	8,735,901
Total assigned	8,734,817	-	-	1,084	8,735,901
Unassigned					
Remaining unassigned	9,560,125	-	-	-	9,560,125
Total unassigned	9,560,125	-	-	-	9,560,125
Total	\$ 27,484,194	\$ 83,353,509	\$ 19,041,733	\$ 6,273,112	\$ 136,152,548

The District is committed to maintaining a prudent level of financial resources to protect against the need to reduce service levels because of temporary revenue shortfalls or unpredicted expenditures. The District's Minimum Fund Balance Policy requires a Reserve for Economic Uncertainties, consisting of unassigned amounts, sufficient to cover any deficit plus the required 3% reserve.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 10 –POSTEMPLOYMENT BENEFITS OTHER THAN PENSIONS (OPEB)

A. Plan Description and Contribution Information

The Postemployment Benefit Plan (the “Plan”) is a single-employer defined benefit healthcare plan administered by the District. The Plan provides medical, dental, and vision insurance benefits to eligible retirees and their spouses. The District implemented Governmental Accounting Standards Board Statement #45, *Accounting and Financial Reporting by Employers for Postemployment Benefit Plans Other Than Pension Plans*, in 2008-09.

Membership of the plan consisted of the following:

Retirees and beneficiaries receiving benefits	300
Active plan members	974
Total*	<u>1,274</u>
Number of participating employers	1

*As of July 1, 2014 actuarial study

B. Funding Policy

The contribution requirements of Plan members and the District are established and may be amended by the District and District’s bargaining units. The required contribution is based on projected pay-as-you-go financing requirements. For fiscal year 2016, the District contributed \$819,986 to the Plan, all of which was used for current premiums.

As of June 30, 2016, the District has not established a plan or equivalent that contains an irrevocable transfer of assets dedicated to providing benefits to retirees in accordance with the terms of the plan and that are legally protected from creditors.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 10 –POSTEMPLOYMENT BENEFITS OTHER THAN PENSIONS (OPEB) (continued)

C. Annual OPEB Cost and Net OPEB Obligation

The District's annual OPEB cost (expense) is calculated based on the annual required contribution of the employer (ARC), an amount actuarially determined in accordance with the parameters of GASB Statement No. 45. The ARC represents a level of funding that, if paid on an ongoing basis, is projected to cover normal cost each year and amortize any unfunded actuarial accrued liabilities (UAAL) (or funding excess) over a period not to exceed thirty years. The following table shows the components of the District's annual OPEB cost for the year, the amount actually contributed to the Plan, and changes in the District's net OPEB obligation to the Plan:

Annual required contribution	\$	1,371,000
Interest on net OPEB obligation		146,480
Adjustment to annual required contribution		(135,222)
Annual OPEB cost (expense)		1,382,258
Contributions made		(819,986)
Increase (decrease) in net OPEB obligation		562,272
Net OPEB obligation, beginning of the year		3,662,008
Net OPEB obligation, end of the year	\$	4,224,280

The annual OPEB cost, the percentage of annual OPEB cost contributed to the Plan, and the net OPEB obligation for the year ended June 30, 2016 and the preceding two years were as follows:

Year Ended June 30,		Annual OPEB Cost	Percentage Contributed		Net OPEB Obligation
2016	\$	1,382,258	59%	\$	4,224,280
2015	\$	1,380,455	57%	\$	3,662,008
2014	\$	1,384,156	77%	\$	3,075,291

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 10 – POSTEMPLOYMENT BENEFITS OTHER THAN PENSIONS (OPEB) (continued)

D. Funded Status and Funding Progress

The funded status of the plan as of the most recent actuarial evaluation consists of the following:

Actuarial Valuation Date	Actuarial Valuation of Assets	Actuarial Accrued Liability (AAL)	Unfunded AAL (UAAL)	Funded Ratio	Covered Payroll	UAAL as a Percentage of Covered Payroll
July 1, 2014	\$ -	\$ 15,626,000	\$ 15,626,000	0%	\$ 60,856,329	26%

Actuarial valuations of an ongoing plan involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. Examples include assumptions about future employment, investment returns, mortality, and the healthcare cost trend. Amounts determined regarding the funded status of the Plan and the annual required contributions of the employer are subject to continual revision as actual results are compared with past expectations and new estimates are made about the future.

The schedule of funding progress, presented as required supplementary information following the notes to financial statements, presents multiyear trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits.

E. Actuarial Methods and Assumptions

Projections of benefits for financial reporting purposes are based on the substantive plan (the plan as understood by the employer and the plan members) and include the types of benefits provided at the time of each valuation and the historical pattern of sharing of benefit costs between the employer and plan members to that point. The actuarial methods and assumptions used include techniques that are designed to reduce the effects of short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

Valuation Date	7/1/2014
Actuarial Cost Method	Entry Age Normal
Amortization Method	Level-percentage of payroll
Remaining Amortization Period	29
Asset Valuation	\$ -
Actuarial Assumptions:	
Investment rate of return	4.0%
Discount rate	4.0%
Inflation rate	4.0%

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 11 – PENSION PLANS

Qualified employees are covered under multiple-employer contributory retirement plans maintained by agencies of the State of California. Certificated employees are members of the California State Teachers' Retirement System (CalSTRS), and classified employees are members of the California Public Employees' Retirement System (CalPERS). The District reported its proportionate share of the net pension liabilities, pension expense, deferred outflow of resources, and deferred inflow of resources for each of the above plans as follows:

	Net pension liability	Deferred outflows related to pensions	Deferred inflows related to pensions	Pension expense
STRS Pension	\$ 63,430,668	\$ 7,847,419	\$ 6,230,571	\$ 5,406,818
PERS Pension	22,928,188	4,305,156	2,193,853	2,361,028
Total	\$ 86,358,856	\$ 12,152,575	\$ 8,424,424	\$ 7,767,846

California State Teachers' Retirement System (CalSTRS)

Plan Description

The District contributes to the California State Teachers' Retirement System (CalSTRS); a cost-sharing multiple employer public employee retirement system defined benefit pension plan administered by CalSTRS. The plan provides retirement and disability benefits and survivor benefits to beneficiaries. Benefit provisions are established by state statutes, as legislatively amended, within the State Teachers' Retirement Law. CalSTRS issues a separate comprehensive annual financial report that includes financial statements and required supplementary information. Copies of the CalSTRS annual financial report may be obtained from CalSTRS, 7919 Folsom Blvd., Sacramento, CA 95826.

Benefits provided

The CalSTRS defined benefit plan has two benefit formulas:

CalSTRS 2% at 60: Members first hired on or before December 31, 2012, to perform service that could be creditable to CalSTRS

CalSTRS 2% at 62: Members first hired on or after January 1, 2013, to perform service that could be creditable to CalSTRS

CalSTRS 2% at 60

CalSTRS 2% at 60 members are eligible for normal retirement at age 60, with a minimum of five years of credited service. The normal retirement benefit is equal to 2.0 percent of final compensation for each year of credited service. Early retirement options are available at age 55 with five years of credited service or as early as age 50 with 30 years of credited service. The age factor for retirements after age 60 increases with each quarter year of age to 2.4 percent at age 63 or older. Members who have 30 years or more of credited service receive an additional increase of up to 0.2 percent to the age factor, known as the career factor. The maximum benefit with the career factor is 2.4 percent of final compensation.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 11 – PENSION PLANS (continued)

California State Teachers' Retirement System (CalSTRS) (continued)

Benefits provided (continued)

CalSTRS 2% at 62

CalSTRS 2% at 62 members are eligible for normal retirement at age 62, with a minimum of five years of credited service. The normal retirement benefit is equal to 2.0 percent of final compensation for each year of credited service. An early retirement option is available at age 55. The age factor for retirement after age 62 increases with each quarter year of age to 2.4 percent at age 65 or older.

Contributions

Active plan CalSTRS 2% at 60 and 2% at 62 members are required to contribute 9.20% and 8.56% of their salary for fiscal year 2016, respectively, and the District is required to contribute an actuarially determined rate. The actuarial methods and assumptions used for determining the rate are those adopted by CalSTRS Teachers' Retirement Board. The required employer contribution rate for fiscal year 2016 was 10.73% of annual payroll. The contribution requirements of the plan members are established by state statute. Contributions to the plan from the District were \$4,879,157 for the year ended June 30, 2016.

On-Behalf Payments

The District was the recipient of on-behalf payments made by the State of California to CalSTRS for K-12 education. These payments consist of state general fund contributions of approximately \$3,216,798 to CalSTRS (7.126% of 2013-14 creditable compensation subject to CalSTRS).

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions

At June 30, 2016, the District reported a liability for its proportionate share of the net pension liability that reflected a reduction for State pension support provided to the District. The amount recognized by the District as its proportionate share of the net pension liability, the related State support, and the total portion of the net pension liability that was associated with the District were as follows:

District's proportionate share of the net pension liability	\$	63,430,668
States's proportionate share of the net pension liability associated with the District		33,547,741
Total	\$	<u>96,978,409</u>

The net pension liability was measured as of June 30, 2015, and the total pension liability used to calculate the net pension liability was determined by applying update procedures to an actuarial valuation as of June 30, 2014, and rolling forward the total pension liability to June 30, 2015. The District's proportion of the net pension liability was based on a projection of the District's long-term share of contributions to the pension plan relative to the projected contributions of all participating school districts, actuarially determined. At June 30, 2015, the District's proportion was 0.094 percent, which was an increase of 0.001percent from its proportion measured as of June 30, 2014.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 11 – PENSION PLANS (continued)

California State Teachers' Retirement System (CalSTRS) (continued)

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions (continued)

For the year ended June 30, 2016, the District recognized pension expense of \$5,406,818. In addition, the District recognized pension expense and revenue of \$2,597,957 for support provided by the State. At June 30, 2016, the District reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between projected and actual earnings on plan investments	\$ -	\$ 5,170,630
Differences between expected and actual experience	-	1,059,941
Changes in proportion and differences between District contributions and proportionate share of contributions	2,968,262	-
District contributions subsequent to the measurement date	4,879,157	-
	<u>\$ 7,847,419</u>	<u>\$ 6,230,571</u>

The \$4,879,157 reported as deferred outflows of resources related to pensions resulting from District contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ended June 30, 2017. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense as follows:

Year Ended June 30,	Deferred Outflows of Resources	Deferred Inflows of Resources
2017	\$ 494,710	\$ 1,469,314
2018	494,710	1,469,314
2019	494,710	1,469,314
2020	494,710	1,469,314
2021	494,710	176,657
2022	494,712	176,658
	<u>\$ 2,968,262</u>	<u>\$ 6,230,571</u>

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 11 – PENSION PLANS (continued)

California State Teachers' Retirement System (CalSTRS) (continued)

Actuarial assumptions

The total pension liability was determined by applying update procedures to an actuarial valuation as of June 30, 2014, and rolling forward the total pension liability to June 30, 2015 using the following actuarial assumptions, applied to all periods included in the measurement:

Consumer Price Inflation	3.00%
Investment Yield*	7.60%
Wage Inflation	3.75%

* Net of investment expenses, but gross of administrative expenses.

CalSTRS uses custom mortality tables to best fit the patterns of mortality among its members. These custom tables are based on RP2000 series tables adjusted to fit CalSTRS experience.

The actuarial assumptions used in the June 30, 2014 valuation were based on the results of an actuarial experience study for the period July 1, 2006–June 30, 2010.

The long-term expected rate of return on pension plan investments was determined using a building-block method in which best-estimate ranges of expected future real rates of return (expected returns, net of pension plan investment expense and inflation) are developed for each major asset class. The best-estimate ranges were developed using capital market assumptions from CalSTRS general investment consultant (Pension Consulting Alliance - PCA) as an input to the process. Based on the model from CalSTRS consulting actuary's (Milliman) investment practice, a best estimate range was determined by assuming the portfolio is re-balanced annually and that annual returns are lognormally distributed and independent from year to year to develop expected percentiles for the long-term distribution of annualized returns. The assumed asset allocation by PCA is based on board policy for target asset allocation in effect on February 2, 2012, the date the current experience study was approved by the board. Best estimates of 10-year geometric real rates of return and the assumed asset allocation for each major asset class used as input to develop the actuarial investment rate of return are summarized in the following table:

<u>Asset Class</u>	<u>Assumed Asset Allocation</u>	<u>Long-Term* Expected Real Rate of Return</u>
Global Equity	47%	4.50%
Private Equity	12%	6.20%
Real Estate	15%	4.35%
Inflation Sensitive	5%	3.20%
Fixed Income	20%	0.20%
Cash/Liquidity	1%	0.00%
	<u>100%</u>	

* 10-year geometric average

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 11 – PENSION PLANS (continued)

California State Teachers' Retirement System (CalSTRS) (continued)

Discount rate

The discount rate used to measure the total pension liability was 7.60 percent. The projection of cash flows used to determine the discount rate assumed that contributions from plan members and employers will be made at statutory contribution rates in accordance with the rate increases per AB 1469. Projected inflows from investment earnings were calculated using the long-term assumed investment rate of return (7.60 percent) and assuming that contributions, benefit payments, and administrative expense occur midyear. Based on those assumptions, the Plan's fiduciary net position was projected to be available to make all projected future benefit payments to current plan members. Therefore, the long-term assumed investment rate of return was applied to all periods of projected benefit payments to determine the total pension liability.

Sensitivity of the District's proportionate share of the net pension liability to changes in the discount rate

The following presents the District's proportionate share of the net pension liability calculated using the discount rate of 7.60 percent, as well as what the District's proportionate share of the net pension liability would be if it were calculated using a discount rate that is 1-percentage-point lower (6.60 percent) or 1-percentage-point higher (8.60 percent) than the current rate:

	1% Decrease (6.60%)	Current Discount Rate (7.60%)	1% Increase (8.60%)
District's proportionate share of the net pension liability	\$ 95,775,372	\$ 63,430,668	\$ 36,549,609

Pension plan fiduciary net position

Detailed information about the pension plan's fiduciary net position is available in the separately issued CalSTRS financial report.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 11 – PENSION PLANS (continued)

California Public Employees' Retirement System (CalPERS)

Plan Description

The District contributes to the School Employer Pool under the California Public Employees' Retirement System (CalPERS); a cost-sharing multiple-employer public employee retirement system defined benefit pension plan administered by CalPERS. The plan provides retirement and disability benefits, annual cost-of-living adjustments, and death benefits to plan members and beneficiaries. Benefit provisions are established by state statutes, as legislatively amended, within the Public Employees' Retirement Laws. CalPERS issues a separate comprehensive annual financial report that includes financial statements and required supplementary information. Copies of the CalPERS annual financial report may be obtained from the CalPERS Executive Office, 400 P Street, Sacramento, CA 95811.

Benefits provided

The benefits for the defined benefit plan are based on members' years of service, age, final compensation, and benefit formula. Benefits are provided for disability, death, and survivors of eligible members or beneficiaries. Members become fully vested in their retirement benefits earned to date after five years of credited service.

Contributions

Active plan members who entered into the plan prior to January 1, 2013, are required to contribute 7.0% of their salary. The California Public Employees' Pension Reform Act (PEPRA) specifies that new members entering into the plan on or after January 1, 2013, shall pay the higher of fifty percent of normal costs or 6.0% of their salary. Additionally, for new members entering the plan on or after January 1, 2013, the employer is prohibited from paying any of the employee contribution to CalPERS unless the employer payment of the member's contribution is specified in an employment agreement or collective bargaining agreement that expires after January 1, 2013.

The District is required to contribute an actuarially determined rate. The actuarial methods and assumptions used for determining the rate are those adopted by the CalPERS Board of Administration. The required employer contribution rate for fiscal year 2016 was 11.847% of annual payroll. Contributions to the plan from the District were \$2,292,259 for the year ended June 30, 2016.

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions

At June 30, 2016, the District reported a liability of \$22,928,188 for its proportionate share of the net pension liability. The net pension liability was measured as of June 30, 2015, and the total pension liability used to calculate the net pension liability was determined by applying update procedures to an actuarial valuation as of June 30, 2014, and rolling forward the total pension liability to June 30, 2015. The District's proportion of the net pension liability was based on a projection of the District's long-term share of contributions to the pension plan relative to the projected contributions of all participating school districts, actuarially determined. At June 30, 2015, the District's proportion was 0.156 percent, which was an increase of 0.004 percent from its proportion measured as of June 30, 2014.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 11 – PENSION PLANS (continued)

California Public Employees’ Retirement System (CalPERS) (continued)

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions (continued)

For the year ended June 30, 2016, the District recognized pension expense of \$2,361,028. At June 30, 2016, the District reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between projected and actual earnings on plan investments	\$ -	\$ 785,081
Differences between expected and actual experience	1,310,380	-
Changes in assumptions	-	1,408,772
Changes in proportion and differences between District contributions and proportionate share of contributions	702,517	-
District contributions subsequent to the measurement date	2,292,259	-
	<u>\$ 4,305,156</u>	<u>\$ 2,193,853</u>

The \$2,292,259 reported as deferred outflows of resources related to pensions resulting from District contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ended June 30, 2017. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense as follows:

Year Ended June 30,	Deferred Outflows of Resources	Deferred Inflows of Resources
2017	\$ 691,465	\$ 682,054
2018	691,465	682,054
2019	629,967	633,475
2020	-	196,270
	<u>\$ 2,012,897</u>	<u>\$ 2,193,853</u>

Actuarial assumptions

The total pension liability was determined by applying update procedures to an actuarial valuation as of June 30, 2014, and rolling forward the total pension liability to June 30, 2015 using the following actuarial assumptions, applied to all periods included in the measurement:

Consumer Price Inflation	2.75%
Investment Yield*	7.65%
Wage Inflation	Varies by Entry Age and Service

* Net of investment expenses, but gross of administrative expenses.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 11 – PENSION PLANS (continued)

California Public Employees' Retirement System (CalPERS) (continued)

Actuarial assumptions (continued)

CalPERS uses custom mortality tables to best fit the patterns of mortality among its members. These custom tables are derived using CalPERS' membership data for all funds. The table includes 20 years of mortality improvements using Society of Actuaries Scale BB.

The actuarial assumptions used in the June 30, 2014, valuation were based on the results of an actuarial experience study for the period from 1997 to 2011.

The long-term expected rate of return on pension plan investments was determined using a building block method in which best estimate ranges of expected future real rates of return (expected returns, net of pension plan investment expense and inflation) are developed for each major asset class. In determining the long-term expected rate of return, both short-term and long-term market return expectations as well as the expected pension fund cash flows were taken into account. Such cash flows were developed assuming that both members and employers will make their required contributions on time and as scheduled in all future years. Using historical returns of all the funds' asset classes, expected compound (geometric) returns were calculated over the short-term (first 10 years) and the long-term (11-60 years) using a building block approach. Using the expected nominal returns for both short-term and long-term, the present value of benefits was calculated for each fund. The expected rate of return was set by calculating the single equivalent expected return that arrived at the same present value of benefits for cash flows as the one calculated using both short-term and long-term returns. The expected rate of return was then set equivalent to the single equivalent rate calculated above and rounded down to the nearest one quarter of one percent.

The table below reflects long-term expected real rate of return by asset class. The rate of return was calculated using the capital market assumptions applied to determine the discount rate and asset allocation. These geometric rates of return are net of administrative expenses.

<u>Asset Class</u>	<u>Assumed Asset Allocation</u>	<u>Real Return Years 1-10*</u>	<u>Real Return Years 11+**</u>
Global Equity	51%	5.25%	5.71%
Global Fixed Income	19%	0.99%	2.43%
Inflation Sensitive	6%	0.45%	3.36%
Private Equity	10%	6.83%	6.95%
Real Estate	10%	4.50%	5.13%
Infrastructure and Forestland	2%	4.50%	5.09%
Liquidity	2%	-0.55%	-1.05%
	<u>100%</u>		

* An expected inflation of 2.5% used for this period

** An expected inflation of 3.0% used for this period

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 11 – PENSION PLANS (continued)

California Public Employees' Retirement System (CalPERS) (continued)

Discount rate

The discount rate used to measure the total pension liability was 7.65 percent. A projection of the expected benefit payments and contributions was performed to determine if assets would run out. The test revealed the assets would not run out. Therefore the long-term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total pension liability for the Schools Pool. The results of the crossover testing for the Schools Pool are presented in a detailed report that can be obtained at CalPERS' website.

Sensitivity of the District's proportionate share of the net pension liability to changes in the discount rate

The following presents the District's proportionate share of the net pension liability calculated using the discount rate of 7.65 percent, as well as what the District's proportionate share of the net pension liability would be if it were calculated using a discount rate that is 1-percentage-point lower (6.65 percent) or 1-percentage-point higher (8.65 percent) than the current rate:

	1% Decrease (6.65%)	Current Discount Rate (7.65%)	1% Increase (8.65%)
District's proportionate share of the net pension liability	\$ 37,317,535	\$ 22,928,188	\$ 10,962,483

Pension plan fiduciary net position

Detailed information about the pension plan's fiduciary net position is available in the separately issued CalPERS financial report.

NOTE 12 – PARCEL TAXES

In an election held March 9, 2011, the voters approved, by 68.43%, the Measure A parcel tax. The parcel tax replaces the two existing school parcel taxes (the previous Measure A and Measure H). Residential and commercial property owners will pay \$0.32 per square foot on buildings, with a maximum tax of \$7,999 per parcel. Properties with no buildings on them will pay \$299. Revenues raised by Measure A are authorized to be used only for those educational programs and activities set forth in the eleven specific categories set forth in the Measure A ballot language.

Revenue of \$12,183,690 and expenditures of \$12,306,061 for the Measure A parcel tax for the year ended June 30, 2016 are included in these audited financial statements.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO FINANCIAL STATEMENTS, continued
JUNE 30, 2016

NOTE 13 – COMMITMENTS AND CONTINGENCIES

A. Grants

The District received financial assistance from federal and state agencies in the form of grants. The disbursement of funds received under these programs generally requires compliance with terms and conditions specified in the grant agreements and are subject to audit by the grantor agencies. Any disallowed claims resulting from such audits could become a liability of the General Fund or other applicable funds. However, in the opinion of management, any such disallowed claims will not have a material adverse effect on the overall financial position of the District at June 30, 2016.

B. Litigation

The District is involved in various litigation arising from the normal course of business. In the opinion of management and legal counsel, the disposition of all litigation pending is not expected to have a material adverse effect on the overall financial position of the District at June 30, 2016.

C. Construction Commitments

As of June 30, 2016, the District had commitments with respect to unfinished capital projects of \$10,225,682.

NOTE 14 – PARTICIPATION IN JOINT POWERS AUTHORITIES

The District is a member of three joint powers authorities (JPAs). The first is the Alameda County Schools Insurance Group (ACSIG) to provide workers' compensation insurance coverage, the next is the East Bay School Insurance Group (EBSIG) to provide liability and property insurance, and the final is the East Bay Regional Occupational Program (EBROP) to provide ROP activities. The relationship is such that the JPAs are not component units of the District for financial reporting purposes.

These entities have budgeting and financial reporting requirements independent of member units and their financial statements are not presented in these financial statements; however, fund transactions between the entities and the District are included in these financial statements. Audited financial statements are available from the respective entities.

NOTE 15 – DEFERRED OUTFLOWS/INFLOWS OF RESOURCES

Refunded Debt

Pursuant to GASB Statement No. 63, *Financial Reporting of Deferred Outflows of Resources, Deferred Inflows of Resources, and Net Position* and GASB Statement No. 65, *Items Previously Reported as Assets and Liabilities*, the District recognized deferred outflows of resources in the District-wide financial statements. The deferred outflow of resources pertains to the difference in the carrying value of the refunded debt and its reacquisition price (deferred amount on refunding). Previous financial reporting standards require this to be presented as part of the District's long-term debt. This deferred outflow of resources is recognized as a component of interest expense in a systematic and rational manner over the remaining life of the old debt or the new debt, whichever is shorter. At June 30, 2016, the deferred amount on refunding was \$68,685.

REQUIRED SUPPLEMENTARY INFORMATION

**ALAMEDA UNIFIED SCHOOL DISTRICT
GENERAL FUND – BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2016**

	Budgeted Amounts		Actual*	Variances -
	Original	Final	(Budgetary Basis)	Final to Actual
REVENUES				
LCFF sources	\$ 72,219,732	\$ 72,184,660	\$ 72,184,660	\$ -
Federal sources	3,623,023	3,704,934	3,704,934	-
Other state sources	9,154,396	12,930,977	12,930,977	-
Other local sources	18,161,746	21,353,810	21,353,702	(108)
Total Revenues	103,158,897	110,174,381	110,174,273	(108)
EXPENDITURES				
Certificated salaries	50,839,781	48,749,904	48,722,347	27,557
Classified salaries	16,279,682	16,590,953	16,561,010	29,943
Employee benefits	16,483,138	18,823,899	18,730,112	93,787
Books and supplies	3,581,297	6,095,430	3,933,932	2,161,498
Services and other operating expenditures	13,282,491	15,559,942	14,760,239	799,703
Capital outlay	417,500	4,370,852	3,774,008	596,844
Other outgo				
Excluding transfers of indirect costs	481,391	583,815	583,815	-
Transfers of indirect costs	(202,456)	(231,634)	(231,634)	-
Total Expenditures	101,162,824	110,543,161	106,833,829	3,709,332
Excess (Deficiency) of Revenues				
Over Expenditures	1,996,073	(368,780)	3,340,444	3,709,224
Other Financing Sources (Uses)				
Transfers in	-	715,110	715,111	1
Transfers out	(480,353)	(18)	(17)	1
Net Financing Sources (Uses)	(480,353)	715,092	715,094	2
NET CHANGE IN FUND BALANCE				
	1,515,720	346,312	4,055,538	3,709,226
Fund Balance - Beginning	13,845,869	13,845,869	13,845,869	-
Fund Balance - Ending	\$ 15,361,589	\$ 14,192,181	\$ 17,901,407	\$ 3,709,226

* The actual amounts reported on this schedule do not agree with the amounts reported on the Statement of Revenues, Expenditures, and Changes in Fund Balance for the following reasons:

- Actual amounts reported in this schedule are for the General Fund only, and do not agree with the amounts reported on the Statement of Revenues, Expenditures, and Changes in Fund Balances because the amounts on that schedule include the financial activity of the Adult Education Fund, the Deferred Maintenance Fund, and the Special Reserve Fund for Other Than Capital Outlay Projects, in accordance with the fund type definitions promulgated by GASB Statement No. 54.

See accompanying note to required supplementary information.

**ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF FUNDING PROGRESS
FOR THE YEAR ENDED JUNE 30, 2016**

Actuarial Valuation Date	Actuarial Valuation of Assets	Actuarial Accrued Liability (AAL)	Unfunded AAL (UAAL)	Funded Ratio	Covered Payroll	UAAL as a Percentage of Covered Payroll
July 1, 2014	\$ -	\$ 15,626,000	\$ 15,626,000	0%	\$ 60,856,329	26%
July 1, 2012	\$ -	\$ 15,276,000	\$ 15,276,000	0%	\$ 58,630,900	26%
July 1, 2010	\$ -	\$ 17,200,000	\$ 17,200,000	0%	\$ 53,210,015	32%

See accompanying note to required supplementary information.

ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF THE DISTRICT'S PROPORTIONATE SHARE OF THE NET PENSION LIABILITY -
CALSTRS
FOR THE YEAR ENDED JUNE 30, 2016

	<u>June 30, 2016</u>	<u>June 30, 2015</u>
District's proportion of the net pension liability	0.094%	0.093%
District's proportionate share of the net pension liability	\$ 63,430,668	\$ 54,194,713
States's proportionate share of the net pension liability associated with the District	33,547,741	32,725,108
Total	<u>\$ 96,978,409</u>	<u>\$ 86,919,821</u>
District's covered-employee payroll	\$ 45,142,412	\$ 43,121,140
District's proportionate share of the net pension liability as a percentage of its covered-employee payroll	140.5%	125.7%
Plan fiduciary net position as a percentage of the total pension liability.	76.5%	76.5%

See accompanying note to required supplementary information.

ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF THE DISTRICT'S PROPORTIONATE SHARE OF THE NET PENSION LIABILITY -
CALPERS
FOR THE YEAR ENDED JUNE 30, 2016

	<u>June 30, 2016</u>	<u>June 30, 2015</u>
District's proportion of the net pension liability	0.156%	0.152%
District's proportionate share of the net pension liability	\$ 22,928,188	\$ 17,200,972
District's covered-employee payroll	\$ 18,949,502	\$ 17,735,189
District's proportionate share of the net pension liability as a percentage of its covered-employee payroll	121.0%	97.0%
Plan fiduciary net position as a percentage of the total pension liability.	83.4%	83.4%

See accompanying note to required supplementary information.

ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF DISTRICT CONTRIBUTIONS - CALSTRS
FOR THE YEAR ENDED JUNE 30, 2016

	<u>June 30, 2016</u>	<u>June 30, 2015</u>
Contractually required contribution	\$ 4,879,157	\$ 6,253,899
Contributions in relation to the contractually required contribution*	(4,879,157)	(6,253,899)
Contribution deficiency (excess)	<u>\$ -</u>	<u>\$ -</u>
District's covered-employee payroll	\$ 45,142,412	\$ 43,121,140
Contributions as a percentage of covered-employee payroll	10.81%	14.50%

*Amounts do not include on behalf contributions

ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF DISTRICT CONTRIBUTIONS - CALPERS
FOR THE YEAR ENDED JUNE 30, 2016

	<u>June 30, 2016</u>	<u>June 30, 2015</u>
Contractually required contribution	\$ 2,292,259	\$ 2,057,335
Contributions in relation to the contractually required contribution	(2,292,259)	(2,057,335)
Contribution deficiency (excess)	<u>\$ -</u>	<u>\$ -</u>
District's covered-employee payroll	\$ 18,949,502	\$ 17,735,189
Contributions as a percentage of covered-employee payroll	12.10%	11.60%

See accompanying note to required supplementary information.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO REQUIRED SUPPLEMENTARY INFORMATION
FOR THE YEAR ENDED JUNE 30, 2016

NOTE 1 – PURPOSE OF SCHEDULES

Budgetary Comparison Schedule

This schedule is required by GASB Statement No. 34 as required supplementary information (RSI) for the General Fund and for each major special revenue fund that has a legally adopted annual budget. The budgetary comparison schedule presents both (a) the original and (b) the final appropriated budgets for the reporting period as well as (c) actual inflows, outflows, and balances, stated on the District's budgetary basis. A separate column to report the variance between the final budget and actual amounts is also presented, although not required.

Schedule of Funding Progress

This schedule is required by GASB Statement No. 45 for all sole and agent employers that provide other postemployment benefits (OPEB). The schedule presents, for the most recent actuarial valuation and the two preceding valuations, information about the funding progress of the plan, including, for each valuation, the actuarial valuation date, the actuarial value of assets, the actuarial accrued liability, the total unfunded actuarial liability (or funding excess), the actuarial value of assets as a percentage of the actuarial accrued liability (funded ratio), the annual covered payroll, and the ratio of the total unfunded actuarial liability (or funding excess) to annual covered payroll.

Schedule of the District's Proportionate Share of the Net Pension Liability

This 10-year schedule is required by GASB Statement No. 68 for each cost-sharing pension plan. Until a full 10-year trend is compiled, the schedule will only show those years under which GASB Statement No. 68 was applicable. The schedule presents the District's proportion (percentage) of the collective net pension liability, the District's proportionate share (amount) of the collective net pension liability, the District's covered-employee payroll, the District's proportionate share (amount) of the collective net pension liability as a percentage of the employer's covered-employee payroll, and the pension plan's fiduciary net position as a percentage of the total pension liability.

Schedule of District Contributions

This 10-year schedule is required by GASB Statement No. 68 for each cost-sharing pension plan. Until a full 10-year trend is compiled, the schedule will only show those years under which GASB Statement No. 68 was applicable. The schedule presents the District's statutorily or contractually required employer contribution, the amount of contributions recognized by the pension plan in relation to the statutorily or contractually required employer contribution, the difference between the statutorily or contractually required employer contribution and the amount of contributions recognized by the pension plan in relation to the statutorily or contractually required employer contribution, the District's covered-employee payroll, and the amount of contributions recognized by the pension plan in relation to the statutorily or contractually required employer contribution as a percentage of the District's covered-employee payroll.

SUPPLEMENTARY INFORMATION

ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2016

Federal Grantor/Pass-Through Grantor/Program or Cluster	CFDA Number	Pass-Through Entity Identifying Number	Federal Expenditures
U. S. DEPARTMENT OF EDUCATION:			
<i>Passed through California Department of Education:</i>			
Title I, Part A, Basic Grants Low-Income and Neglected Adult Education	84.010	14329	\$ 1,229,343
Adult Education: Adult Basic Education & ESL	84.002A	14508	148,804
Adult Education: Adult Secondary Education	84.002A	13978	56,985
Adult Education: English Literacy and Civics Education	84.002A	14109	50,571
Subtotal Adult Education			256,360
Title II, Part A, Teacher Quality	84.367A	14341	198,675
Title III			
Title III, Limited English Proficient (LEP) Student Program	84.365	14346	159,018
Title III, Immigrant Education Program	84.365	15146	13,960
Subtotal Title III			172,978
Special Education Cluster [1]			
IDEA Basic Local Assistance Entitlement, Part B, Sec 611	84.027	13379	1,463,094
IDEA Mental Health Allocation Plan, Part B, Sec 611	84.027	14468	108,292
Part B, Preschool Grants	84.173	13430	58,557
IDEA Preschool Local Entitlement, Part B, Sec 611	84.027A	13682	105,976
Subtotal Special Education Cluster			1,735,919
IDEA Early Intervention Grants	84.181	23761	61,670
Carl D. Perkins Career and Technical Education: Secondary, Section 131 (Vocational Education)	84.048	1484	58,476
Title X, McKinney-Vento Homeless Assistance	84.196	14332	25,905
Advanced Placement and International Baccalaureate Test Fee Reimbursement	84.330B	14831	16,195
State Vocational Rehabilitation Services Program - We Can Work	84.126A	*	14,561
Total U. S. Department of Education			3,770,082
U. S. DEPARTMENT OF AGRICULTURE:			
<i>Passed through California Department of Education:</i>			
Child Nutrition Cluster			
Severe Need School Breakfast Program	10.553	13526	163,400
National School Lunch Program	10.555	13391	939,446
USDA Commodities	10.555	*	122,478
Subtotal Child Nutrition Cluster			1,225,324
CCFP Family Day Care Sponsor Admin	10.558	13665	541,417
Total U. S. Department of Agriculture			1,766,741
U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES:			
<i>Passed through California Department of Education:</i>			
Federal General Child Care & Development (CCTR) and CA State Preschool Program (CSPP)	93.596	13609	276,506
<i>Passed through California Department of Health Services:</i>			
Medi-Cal Billing Option	93.778	10013	107,991
Total U. S. Department of Health & Human Services			384,497
U. S. DEPARTMENT OF DEFENSE:			
Flood Control Projects	12.106	*	1,430
Total U. S. Department of Defense			1,430
Total Federal Expenditures			\$ 5,922,750

[1] - Major Program

* - Pass-Through Entity Identifying Number not available or not applicable

See accompanying note to supplementary information.

**ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF AVERAGE DAILY ATTENDANCE (ADA)
FOR THE YEAR ENDED JUNE 30, 2016**

	Second Period Report	Annual Report
SCHOOL DISTRICT		
TK/K through Third		
Regular ADA	3,016.97	3,018.82
Extended Year Special Education	4.40	4.40
Special Education - Nonpublic Schools	2.32	2.23
Extended Year Special Education - Nonpublic Schools	0.35	0.35
Total TK/K through Third	3,024.04	3,025.80
Fourth through Sixth		
Regular ADA	2,008.04	2,007.40
Extended Year Special Education	2.37	2.37
Special Education - Nonpublic Schools	3.71	3.50
Extended Year Special Education - Nonpublic Schools	0.47	0.47
Total Fourth through Sixth	2,014.59	2,013.74
Seventh through Eighth		
Regular ADA	1,138.55	1,141.60
Extended Year Special Education	1.45	1.45
Special Education - Nonpublic Schools	8.11	8.34
Extended Year Special Education - Nonpublic Schools	0.68	0.68
Total Seventh through Eighth	1,148.79	1,152.07
Ninth through Twelfth		
Regular ADA	2,871.41	2,857.23
Extended Year Special Education	1.46	1.46
Special Education - Nonpublic Schools	16.00	16.28
Extended Year Special Education - Nonpublic Schools	1.21	1.21
Total Ninth through Twelfth	2,890.08	2,876.18
TOTAL SCHOOL DISTRICT	9,077.50	9,067.79

See accompanying note to supplementary information.

**ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF INSTRUCTIONAL TIME
FOR THE YEAR ENDED JUNE 30, 2016**

Grade Level	Minutes Requirement	2015-16 Actual	Number of Days	Status
		Minutes		
Kindergarten	36,000	39,720	180	Complied
Grade 1	50,400	52,510	180	Complied
Grade 2	50,400	52,510	180	Complied
Grade 3	50,400	52,510	180	Complied
Grade 4	54,000	54,640	180	Complied
Grade 5	54,000	54,640	180	Complied
Grade 6	54,000	55,465	180	Complied
Grade 7	54,000	55,465	180	Complied
Grade 8	54,000	55,465	180	Complied
Grade 9	64,800	64,830	180	Complied
Grade 10	64,800	64,830	180	Complied
Grade 11	64,800	64,830	180	Complied
Grade 12	64,800	64,830	180	Complied

See accompanying note to supplementary information.

**ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF FINANCIAL TRENDS AND ANALYSIS
FOR THE YEAR ENDED JUNE 30, 2016**

	2017 (Budget)	2016	2015	2014
General Fund - Budgetary Basis**				
Revenues And Other Financing Sources	\$ 107,270,558	\$ 110,889,384	\$ 98,876,608	\$ 91,143,200
Expenditures And Other Financing Uses	108,266,691	106,833,846	97,524,372	92,991,689
Net change in Fund Balance	<u>\$ (996,133)</u>	<u>\$ 4,055,538</u>	<u>\$ 1,352,236</u>	<u>\$ (1,848,489)</u>
Ending Fund Balance	<u>\$ 16,905,274</u>	<u>\$ 17,901,407</u>	<u>\$ 13,845,869</u>	<u>\$ 12,493,633</u>
Available Reserves*	<u>\$ 9,307,066</u>	<u>\$ 9,560,125</u>	<u>\$ 8,159,634</u>	<u>\$ 8,206,170</u>
Available Reserves As A Percentage Of Outgo	<u>8.60%</u>	<u>8.95%</u>	<u>8.37%</u>	<u>8.82%</u>
Long-term Debt	<u>\$ 275,956,153</u>	<u>\$ 285,536,093</u>	<u>\$ 272,366,972</u>	<u>\$ 101,579,984</u>
Average Daily Attendance At P-2	<u>9,078</u>	<u>9,078</u>	<u>9,091</u>	<u>9,094</u>

The General Fund balance has increased by \$5.4 million over the past two years. The fiscal year 2016-17 budget projects a decrease of \$996,133. For a District this size, the State recommends available reserves of at least 3% of General Fund expenditures, transfers out, and other uses (total outgo).

The District has incurred operating surpluses in two of the past three years and anticipates incurring an operating deficit during the 2016-17 fiscal year. Total long term obligations have increased by \$183,956,109 over the past two years.

Average daily attendance has decreased by 16 ADA over the past two years. No change in ADA is anticipated during the 2016-17 fiscal year.

*The actual amounts reported in this schedule are for the General Fund only, and do not agree with the amounts reported on the Statement of Revenues, Expenditures, and Changes in Fund Balances because the amounts on that schedule include the financial activity of the Adult Education Fund, the Deferred Maintenance Fund, and the Special Reserve Fund for Other Than Capital Outlay Projects, in accordance with the fund type definitions promulgated by GASB Statement No. 54.

**ALAMEDA UNIFIED SCHOOL DISTRICT
RECONCILIATION OF ANNUAL FINANCIAL AND BUDGET REPORT WITH AUDITED
FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2016**

	General Fund	Adult Education Fund	Deferred Maintenance Fund	Special Reserve Fund for Other Than Capital Outlay Projects
June 30, 2016, annual financial and budget report fund balance	\$ 17,901,407	\$ 141,514	\$ 1,386,099	\$ 8,055,174
Adjustments and reclassifications:				
Increase (decrease) in total fund balances:				
Fund balance transfer (GASB 54)	9,582,787	(141,514)	(1,386,099)	(8,055,174)
Net adjustments and reclassifications	9,582,787	(141,514)	(1,386,099)	(8,055,174)
June 30, 2016, audited financial statement fund balance	\$ 27,484,194	\$ -	\$ -	\$ -

See accompanying note to supplementary information.

**ALAMEDA UNIFIED SCHOOL DISTRICT
SCHEDULE OF CHARTER SCHOOLS
FOR THE YEAR ENDED JUNE 30, 2016**

Charter School	Status	Included in Audit Report
Alameda Community Learning Center	Active	No
Alternatives in Action	Active	No
Nea Community Learning Center	Active	No
The Academy of Alameda	Active	No
The Academy of Alameda Elementary	Active	No

See accompanying note to supplementary information.

ALAMEDA UNIFIED SCHOOL DISTRICT
COMBINING BALANCE SHEET
JUNE 30, 2016

	Child Development Fund	Cafeteria Fund	Capital Facilities Fund	Special Reserve Fund for Capital Outlay Projects	Non-Major Governmental Funds
ASSETS					
Cash and investments	\$ 63,311	\$ 198,988	\$ 4,275,999	\$ 1,259,997	\$ 5,798,295
Accounts receivable	99,776	433,423	3,245	6,092	542,536
Stores inventory	-	66,712	-	-	66,712
Total Assets	\$ 163,087	\$ 699,123	\$ 4,279,244	\$ 1,266,089	\$ 6,407,543
LIABILITIES					
Accrued liabilities	\$ 58,288	\$ 70,558	\$ 1,881	\$ 3,704	\$ 134,431
Total Liabilities	\$ 58,288	\$ 70,558	\$ 1,881	\$ 3,704	\$ 134,431
FUND BALANCES					
Non-spendable	-	67,942	-	-	67,942
Restricted	104,749	559,589	4,277,363	1,262,385	6,204,086
Assigned	50	1,034	-	-	1,084
Unassigned	-	-	-	-	-
Total Fund Balances	\$ 104,799	\$ 628,565	\$ 4,277,363	\$ 1,262,385	\$ 6,273,112
Total Liabilities and Fund Balance	\$ 163,087	\$ 699,123	\$ 4,279,244	\$ 1,266,089	\$ 6,407,543

See accompanying note to supplementary information.

**ALAMEDA UNIFIED SCHOOL DISTRICT
COMBINING STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES
FOR THE YEAR ENDED JUNE 30, 2016**

	Child Development Fund	Cafeteria Fund	Capital Facilities Fund	Special Reserve Fund for Capital Outlay Projects	Non-Major Governmental Funds
REVENUES					
Federal sources	\$ 276,506	\$ 1,766,740	\$ -	\$ -	\$ 2,043,246
Other state sources	1,067,153	87,203	-	-	1,154,356
Other local sources	391,552	955,880	1,381,464	367,881	3,096,777
Total Revenues	1,735,211	2,809,823	1,381,464	367,881	6,294,379
EXPENDITURES					
Current					
Instruction	1,260,192	-	-	-	1,260,192
Instruction-related services					
Instructional supervision and administration	193,326	-	-	-	193,326
School site administration	87,090	-	-	-	87,090
Pupil services					
Food services	-	2,802,084	-	-	2,802,084
General administration					
All other general administration	102,495	129,139	26,564	-	258,198
Plant services	71,103	-	24,672	639,785	735,560
Facilities acquisition and maintenance	3,120	-	321,522	-	324,642
Debt service					
Principal	-	-	735,000	-	735,000
Interest and other	-	-	27,383	-	27,383
Total Expenditures	1,717,326	2,931,223	1,135,141	639,785	6,423,475
NET CHANGE IN FUND BALANCE	17,885	(121,400)	246,323	(271,904)	(129,096)
Fund Balance - Beginning	86,914	749,965	4,031,040	1,534,289	6,402,208
Fund Balance - Ending	\$ 104,799	\$ 628,565	\$ 4,277,363	\$ 1,262,385	\$ 6,273,112

**ALAMEDA UNIFIED SCHOOL DISTRICT
LOCAL EDUCATION AGENCY ORGANIZATION STRUCTURE
JUNE 30, 2016**

The District boundaries encompass the City of Alameda. There were no boundary changes during the year. The District provides education for grades K-12 and is currently operating ten elementary schools, three middle schools, two high schools, one continuation school, one early college high school, one adult school and one child development center. Five charter schools also operate within the District boundaries.

GOVERNING BOARD

Member	Office	Term Expires
Solana Henneberry	President	December 2018
Gary K. Lym	Vice President	December 2018
Gary Harris	Board Clerk	December 2016
Philip Hu	Trustee	December 2016
Barbara Kahn	Trustee	December 2016

DISTRICT ADMINISTRATORS

Sean McPhetridge, Ed.D.
Superintendent

Shariq Khan
Chief Business Officer

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO SUPPLEMENTARY INFORMATION
JUNE 30, 2016

NOTE 1 – PURPOSE OF SCHEDULES

Schedule of Expenditures of Federal Awards

The accompanying Schedule of Expenditures of Federal Awards includes the Federal grant activity of the District and is presented on the modified accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the financial statements.

The following schedule provides reconciliation between revenues reported on the Statement of Revenue, Expenditures, and Changes in Fund Balance, and the related expenditures reported on the Schedule of Expenditures of Federal Awards. The reconciling amounts represent Federal funds that have been recorded as revenues in a prior year that have been expended by June 30, 2016 or Federal funds that have been recorded as revenues in the current year and were not expended by June 30, 2016.

	CFDA Number	Amount
Total Federal Revenues reported in the Statement of Revenues, Expenditures, and Changes in Fund Balance		\$ 6,004,540
Medi-Cal Billing Option	93.778	<u>(81,790)</u>
Total Expenditures reported in the Schedule of Expenditures of Federal Awards		<u>\$ 5,922,750</u>

The District has not elected to use the 10 percent de minimis indirect cost rate.

Schedule of Average Daily Attendance (ADA)

Average daily attendance (ADA) is a measurement of the number of pupils attending classes of the District. The purpose of attendance accounting from a fiscal standpoint is to provide the basis on which apportionments of state funds are made to school districts. This schedule provides information regarding the attendance of students at various grade levels and in different programs.

Schedule of Instructional Time

This schedule presents information on the amount of instructional time offered by the District and whether the District complied with the provisions of *Education Code Sections 46200 through 46208*. During the year ended June 30, 2016, the District participated in the Longer Day incentive funding program. As of June 30, 2016, the District had not yet met its target funding.

ALAMEDA UNIFIED SCHOOL DISTRICT
NOTES TO SUPPLEMENTARY INFORMATION, continued
JUNE 30, 2016

NOTE 1 – PURPOSE OF SCHEDULES (continued)

Schedule of Financial Trends and Analysis

This schedule discloses the District's financial trends by displaying past years' data along with current year budget information. These financial trend disclosures are used to evaluate the District's ability to continue as a going concern for a reasonable period of time.

Reconciliation of Annual Financial and Budget Report with Audited Financial Statements

This schedule provides the information necessary to reconcile the fund balance of all funds reported on the Annual Financial and Budget Report Unaudited Actuals to the audited financial statements.

Schedule of Charter Schools

This schedule lists all Charter Schools chartered by the District, and displays information for each Charter School on whether or not the Charter School is included in the District audit.

Combining Statements – Non-Major Funds

These statements provide information on the District's non-major funds.

Local Education Agency Organization Structure

This schedule provides information about the District's boundaries and schools operated, members of the governing board, and members of the administration.

**OTHER INDEPENDENT
AUDITORS' REPORTS**



**REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON
COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL
STATEMENTS PERFORMED IN ACCORDANCE WITH
GOVERNMENT AUDITING STANDARDS**

Christy White, CPA

Michael Ash, CPA

Heather Rubio

Independent Auditors' Report

Governing Board
Alameda Unified School District
Alameda, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of Alameda Unified School District, as of and for the year ended June 30, 2016, and the related notes to the financial statements, which collectively comprise the Alameda Unified School District's basic financial statements, and have issued our report thereon dated December 12, 2016.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered Alameda Unified School District's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Alameda Unified School District's internal control. Accordingly, we do not express an opinion on the effectiveness of Alameda Unified School District's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

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Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified. We did identify certain deficiencies in internal control, described in the accompanying Schedule of Audit Findings and Questioned Costs that we consider to be significant deficiencies. (Finding #2016-1)

Compliance and Other Matters

As part of obtaining reasonable assurance about whether Alameda Unified School District's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Alameda Unified School District's Response to Findings

Alameda Unified School District's response to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. Alameda Unified School District's response was not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on it.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Christy White Associates

San Diego, California
December 12, 2016



REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; AND REPORT ON INTERNAL CONTROL OVER COMPLIANCE REQUIRED BY THE UNIFORM GUIDANCE

Independent Auditors' Report

Christy White, CPA

Michael Ash, CPA

Heather Rubio

Governing Board
Alameda Unified School District
Alameda, California

Report on Compliance for Each Major Federal Program

We have audited Alameda Unified School District's compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of Alameda Unified School District's major federal programs for the year ended June 30, 2016. Alameda Unified School District's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of Alameda Unified School District's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Alameda Unified School District's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of Alameda Unified School District's compliance.

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Opinion on Each Major Federal Program

In our opinion, Alameda Unified School District complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2016.

Report on Internal Control Over Compliance

Management of Alameda Unified School District is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered Alameda Unified School District's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Alameda Unified School District's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Christy White Associates

San Diego, California
December 12, 2016



REPORT ON STATE COMPLIANCE

Independent Auditors' Report

Governing Board
Alameda Unified School District
Alameda, California

Report on State Compliance

We have audited Alameda Unified School District's compliance with the types of compliance requirements described in the *2015-16 Guide for Annual Audits of K-12 Local Education Agencies and State Compliance Reporting*, issued by the California Education Audit Appeals Panel that could have a direct and material effect on each of Alameda Unified School District's state programs for the fiscal year ended June 30, 2016, as identified below.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its state programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of Alameda Unified School District's state programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the *2015-16 Guide for Annual Audits of K-12 Local Education Agencies and State Compliance Reporting*, issued by the California Education Audit Appeals Panel as regulations. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on the state programs noted below occurred. An audit includes examining, on a test basis, evidence about Alameda Unified School District's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance with the requirements referred to above. However, our audit does not provide a legal determination of Alameda Unified School District's compliance with those requirements.

Opinion on State Compliance

In our opinion, Alameda Unified School District complied, in all material respects, with the types of compliance requirements referred to above that are applicable to the state programs noted in the table below for the year ended June 30, 2016.

Christy White, CPA

Michael Ash, CPA

Heather Rubio

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Other Matters

The results of our auditing procedures disclosed instances of noncompliance, which are described in the accompanying schedule of findings and questioned costs as item #2016-2. Our opinion on state compliance is not modified with respect to these matters.

Alameda Unified School District's response to the noncompliance findings identified in our audit are described in the accompanying schedule of findings and questioned costs and corrective action plan. Alameda Unified School District's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

Procedures Performed

In connection with the audit referred to above, we selected and tested transactions and records to determine Alameda Unified School District's compliance with the state laws and regulations applicable to the following items:

PROGRAM NAME	PROCEDURES PERFORMED
Attendance	Yes
Teacher Certification and Misassignments	Yes
Kindergarten Continuance	Yes
Independent Study	No
Continuation Education	Yes
Instructional Time	Yes
Instructional Materials	Yes
Ratios of Administrative Employees to Teachers	Yes
Classroom Teacher Salaries	Yes
Early Retirement Incentive	Not Applicable
Gann Limit Calculation	Yes
School Accountability Report Card	Yes
Juvenile Court Schools	Not Applicable
Middle or Early College High Schools	Yes
K-3 Grade Span Adjustment	Yes
Transportation Maintenance of Effort	Yes
Educator Effectiveness	Yes
California Clean Energy Jobs Act	Yes

PROGRAM NAME	PROCEDURES PERFORMED
After School Education and Safety Program	Yes
Proper Expenditure of Education Protection Account Funds	Yes
Unduplicated Local Control Funding Formula Pupil Counts	Yes
Local Control and Accountability Plan	Yes
Independent Study-Course Based	Not Applicable
Immunizations	Yes
Attendance; for charter schools	Not Applicable
Mode of Instruction; for charter schools	Not Applicable
Nonclassroom-Based Instruction/Independent Study; for charter schools	Not Applicable
Determination of Funding for Nonclassroom-Based Instruction; for charter schools	Not Applicable
Annual Instructional Minutes – Classroom Based; for charter schools	Not Applicable
Charter School Facility Grant Program	Not Applicable

We did not perform testing for Independent Study because the total ADA was below materiality levels.

Christy White Associates

San Diego, California
December 12, 2016

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

**ALAMEDA UNIFIED SCHOOL DISTRICT
SUMMARY OF AUDITORS' RESULTS
FOR THE YEAR ENDED JUNE 30, 2016**

FINANCIAL STATEMENTS

Type of auditors' report issued:	<u>Unmodified</u>
Internal control over financial reporting:	
Material weakness(es) identified?	<u>No</u>
Significant deficiency(ies) identified?	<u>No*</u>
Non-compliance material to financial statements noted?	<u>No</u>

FEDERAL AWARDS

Internal control over major program:	
Material weakness(es) identified?	<u>No</u>
Significant deficiency(ies) identified?	<u>None Reported</u>
Type of auditors' report issued:	<u>Unmodified</u>
Any audit findings disclosed that are required to be reported in accordance with Uniform Guidance 2 CFR 200.516(a)?	<u>No</u>
Identification of major programs:	

<u>CFDA Number(s)</u>	<u>Name of Federal Program or Cluster</u>	
84.027, 84.173, & 84.027A	IDEA Cluster	
Dollar threshold used to distinguish between Type A and Type B programs:	\$	<u>750,000</u>
Auditee qualified as low-risk auditee?		<u>Yes</u>

STATE AWARDS

Internal control over state programs:	
Material weaknesses identified?	<u>No</u>
Significant deficiency(ies) identified?	<u>No*</u>
Type of auditors' report issued on compliance for state programs:	<u>Unmodified</u>

*There were no significant deficiencies identified, however the results of our auditing procedures disclosed instances of noncompliance or internal control deficiencies, which are described in the accompanying schedule of findings and questioned costs as item #2016-1 and #2016-2.

ALAMEDA UNIFIED SCHOOL DISTRICT
FINANCIAL STATEMENT FINDINGS
FOR THE YEAR ENDED JUNE 30, 2016

FIVE DIGIT CODE

20000
30000

AB 3627 FINDING TYPE

Inventory of Equipment
Internal Control

FINDING #2016-1: ASSOCIATED STUDENT BODY (ASB) FUNDS (30000)

Criteria: Maintaining sound internal control procedures over cash receipts, cash disbursements, bank reconciliations and minutes of council meetings reduces the opportunity for irregularities to go undetected. The Fiscal Crisis & Management Assistance Team (FCMAT) Associated Student Body Accounting Manual & Desk Reference outlines proper internal control procedures for associated student body accounts to follow.

Condition: Through our testing of the school site ASB accounts, we noted the following internal control deficiencies:

RUBY BRIDGES ELEMENTARY

- The checking account did not require double signature. For the 2015-16 year, the principal was both the approver of expenditures and sole signor on the account. In the 2016-17 year, they have added a 2nd signor to the checks.

BAY FARM ELEMENTARY

- 4 of the 9 disbursements appear to be questionable ASB purchases.

WOOD MIDDLE SCHOOL

- Wood Middle School ASB does not prepare an annual budget.
- 1 of 9 disbursements selected for testing did not have proper approval of a faculty advisor. 1 of 9 disbursements selected for testing did not have proper approval of a faculty advisor and student representative.

ENCINAL HIGH SCHOOL

- 3 of 10 cash receipts did not have adequate supporting documentation to reconcile the amount of sales or collection to the deposit.

Cause: Insufficient controls over student body activities.

Effect: The potential for irregularities in accounting to go undetected.

Perspective: Testing was performed at a sample of the District's student body accounts.

**ALAMEDA UNIFIED SCHOOL DISTRICT
FINANCIAL STATEMENT FINDINGS, continued
FOR THE YEAR ENDED JUNE 30, 2016**

FINDING #2016-1: ASSOCIATED STUDENT BODY (ASB) FUNDS (30000), CONTINUED

Recommendation: We recommend that all ASB checks require a double signature. In addition, all sites should be reminded of what are allowable and unallowable uses of ASB fund and ASB activities. We recommend that all organized ASB's have approved budgets and all purchase requisitions have all required signature prior to the purchase of goods or services. We recommend that the District implement procedures to ensure that adequate supporting documentation is maintained for ASB cash collections. The District should provide each student body account clerk with the latest FCMAT Associated Student Body Accounting Manual & Desk Reference and reinforce the importance for sound internal control procedures to be implemented.

District Response: District management has established policies and key internal controls as recommended in the latest FCMAT Associated Student Body Manual and has provided a copy to each site personnel. Management will continue to provide oversight to ensure that internal controls over ASB are followed and consistently applied. In addition, the District provided staff an ASB training from a FCMAT representative on December 2, 2016.

ALAMEDA UNIFIED SCHOOL DISTRICT
FEDERAL AWARD FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2016

FIVE DIGIT CODE

50000

AB 3627 FINDING TYPE

Federal Compliance

No federal awards findings were noted for fiscal year 2015-16.

**ALAMEDA UNIFIED SCHOOL DISTRICT
STATE AWARD FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2016**

FIVE DIGIT CODE

10000
40000
41000
60000
61000
62000
70000
71000
72000

AB 3627 FINDING TYPE

Attendance
State Compliance
CalSTRS
Miscellaneous
Classroom Teacher Salaries
Local Control Accountability Plan
Instructional Materials
Teacher Misassignments
School Accountability Report Card

FINDING #2016-1 – UNDUPLICATED LOCAL CONTROL FUNDING FORMULA PUPIL COUNTS (40000)

Criteria: Students classified as free or reduced price meal eligible (FRPM) and who are not directly certified on the CALPADS 1.18 FRPM/English Learner/Foster Youth – Student List Report must have supporting documentation that indicates the student was eligible for the determination. Auditors are required to verify compliance with Education Code Section 42238.02(b)(3)(b) in Section W of the 2015-16 *Guide for Annual Audits of K-12 Local Education Agencies and State Compliance Reporting*.

Condition: 1 of 60 free or reduced status, non-EL students selected had a meal application on file that disagreed with the 1.18 CALPADS Fall I Certified Data. The student was listed as free in the 1.18 CALPADS report however, the 2015-16 meal application had an income verification that showed the student's status was changed to denied. Upon extrapolation of the error to the rest of the District's FRPM eligible population, we calculated an additional 12 students without proper supporting documentation for a total of 13 ineligible students.

Cause: Lack of adequate oversight with regards to adjusting CALPADS data following the income verification process.

Effect: The District is not in compliance with applicable state requirements.

Context: Following the extrapolation of the error rate across the testing population, 13 out of 807 students reported as FRPM eligible did not have proper supporting documentation to support their designation.

Questioned Costs: \$3,037, as calculated on the following page:

ALAMEDA UNIFIED SCHOOL DISTRICT
STATE AWARD FINDINGS AND QUESTIONED COSTS, continued
FOR THE YEAR ENDED JUNE 30, 2016

**FINDING #2016-1 – UNDUPLICATED LOCAL CONTROL FUNDING FORMULA PUPIL COUNTS (40000),
continued**

Questioned Costs continued:

UPP Audit Adjustment		Section 1: Regular UPP	Section 2: Alternate UPP*
1	Total Adjusted Enrollment from the UPP exhibit as of P-2	28,437	28,452
2	Total Adjusted Unduplicated Pupil Count from the UPP exhibit as of P-2	10,881	10,765
3	Number of Unduplicated Pupil Count audit adjustment		(13)
4	Revised Adjusted Unduplicated Pupil Count	10,868	10,752
5	UPP calculated as of P-2	0.3826	0.3784
6	Revised UPP for audit finding	0.3822	0.3779
7	Greater of Revised UPP for audit finding from Section 1 or 2		0.3822
8	Charter Schools Only: Determinative School District Concentration Cap		
9	Revised UPP adjusted for Concentration Cap		0.3822
LCFF Target Base Grant Funding		Estimated Values	
10	Total Base Grant Funding as of P-2	\$72,218,423	
LCFF Target Supplemental Grant Funding Audit Adjustment			
11	Target Supplemental Grant Funding calculated as of P-2	\$5,526,154	
12	Revised Target Supplemental Grant Funding for audit finding	\$5,520,376	
13	Target Supplemental Grant Funding audit adjustment	(\$5,778)	
LCFF Target Concentration Grant Funding Audit Adjustment			
14	Target Concentration Grant Funding calculated as of P-2	\$0	
15	Revised Target Concentration Grant Funding for audit finding	\$0	
16	Target Concentration Grant Funding audit adjustment	\$0	
Estimated Cost of Unduplicated Pupil Count Audit Adjustment for LEAs funded at LCFF Target			
17	Total Target Supplemental and Concentration audit adjustment	(\$5,778)	
Estimated Cost of Unduplicated Pupil Count Audit Adjustment for LEAs funded on LCFF Floor and Gap			
18	Statewide Gap Funding Rate as of P-2	0.5255761597	
21	Estimated Cost of Unduplicated Pupil Count audit adjustment	(\$3,037)	

Recommendation: We recommend that the District ensure that all students listed as FRPM in the CALPADS 1.18 FRPM/English Learner/Foster Youth – Student List Report are properly classified following the income verification process.

District Response: District Management will ensure that students whose status changed to non-eligible during the Verification process are not reported to CALPADS.

**ALAMEDA UNIFIED SCHOOL DISTRICT
SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR THE YEAR ENDED JUNE 30, 2016**

FINDING #2015-1: ASSOCIATED STUDENT BODY (ASB) FUNDS (30000)

Criteria: Maintaining sound internal control procedures over cash receipts, cash disbursements, bank reconciliations and minutes of council meetings reduces the opportunity for irregularities to go undetected. The Fiscal Crisis & Management Assistance Team (FCMAT) Associated Student Body Accounting Manual & Desk Reference outlines proper internal control procedures for associated student body accounts to follow.

Condition: Through our testing of the school site ASB accounts, we noted the following internal control deficiencies:

Alameda High School

- 1 out of 10 cash disbursements did not have an administrator signature on the photo booth deposit request form.
- 1 out of 10 cash disbursements was not an appropriate expenditure. Raffle was conducted. Raffle tickets were purchases as well as TV which given as a raffle ticket prize through the Women's Golf ASB. Raffles are not permitted.
- 4 out of 10 cash receipts did not have adequate supporting documentation to reconcile the amount of sales or collection to the deposit.
- An inventory count of the student store items is not performed. The store sells items such as sweaters, shirts, water bottles, etc. Because an inventory count is not taken regularly, overages and shortages cannot be determined.
- An annual budget for major events is not completed at the beginning of the year and adopted by the student council.

Encinal High School

- 1 out of 7 cash receipts did not have a detailed receipt or report showing the breakdown of the items sold and the quantity of the items sold. This cash receipt did not have adequate supporting documentation to reconcile the amount of sales or collection to the deposit. Detail for this exception is noted below.
- An annual budget for major events is not completed at the beginning of the year and adopted by the student council.

Wood Middle School

- 1 out of 10 cash disbursements tested was missing an administrator signature on the request/approval form.
- 1 out of 10 cash disbursements tested was missing a student and ASB advisor's signature on the request/approval form.
- 1 out of 10 cash disbursements tested was missing the request/approval form.
- 1 out of 10 cash disbursements tested was missing a receipt or invoice to support the payment.
- 4 out of 5 cash receipts did not have a detailed receipt or report showing the breakdown of the items sold and the quantity of the items sold. These cash receipts did not have adequate supporting documentation to reconcile the amount of sales or collection to the deposit.

ALAMEDA UNIFIED SCHOOL DISTRICT
SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS, continued
FOR THE YEAR ENDED JUNE 30, 2016

FINDING #2015-1: ASSOCIATED STUDENT BODY (ASB) FUNDS (30000) (continued)

Condition (continued):

Bay Farm Elementary

- The auditor reviewed cash disbursements during a walkthrough of internal controls. The auditor noted purchases that appear to be inappropriate uses of student funds. These purchases were for office supplies for the front office, including paper for parent/student handouts. These items should be purchased using the site budget and general fund money rather than student funds.
- The ASB bookkeeper is a signor on the bank account and only one signature is required on checks

Cause: Insufficient controls over student body activities.

Effect: The potential for irregularities in accounting to go undetected.

Perspective: Testing was performed at a sample of the District's student body accounts.

Recommendation: We recommend that the District implement procedures to ensure that adequate supporting documentation is maintained for ASB cash collections. The District should utilize ticket logs, tally sheets and/or sales detail forms that indicate number of items sold and the prices to support student funds cash collection activities. We recommend that all organized ASB's have approved budgets and all purchase requisitions have all required signature prior to the purchase of goods or services. All sites should be reminded of what are allowable and unallowable uses of ASB fund and ASB activities. The District should provide each student body account clerk with the latest FCMAT Associated Student Body Accounting Manual & Desk Reference and reinforce the importance for sound internal control procedures to be implemented.

District Response: District management has established policies and key internal controls as recommended in the latest FCMAT Associated Student Body Manual and has provided a copy to each site personnel. Management will continue to provide oversight to ensure that internal controls over ASB are followed and consistently applied.

Current Status: Partially Implemented. See Finding #2016-1.

**ALAMEDA UNIFIED SCHOOL DISTRICT
SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS, continued
FOR THE YEAR ENDED JUNE 30, 2016**

FINDING #2015-2: AFTER SCHOOL EDUCATION AND SAFETY (40000)

Condition: The Alameda Unified School District ASES program at Henry Haight Elementary during the week of November 3, 2014 had 5 out of 25 students tested who left the program early and did not have an early release waiver on file.

Context: At Henry Haight Elementary, the auditor noted that 5 out of 25 students had attendance overstated, as the student left the program prior to 6pm and did not have signed early release waiver during the week of November 3, 2015.

Criteria: Per Education Code 8483(a) (1), it is the intent of the Legislature that pupils in elementary school participate in the full day of the program every day during which pupils participate to accomplish program goals. The program shall commence immediately upon the conclusion of the regular school day, operate a minimum of 15 hours per week, and at least until 6 p.m. on every regular school day. Every program shall establish a policy regarding reasonable early release of pupils from the program. Reported numbers of students served by school sites in the After School Education and Safety (ASES) program should properly reconcile to the supporting documentation per Education Code Sections 8482.3 and 8484.

Questioned Cost: The total students served district wide that were reported on the 2014-15 1st Half After School Base Grant was 32,609 students. Taking into account the results of the finding, the total students served district wide would be 32,604 students. The ASES program funding is not affected as long as the pupil participation level is maintained at 85% or greater.

Effect: Inaccurate attendance reporting to the State

Cause: It was noted that there had been some employee turnover at the After School Program at Haight and that waivers may have been obtained, but they cannot be currently located for the auditor to review.

Recommendation: We recommend that the District ensure all ASES coordinators reconcile weekly attendance reports from the attendance system to the sign out sheets before they certify attendance. We also recommend that signed early release policies be in place for students who leave the program prior to 6pm.

District Response: District management has provided training to all ASES coordinators to ensure they follow and understand established policies and procedures for the ASES program.

Current Status: Implemented.

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: AUSD Real Estate Strategy and Planning (30 Mins/Information)

Item Type: Information

Background: The Alameda City Unified School District owns multiple properties in the City of Alameda. In addition, the District also leases real estate for office space. These properties are used for instructional and non-instructional activities. After a competitive selection process, the District retained Economic & Planning Systems, Inc. (EPS) and Allan D. Kotin & Associates (ADK&A) to assist in analyzing the challenges and opportunities associated with the potential utilization of the District's properties. Tonight EPS and ADK&A will present an overview of the District's non-school real estate assets and space issues.

Goals: Create a system of attractive school options

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): n/a

Department Budget: n/a

Recommendation: This item is presented for information only.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization.

Submitted By: Shariq Khan, Chief Business Officer

ATTACHMENTS:

Description	Upload Date	Type
❏ Presentation	1/18/2017	Presentation

The Economics of Land Use



Oakland
Sacramento
Denver
Los Angeles

AUSD Real Estate Strategy and Planning

Prepared for

Alameda Unified School District

Prepared by

Economic & Planning Systems, Inc.

and Allan D. Kotin & Associates

ADK&A

January 24, 2017

Economic & Planning Systems, Inc.

One Kaiser Plaza, Suite 1410, Oakland, CA 94612
510.841.9190 • www.epsys.com

Team Introductions

- Economic & Planning Systems
 - About EPS
 - Key Personnel
 - Jim Musbach, Managing Principal
 - Ashleigh Kanat, Executive Vice President
- Allan D. Kotin & Associates
 - About ADK&A
 - Key Personnel
 - Allan Kotin

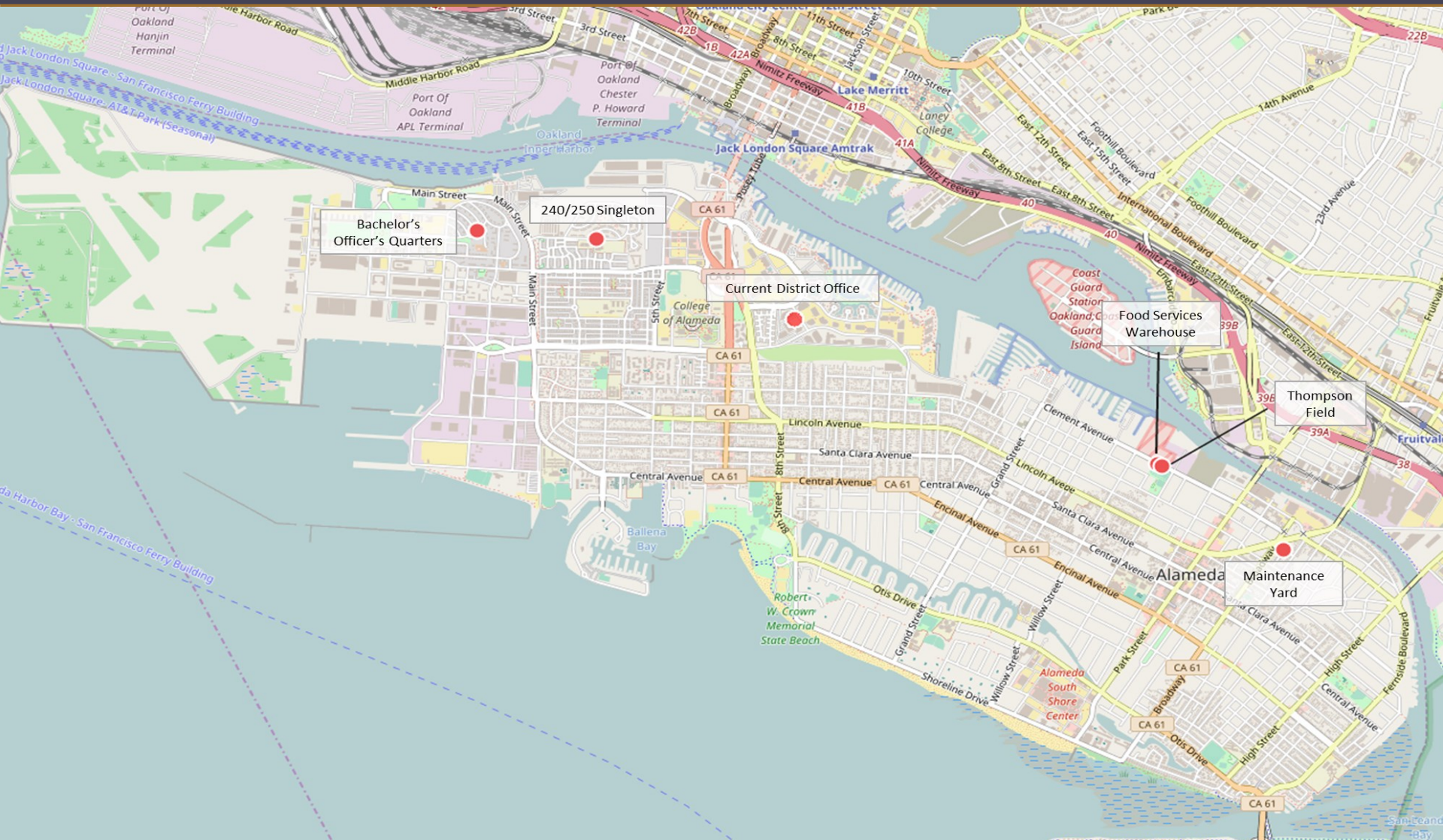
Role and Tasks

- Advisors to the District
- EPS and ADK&A Tasks
 - Real estate strategy and planning
 - Analysis of surplus properties evolved to a more integrated evaluation of how to meet the needs of the District through effective use of existing real estate assets.
 - Evaluation of District Office options
 - Evaluation of Alameda Point/BOQ opportunities
 - Evaluation of other AUSD real estate concerns

Agenda

- I. Review of District's Non-School Real Estate Assets and Space Issues Facing District
- II. Emerging Opportunities
- III. Next Steps

AUSD's Non-School Real Estate Assets



Real Estate and Space Issues

1. Employee housing
2. Maintenance Yard at end of useful life
3. Food Services Warehouse at end of useful life
4. Thompson Field – not regulation-sized
5. Singleton conveyance
6. BOQ and Alameda Point
7. Deadline considerations (e.g., District Office lease expiration)

Priorities and Emerging Opportunities

1. District Office alternatives
2. Opportunity to create regulation sports fields at Alameda High by monetizing Thompson Field
3. Potential opportunity to develop employee housing at Alameda Point (or other AUSD properties)

Evaluation Criteria

1. Does concept meet District's space needs in an efficient manner that enhances operations?
2. Can concept be realized before lease expiration?
3. Does concept implementation require a double move?
4. Does concept make use of existing AUSD property?
5. Does concept negatively impact school operations or otherwise inhibit growth/flexibility at school sites?
6. Does concept create an asset of long-term value to AUSD or is concept a temporary solution?
7. How much will it cost?
8. Is concept a capital or an operating expense?
9. How certain can we be of costs going forward?

1. District Office

- Lease expires at the beginning of 2019, making the District Office a high priority. A solution may involve other instructional or non-instructional facilities.
- The District is leasing 26,000 sq.ft. of space at 2060 Challenger Drive at an annual cost of \$500,000 + some maintenance.
- Requires lead time to identify solutions and design, build, or move as needed.

1. District Office - Needs Assessment

- Needs Assessment
 - Office/work space for 70 to 80 staff (65% of space)
 - 77 work stations, 15 offices, 8 shared work spaces
 - Conference and work rooms, space for professional development sessions
 - Centralized enrollment
 - Storage/supplies/warehouse
 - Copy center
 - Data center and IT and computer repair
 - Lunch/break room, restrooms
 - Parking

1. District Office - Solution Concepts

- Concept #1: Fill Underutilized Classroom Space
- Concept #2: Relocate to “Old” Alameda High
- Concept #3: Consider City-Owned Sites
- Concept #4: New Portables
- Concept #5: Buy Existing Space (2060 Challenger)
- Concept #6: Buy Space (Elsewhere in Alameda)
- Concept #7: Lease Space (Elsewhere in Alameda)
- Concept #8: New Construction
- Concept #8a: New Construction, Public/Private Partnership, District leases to own

2. Sports Facilities for Alameda High

- Food services warehouse is at the end of its useful life.
- Adjacent Thompson Field is used by Alameda High, but
 - the track is not regulation-sized
 - facility/site locations do not meet needs of students
 - site has poor drainage
- With residential zoning, parcels have value and can be monetized to fund other District objectives.
- Creates an opportunity to provide on-site sports facilities for Alameda High.
- Remaining revenues can support other District objectives for providing services to students

2. Sports Fields for Alameda High – Value Considerations

- The District is interested in exploring the opportunities for creating value to meet other District objectives.
- The sites are more valuable with residential zoning; the rezoning application has been submitted.
- An EIR is required to rezone the property.
- District can continue to use Thompson Field in current capacity throughout EIR process. Important to stress there are no plans to develop the site at this time.

3. Employee Housing

- With high cost of housing in Alameda and the Bay Area, demand for employee housing is deep and persistent.
- Discussions underway with a developer team with expertise in employee housing and knowledge of Alameda Point – informational only.
 - Will help to determine opportunities as well as cost and time requirements.
- Would need to be located on District-owned property in order to not violate the Fair Housing Act.

BOQ and Alameda Point

- Property Profile
 - 700 West Essex
 - Parcel 1: 7.74 acres; Parcel 2: 7.71 acres
 - Current zoning is AP-AR (Alameda Point, Adaptive Reuse)
- These parcels were acquired through a land swap with the City of Alameda.
- The BOQ is considered a “contributor” to Alameda Point’s historic district, and it is on the National Register of Historic Places.

BOQ and Alameda Point – Issues and Considerations

- Issues/Considerations
 - Only Parcel 1 (the northern parcel) can be developed with residential uses within the existing zoning allowances – up to the EIR cap.
 - Parcel 2, the BOQ Parcel, would require a conditional use permit to allow residential development, further contributing to what will already be an uncertain entitlement process.
 - Significant investment and infrastructure costs
 - Potential opportunity for
 - Employee housing
 - District campus (DO, Maintenance Yard, Food Services)
 - School site

Maintenance Yard

- Property Profile
 - 2615 Eagle Avenue, 0.73 acres
 - Current zoning is R4 (Residential)
 - Parcel is an infill site adjacent to older and established residential uses.
- Issues/Considerations
 - The maintenance yard has outlived its useful life, and getting in and out of the site is problematic.
 - Near-term potential to sell this site to a residential developer and generate revenue for the District. Alternatively, this site may be more valuable to the District as part of a swap.
 - Maintenance Yard will need to be relocated.

Singleton Properties/ Navy Conveyance

- Property Profile
 - 240/250 Singleton
 - 240: 1.15 acres, 250: 4.82 acres
 - Current zoning is M-2 G. General Manufacturing with a Government Overlay.
- Issues/Considerations
 - Parcels offered for conveyance by the Navy; Requirement that site be used for “school purposes” within 3 years.
 - Timing requirements may be subject to modification.
 - Inadequate infrastructure – no sewerage.
 - May take 7 to 10 years before surrounding development triggers improvements in infrastructure and utilities.

Next Steps

- Explore EIR for rezone of Clement properties
- Reconvene or establish 7-11 Committee
- Study Alameda Point and BOQ opportunities and constraints
- Study employee housing opportunities at Maintenance Yard site on Eagle, Clement St. parcels, and Alameda Point
- Seek Board's guidance on the District Office concepts
- Maintain as transparent a process as possible, while protecting the District's interests and maximizing use for the public

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Measure A Annual Report (10 Mins/Information)

Item Type: Information

Background: On March 8, 2011, Alameda voters approved Measure A, with 68.01% voting yes. This parcel tax generates approximately \$12 million per year for seven years. It has replaced the former Measure A (passed in 2005) and Measure H (passed in 2008).

In May 2015, the Board reviewed staff recommendations for allocations of Measure A funds for the 2015-16 school year. In June 2015, the Board acted on those recommendations as part of its budget adoption for 2015-16.

Measure A provides that the "...District will provide the Board of Education and the general public...an annual report on the Measure." Tonight, staff presents the 2015-16 staff Measure A Annual Report.

To ensure public accountability and fiscal transparency, Measure A also provides for an Oversight Committee to "review District compliance with the terms of this Measure."

In September 2015, the Board of Education approved the appointment of the 11-member 2015-16 Measure A Oversight Committee. The Committee met five times in public meetings between February 2016 and January 2017 to review the District's compliance with the terms of Measure A for 2015-16. All meetings of the Committee were open to the public and complied with open meeting laws (Brown Act). Agendas and other information were posted at least 72 hours before each meeting.

The Oversight Committee also will present its 2015-16 Annual Report tonight.

Goals: Communication and accountability regarding the use of Measure A funds

Fund: Parcel Tax Funds

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost):

Department Budget:

Recommendation: Approve as submitted.

AUSD Guiding Principle: #5 - Accountability, transparency, and trust are necessary at all levels of the organization. | #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By:

Susan Davis, Senior Manager of Community Affairs

ATTACHMENTS:

Description	Upload Date	Type
▣ Staff Annual Report	1/17/2017	Backup Material
▣ Committee Report	1/17/2017	Backup Material
▣ Presentation	1/18/2017	Presentation

Measure A Staff Annual Report 2015-16

Introduction

Passed in March 2011, Measure A has become intrinsic to the funding of high-quality programs in Alameda Unified School District (AUSD). With its emphasis on preserving highly valued programs – such as arts, sports, unusually small class sizes, neighborhood elementary schools, academic programs for both struggling and high-achieving students, and attracting and retaining excellent teachers – Measure A allows AUSD to continue to support students and staff despite funding fluctuations at both the state and federal level.

The recent passage of Measure B1, which extends Measure A, underscores the importance of these programs to the culture and accomplishments of our school district. Seventy-nine percent of eligible Alamedans voted in the national election November 8, 2016. Nearly 74% of them voted for Measure B1. This is the highest percentage of votes that any AUSD parcel tax has ever received. We see that as a clear sign that the community of Alameda supports raising and using local funding to support our students, our schools, and these programs.

Over the last five years, Measure A's \$12 million in annual revenue has provided the second largest source of revenue to the district. Integral to the management, oversight, and transparency of this crucial local funding are the annual reports submitted to the Board of Education from both district staff and the Measure A Oversight Committee. The following report summarizes Measure A revenues and expenditures for the **2015-16** school (and fiscal) year.

Measure A History

In March, 2011, Alameda voters approved Measure A, a \$12 million per year parcel tax designed to support core values and programs in the Alameda Unified School District (AUSD). This parcel tax was approved in the wake of massive cuts in state funding for public schools, cuts that resulted in AUSD losing more than 20% of its “unrestricted” funding and about 20% of its “categorical” (or “restricted”) funding.¹ To balance its budget during this time, the district also sharply reduced its expenditures, including a \$7 million cut in 2010-2011 alone.

While Measure A couldn't protect AUSD from the full impact of state cuts during the recession, this local tax enabled the district to weather that fiscal storm in ways that other districts could not. Indeed, in 2011-12, 2012-13, and 2013-14 AUSD did not have to enact the lay-offs, furloughs, pay cuts, or program losses that so many other districts in California put in place to weather the recession. (Increased funding under the Local Control Funding Formula (LCFF), which the state adopted in 2013, also would not have paid for Measure A programs by the way, as most of those LCFF funds are earmarked for specific programs.)

In short, Measure A has allowed AUSD to continue the critical work to which we are all committed: providing excellence and equity for all students in the Alameda Unified School District. Specifically, Measure A has:

- **Protected key programs** many other districts have been forced to cut (such as: 25:1 in K-3 classrooms; enrichment programs, including library/media centers, music, theater, and art; counseling and student support services; and elementary P.E. and high school athletic opportunities).

¹ Until 2013-14, the state provided money for public schools via both “restricted” funds (which were earmarked for certain programs) and “unrestricted” funds (that districts could spend as needed). This system was replaced by the Local Control Funding Formula in 2013.

- **Enabled the launch of innovative programs and magnet schools**, including: Maya Lin School's arts integration program; the Junior Jets middle school program; Bay Farm School's 21st-century education and middle school program; and Haight Elementary School's global learning program; and
- **Provided resources to improve instruction** for all students, both through our K-12 math initiative and by increasing the number of teachers trained and participating in SIM¹ and IBD².
- **Protected other core values**, including: maintaining neighborhood elementary schools; investing in technology and technology services; restoring school days that had been lost to furloughs; and providing funds to help attract and retain teachers by maintaining the current AEA salary schedule.

AUSD is deeply grateful to the community of Alameda for its parcel tax support. Your tax dollars help support not only our students, teachers, classrooms, and school sites, but our entire community and its tradition of being a family friendly city with good schools.

¹ SIM: Strategic Instructional Model (a mathematics program)

² IBD: Inquiry By Design (an English Language Arts curriculum)

The Measure A Oversight Committee

Measure A stipulated that a "District Oversight Committee" be created to "annually review District compliance with the terms of this Measure." The Measure also stipulated that the Board of Education adopt Board Policy to "set the size, structure, and scope of duties of the Oversight Committee." To fulfill this requirement, the Board on June 14, 2011 adopted Board Policy 3221 (Parcel Tax Funds), which calls for an 11-member committee representing diverse interests of parents/guardians, staff members, students, and community members from all attendance areas in the District.

The Measure A Oversight Committee provides a higher level of oversight than many (if not all) of the school parcel taxes in this state. We are very grateful for the questions, discussions, and suggestions generated by our Oversight Committee throughout the year.

The members of the 2015-2016 Measure A Oversight Committee were:

- Jose Alvarez (chair)
- David Anstice
- Roxanne Clement
- Nilo Garcia
- Christina Johnson
- Patricia Petersen
- Valerie Peterson
- Robert Gabriel
- Gayle Thomas
- Jennifer Williams
- Sherice Youngblood

Committee member Jennifer Williams resigned from the Oversight Committee in December 2016, when she became a member of the AUSD Board of Education. The superintendent will appoint a replacement for her for the 2016-17 committee. Committee member Sherice Youngblood also stepped down in December 2016, upon being appointed to the city's Social Services Human Relations Board. As her term with the Oversight Committee was ending in January 2017, she was not replaced, as per AUSD Administrative Regulation 3221.

Measure A Meetings and Presentations

AUSD Board Policy 3221 (Parcel Tax Funds) requires that district staff generate three reports about Measure A annually.¹

For the 2015-2016 school year, staff gave the following four presentations about Measure A to the Board of Education:

- September 29, 2015: [Superintendent's Recommendations to Appoint Members to 2015-2016 Measure A Oversight Committee](#)
- January 12, 2016: [2014-15 Measure A Annual Staff Report and Measure A Annual Oversight Committee Report](#).
- May 10, 2016: [Second Interim Report on Measure A Revenues and Expenditures](#) and [Recommendations on Allocations for Measure A for 2016-2017](#). On June 28, 2016, the Board approved those allocation recommendations as part of [Final Budget for Fiscal Year 2016-2017](#).

Staff will present the 2015-2016 Measure A Annual Staff Report to the Board of Education in January, 2016. The chair of the oversight committee will present the committee's report at the same meeting. Both reports will then be posted to the [AUSD Measure A website](#), as they have for the previous four years.

As requested by the 2013-14 committee, a sample of parcel tax expenditures was tested by the auditor as part of the general audit of district finances this year. The auditor's report is available on AUSD's "[District Financial Information](#)" page.

The 2015-16 Measure A Oversight Committee met in open, noticed, public meetings on: March 1, 2016; May 17, 2016; October 4, 2016; December 1, 2016; and January 12, 2017. Agendas, minutes, and supporting documents for these meetings are on the [Measure A Oversight Committee page](#).

¹ "The Superintendent or his/her designee shall provide at least three annual reports for the duration of the Measure: a report recommending expenditures for the next fiscal year before adoption of the District's annual budget; at least one report concurrently with State-required interim reports; and an annual report on Measure A ("Annual Report"). The Annual Report will be organized to clearly display the revenues generated by the Measure, the expenditures of the revenues and how they relate to the purposes of the Measure and the status of the projects funded by the Measure."

2015-16 Measure A Allocations and Expenditures

The amounts allocated for expenditure categories (in the ballot measure), as well as the actual revenue spent per category in 2015-16, are also shown.

Item #	Expenditure Category	Percent Allocated (Measure A)	Percent Spent (2015-16)	Actual Expenditure (2015-16)
1	Small Class Sizes K-3 •Maintaining 25:1 K-3 class size	13-14%	14%	\$1,681,200
2	Neighborhood Elementary Schools •Maintaining Franklin, Otis, and Maya Lin ¹	7-8%	7.4%	\$886,881
3	Secondary School Choice Initiative and AP Courses •EHS 1.6 FTE ² •AHS 1.8 FTE •ASTI 2 FTE •Island 4 FTE	7-8%	7.1%	\$846,555
4	Programs to Close Achievement Gap •JROTC •Partial math, SIM, & IBD initiatives ³ •Restore 5 AEA days	15-16%	16.6%	\$1,989,502
5	High School Athletic Programs •Coach stipends •Athletic supplies •Outside services	4%	4%	\$479,961
6	Enrichment Programs •Elementary schools - music, PE, and media centers •Middle schools - 4 Fine Art sections •High schools - 10 Fine Art sections	9-10%	10%	\$1,201,139
7	Attract and retain excellent teachers •Maintenance of current AEA salary schedule	25-26%	27.1%	\$3,239,667
8	Counseling and student support services •Counselors: 8 FTE •College Career Techs: 8 FTE	6%	6%	\$721,029
9	Alameda Charter Students	3-4%	3%	\$362,181
10	Technology •Equipment •3.5 FTE	5%	5%	\$597,351 ⁴
11	Adult Education	4%	0%	0 ⁵
	Accountability and Transparency	1.5-2%	1%	\$136,370
	Total			\$12,306,061

¹ Formerly called Washington Elementary School

² FTE: Full-Time Employees

³ IBD: Inquiry by Design (an English Language Arts curriculum); SIM: Strategic Instruction Model (content literacy)

⁴ This amount does not include the carryover funds from 2014-15, which are discussed on page 13.

⁵ See discussion on page 13.

Measure A Revenues 2015-2016

The actual amount of parcel tax revenue received in 2015-16 was slightly higher than what was predicted.

Specifically, in June 2015, when the Board adopted its 2015-16 budget (including specific allocations for Measure A for that year), the District projected that Measure A would generate revenues of \$12,141,814 that fiscal year. This projection was based on tax information from Alameda County.

At the time of unaudited actuals ("closing of the books" for 2015-16) in September 2016, the actual revenues from Measure A for 2015-16 were \$12,347,815. These revenues consisted of:

Revenue Source	Amount
2015-16 payments	\$12,010,559
Possessory interest on government property	\$151,781
Late payments from 2014-15	\$21,350

Note: Possessory interest increased dramatically in 2015-2016, as our tax administrators were able to get more government property lessees to pay their share of Measure A based on possessory interest.

Measure A Expenditures 2015-16

(1) Small Class Sizes in K-3

Percentage allocated in Measure A: 13-14% of parcel tax revenues

Percentage spent in 2015-16: 14%

According to Measure A, funds shall be dedicated to “*maintaining manageable elementary class sizes with student to teacher ratios no greater than 25 to 1 in K-3 classrooms.*” Without the parcel tax, student-teacher ratios would have increased to 32 in K-3 classes.

Maintaining 25:1 in K-3 classes requires substantial expenses, since that entails a greater number of K-3 teachers across the district than with a staffing of 32:1.

In 2015-16, the District spent \$1,681,200 to support 18 FTE so that K-3 classes could remain staffed at a 25:1 maximum. Rather than directing those funds to retain/hire specific teachers, the Measure A funds paid for a portion of the salary of every K-3 teacher in AUD.

(2) Neighborhood Elementary Schools

Percentage allocated in Measure A: 7-8% of parcel tax revenues

Percentage spent in 2015-16: 7.4%

According to Measure A, funds shall be dedicated to “*maintaining high quality neighborhood elementary schools.*” Revenues from the parcel tax also may be used to support programs “*designed to maximize enrollment in neighborhood schools, such as magnet programs, and programs which improve the academic proficiency of all students through effective instruction and implementation of a challenging and engaging curriculum.*”

Without the parcel tax, the Otis, Washington (now Maya Lin), and Franklin elementary schools would have been closed, creating a domino effect of other closures, consolidations, and boundary changes.

Keeping those schools open requires substantial expenses to pay for staff, such as a principal, office manager, health care clerk, and custodians for each school.

In 2015-16, the District spent \$886,881 to support three of those four staff positions at each of those three schools. There were not enough funds to cover

custodians, so the district paid for half of them out of the unrestricted general fund.

(3) Secondary School Choice Initiative and AP Courses

Amount allocated in Measure A: 7-8% of parcel tax

Amount spent in 2015-16: 7.1%

According to Measure A, funds shall be allocated to *"maintaining Advanced Placement Courses and to supporting the secondary choice initiative to create different educational pathways to careers and college."* Funds also may be allocated to *"create and support more personalized learning environments and "sustain secondary courses with student to teacher ratios less than 35 to 1."*

Without Measure A, the district would have had to reduce AP courses and would not have been able to fund secondary school choice programs, magnets, innovative programs, and other attractive school options set forth in the 2010 Master Plan.

In 2015-16, the District spent \$846,655 on this category. The money funded AP sections at Alameda High (\$179,425) and Encinal High School (\$150,520), as well as small class sizes at ASTI (\$176,257) and Island High School (\$292,865). The District also spent \$47,587 on innovative program planning at Haight and Franklin elementary schools.

*Since 2011, Measure A funds have also paid for the launch of innovative programs at Bay Farm School and Earhart Elementary School, a magnet program at Maya Lin School, and the magnet Junior Jets program at Encinal Jr. & Sr. High School.

(4) Programs to Close the Achievement Gap

Amount allocated in Measure A: 15-16% of parcel tax revenue

Amount spent in 2015-16: 16.6%

According to Measure A, funds shall be dedicated to *"supporting programs which are specifically designed to close the achievement gap."* Funds may be used to *"support professional development for teachers and staff to accomplish district-wide learning initiatives and to provide targeted intervention and support."* Funds may also be *"used to restore the school year to 180 days of instruction for all students."*

Without Measure A, the district would have cut programs aimed at reducing the achievement gap, eliminated professional development in math and instructional practice, reduced intervention programs, continued furloughs implemented for the 2010-11 school year, and eliminated JROTC.

In 2015-16, the District spent these funds on:

Program	Amount
JROTC	\$103,727
IBD	\$237,943
Math Initiative	\$359,032
Strategic Instruction Model	\$31,037
Restoring 5 days to school year*	\$1,236,715
Bay Science	\$20,617
TOTAL	\$1,989,502

*These days were cut in 2010 when Measure E did not pass.

The funds for the math initiative, IBD, SIM, and BaySci pay for staff development for teachers, peer coaches and trainers, and contracts with vendors for services and materials.

(5) High School Athletics Programs

Amount allocated in Measure A: 4% of parcel tax revenue

Amount spent in 2015-16: 4%

According to Measure A, funds shall be dedicated annually to “*maintaining high school athletic programs.*” Revenues from this measure “*may be used to support stipends for athletic coaches, transportation costs for athletic events, equipment and other operational costs.*”

Without Measure A, the district would have reduced high school athletics to only varsity sports in 2011-12, provided only one coach per varsity sport for 2011-12, and eliminated all high school athletics in 2012-13.

In 2015-16, the District spent \$479,961 to maintain high school athletics. Measure A funds are paying for more than 100 coach stipends, as well as team uniforms and equipment at both comprehensive high schools (AHS and EHS).

(6) Enrichment Programs

Amount allocated in Measure A: 9-10% of parcel tax revenues

Amount spent in 2015-16: 10%

According to Measure A, funds shall be dedicated to *"maintaining art, music and drama as integral subjects of the K-12 curriculum."* The measure also specifies that *"funds may be used to support enrichment programs such as P.E. and Media Centers in elementary schools and to support highly qualified visual and performing arts professionals, supplies, equipment and facilities."*

Without Measure A, elementary music, PE, and library/media centers would have been reduced in 2011-12 and eliminated in 2012-13, and secondary fine arts programs would have been reduced to a minimum.

In 2015-16, the District spent these funds on:

Elementary music, PE, and teacher librarians: \$921,404

Middle school fine arts sections: \$81,271

High school fine arts sections: \$198,464

Total: \$1,201,139

(7) Attract and Retain Excellent Teachers

Amount allocated in Measure A: 25-26% of parcel tax revenue

Amount spent in 2015-16: 27.1%

According to Measure A, funds will be dedicated to *"attracting and retaining highly qualified and excellent teachers."*

Without Measure A, the district would have had to negotiate teacher salary reductions of 8%- 9.25%. In 2015-16, the District spent \$3,239,667 for maintenance of the current AEA salary schedule.

(8) Counseling and Student Support Services

Amount allocated in Measure A: 6% of parcel tax revenue

Amount spent in 2015-16: 6%

According to Measure A, funds shall be dedicated to *"providing a lower and more effective ratio of students to counselors and support providers."* Revenues may be

used to “provide students with a range of support services, including college and career counseling.”

Without Measure A, the district would have reduced counseling services to minimal allowable levels and maximized student to counselor ratio.

In 2015-16, the District spent \$678,481 for counselors and \$42,548 for college and career technicians.

(9) Alameda Charter Students

Amount allocated in Measure A: 3-4% of parcel tax revenue

Amount spent in 2015-16: 3%

According to Measure A, funds shall be dedicated to “supporting Alameda students in Alameda’s public charter schools in existence at the approval of this Measure . . . in proportion to each charter’s enrollment of Alameda resident students.”

Without Measure A, charter schools would receive no direct, supplemental funding from AUSD.

In 2015-16, the District spent \$362,181 on Alameda students in Alameda’s charter schools, as shown below.

Charter School Name	P-2 ADA	Allocation Percentage	Allocation Amount
Academy of Alameda - Elementary	47.13	4.52%	\$16,377
Academy of Alameda – Middle	365.19	35.04%	\$126,895
Alameda Community Learning Center	279.00	26.77%	\$96,946
BASE	2.86	.27%	\$994
NEA	348.14	33.40%	\$120,970
TOTAL	1042.32	100.00%	\$362,181

(10) Technology

Amount allocated in Measure A: 5% of parcel tax revenue collected

Amount spent in 2015-16: 5%

According to Measure A, funds shall be dedicated to “providing and maintaining technology at all sites consistent with the District’s technology plan as approved by the BoE.”

Without Measure A, the District would have been unable to provide funds to replace and upgrade technology equipment at school sites and provide service and support for school sites. The district also would have allocated technology funding to compliance needs only.

In 2015-16, the District spent \$163,801 for 3.5 staff positions and \$597,675 for technology replacements and upgrades, including: Chromebooks, document cameras, projectors, iPads, desktop computers, short throw projectors, and carts for Chromebooks, laptops, and iPads. That \$597,675 expenditure included \$164,125 in carry-over funds from 2014-15.

(11) Adult Education

Amount allocated in Measure A: 4% of parcel tax revenue

Amount spent in 2015-16: 0%

According to Measure A, funds shall be dedicated to “*supporting the Alameda Adult School to provide lifelong educational opportunities and services for adult learners.*” T

Without Measure A, the district would have closed the Alameda Adult School, and the State and federally funded courses taught at Adult School would have been integrated with continuation programs.

In 2015-16, the California Department of Education began funding adult education again, after a four-year hiatus. Because Measure A allows for a re-allocation of funds in the event of “changed funding circumstances”, the Board of Education approved distributing the \$480,000 typically spent on Adult Education to the three most expensive categories of Measure A funding: attracting and retaining excellent teachers, programs to close the achievement gap, and small class sizes in grades K-3.

Accountability and Transparency

Amount allocated in Measure A: 1.5-2% of parcel tax revenue

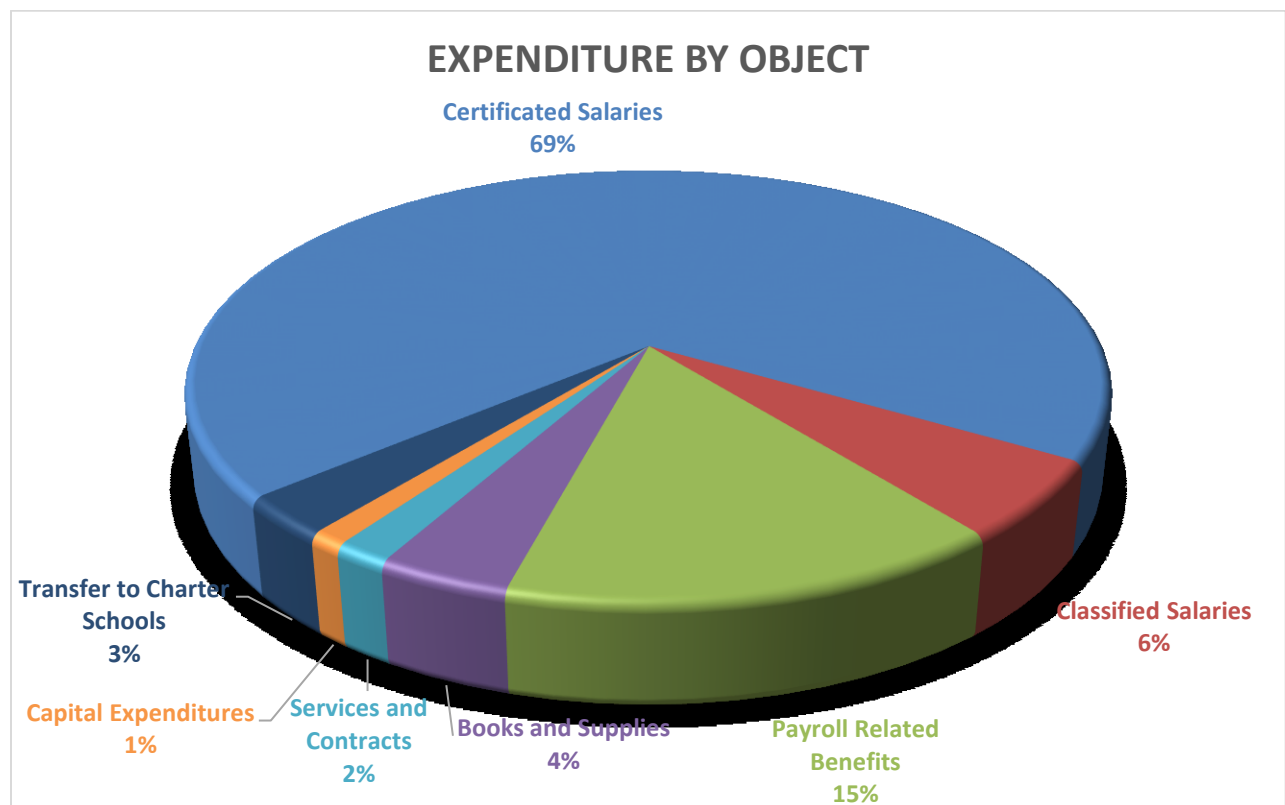
Amount spent in 2015-16: 1%

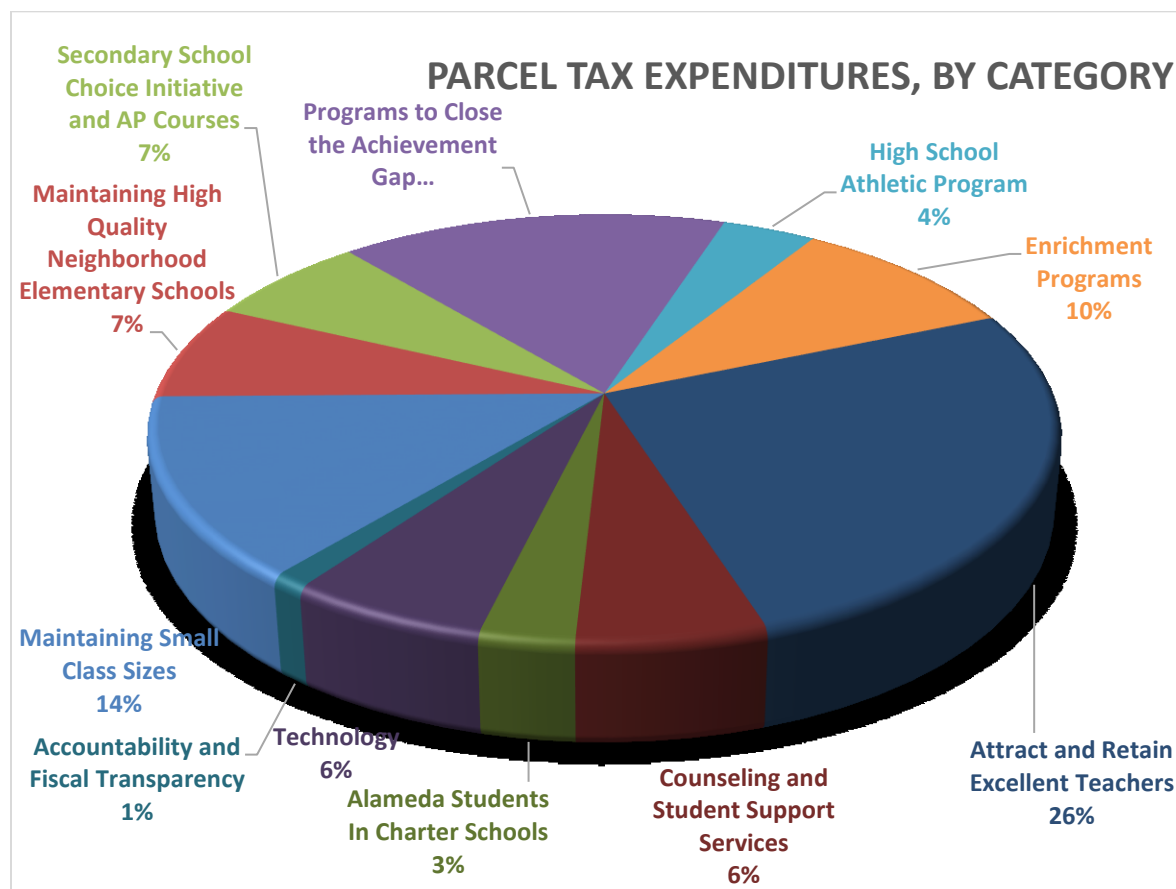
According to Measure A, “*Available revenues shall mean the amount of money provided by this Measure after the deduction of one and one-half (1 ½%) to two percent (2%) of the Measure’s revenues to pay for the following: . . . payment of necessary fees and expenses to administer the District’s parcel tax, and costs to implement accountability provisions to ensure fiscal transparency through public*

information, translation services for the District families, and support of the Oversight Committee."

In 2015-16, the District spent \$136,370 for staffing, supplies/materials, and parcel tax administration. Consistent with the relevant language of Measure A cited above, these funds are "taken off the top" before Measure A's available funds are allocated into the primary expenditure categories, as explained above. The funds paid for 50% of the cost of staffing one position (Senior Manager, Community Affairs), plus .25 of the cost of staffing two positions in Fiscal Services (Director of Fiscal Services and Accounting Supervisor), plus a contract for external parcel tax administration with SCI Consulting Group.

The following two charts show Measure A expenditures for 2015-2016 both by type and specific Measure A category.





Next Steps for the Measure A Oversight Committee:

The Measure A Oversight Committee for 2016-17 will meet in open, noticed, public meetings several times during the 2016-17 school years, with the first meeting following the presentation of this Annual Report to the Board of Education.

Measure B1

Voter approval of Measure B1 ensures that this valuable local funding will continue for another seven years after Measure A sunsets in 2018. Our school district is deeply grateful to the community for its support of our students, our schools, our staff, and our programs. Details on how seniors and recipients of Supplemental Security Income will qualify for exemptions will be available early this spring; no action will need to be taken before August, 2017.

Measure A Oversight Committee Report 2015-16

In 2011, Alameda voters approved Measure A, a \$12 million per year parcel tax designed to support core values and programs in the Alameda Unified School District. This parcel tax will sunset after the 2017-2018 fiscal year, at which point Measure B1, passed by voters in November, 2016, will take effect. Pursuant to the terms of Measure A, an Oversight Committee was formed to annually review the District's compliance with the terms of the measure. It is important to note that the Measure A Oversight Committee is not responsible for auditing the financial statements of AUSD. As per Board Policy 3221, an audit is performed by an independent, professional accounting firm annually.

The Measure A Oversight Committee met on five different occasions to review the status of the revenues and expenditures to ensure that they are consistent and comply with the Measure A parcel tax. Agendas and minutes of those meetings can be found on the AUSD website: <http://www.alameda.k12.ca.us/measurea>. The work of the Committee included the review of AUSD staff reports on Measure A expenditures, more detailed reviews of individual areas of spending, and the development of recommendations for AUSD staff for tracking and reporting of Measure A revenues and expenditures for the 2015-2016 fiscal year, as well as recommendations for subsequent fiscal years.

Recommendations made by the current Measure A Oversight Committee were adopted by AUSD staff in the attached report. The current Committee also reviewed the re-allocation of Adult Education funds, as they are now provided by the California Department of Education. The Committee concludes that the attached staff report properly reflects AUSD's expenditures of the Measure A Parcel tax revenues for the 2015-2016 fiscal year and that AUSD has complied with the funding priorities established in Measure A as passed by the voting citizens of Alameda and as approved by the Board of Education.

The Committee appreciates the efforts of AUSD staff Susan Davis, April Dizon, Shariq Khan, Dani Krueger, and Magdalene Tatum in support of our work as a committee. We recommend that the community remain engaged in the discussion around alternative sources of funding for public education. On behalf of the committee, we thank the voters for continuing to support education in Alameda via Measure B1 and encourage interested community members to consider volunteering to serve on or providing input to the Measure B1 Oversight Committee in future years.

2015-2016 Measure A Oversight Committee

- Jose Alvarez
- David Anstice
- Roxanne Clement
- Nilo Garcia
- Christina Johnson
- Patricia Petersen
- Valerie Peterson
- Robert Gabriel
- Gayle Thomas

Measure A Annual Staff Report 2015-2016



JANUARY 24, 2017

2015-16 Measure A Staff Report

2

Measure A Basics

- Approved by 68.01% of voters in 2011
- \$12 million in revenue per year
- Sunsets in 2018
- Measure B1 extends until 2025

2015-16 Measure A Staff Report

3

Measure A Accounting

- Expenditures are limited to 11 specific categories, plus oversight & accountability.
- Tracking system includes separate budget codes and separate funds.
- Measure A Oversight Committee reviews revenues and expenditures to ensure compliance.

2015-16 Measure A Staff Report

4

Three Reports Per Year

- Annual report on revenues and expenditures for *previous year*
- Second interim budget report for the *current year*
- Recommended expenditures for the *next year*

All reports for all years are available on the AUSD website.

2015-16 Measure A Staff Report

5

Measure A Categories and Allocations

- (1) Small Class Sizes in K-3 (13-14%)
- (2) Neighborhood Elementary Schools (7-8%)
- (3) Secondary School Choice Initiative and AP Courses (7-8%)
- (4) Programs to Close the Achievement Gap (15-16%)
- (5) High School Athletics Programs (4%)
- (6) Enrichment Programs (9-10%)
- (7) Attract and Retain Excellent Teachers (25-26%)
- (8) Counseling and Student Support Services (6%)
- (9) Alameda Students in Charter Schools (3-4%)
- (10) Technology (5%)
- (11) Adult Education (4%)
- Accountability (1.5-2%)

2015-16 Measure A Staff Report

6

Three Primary Points About This Year's Staff Report

1. AUSD remains highly reliant on the \$12 million collected per year via Measure A. It is the second-largest stream of revenue coming into the district.
2. Measure A has among the highest levels of oversight for any parcel tax in the State.
3. In 2015-16 there was one change in allocations to the categories set out in the ballot measure.

2015-16 Measure A Staff Report

7

Revenues and Expenditures

Description	Budgeted	Actual
Beginning Balance	\$ -	\$ 164,125
Revenue	\$ 12,141,814	\$ 12,183,690
Total Funds Available	\$ 12,141,814	\$ 12,347,815
Expenditures	\$ 12,141,814	\$ 12,306,061
Carryover to 2016-17	\$ -	\$ 41,754

2015-16 Measure A Staff Report

8

Measure A Categories	% Allocated In Ballot Measure	% Spent In 2015-16
1. Maintaining small class sizes (25:1 in K-3)	13-14%	13%
2. Maintaining high-quality elementary schools	7-8%	7.5%
3. Secondary school choice initiative and AP courses	7-8%	7%
4. Programs to close the achievement gap	15-16%	16%
5. High school athletic programs	4%	4%
6. Enrichment programs	9-10%	9%
7. Attract and retain excellent teachers	25-26%	26.5%
8. Counseling and student support services	6%	6%
9. Alameda charter schools	3-4%	3%
10. Technology	5%	4%
11. Adult education*	4%	0%
Accountability and fiscal transparency	1.5-2%	1%

2015-16 Measure A Staff Report

9

Board Policy 3221

AUSD's Board of Education can make changes in allocations in the event of:

- “Severe fiscal emergency”
 - Qualified or negative interim financial report
 - ACOE disapproves annual budget

OR

- “Changed funding circumstances”
 - Local, state, or federal funding for programs supported by Measure A fall below or rise above 2010-11 levels.

2015-16 Measure A Staff Report

10

Changed Funding Circumstance

- State of California re-funded Adult Education.
- \$480,000 expense of running Alameda Adult School now funded with Adult Education Block Grant.
- Staff recommended that the funds in that category be re-distributed to the three largest Measure A programs:
- Attract and retain excellent teachers
 - Programs to close the achievement gap
 - Small K-3 class sizes
 - Board of Education approved this on October 27, 2015

2015-16 Measure A Staff Report

11

Next Steps

- 2016-17 Measure A Oversight Committee begins meeting in February 2017.
- Interim 2016-17 report, plus recommendations for 2017-18 expenditures, will be presented in April.
- Measure sunsets June 31, 2018.
- Measure B1 goes into effect July 1, 2018.

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Update on K-5 ELA (English Language Arts) / ELD (English Language Development) Instructional Materials Adoption (10 Mins/Information)

Item Type: Information

Background: AUSD is currently engaged in the process of adopting new instructional materials for K-5 English Language Arts and English Language Development instruction. This item provides an update detailing the adoption process, the adoption committee members, the different program materials being piloted by teachers, and the upcoming steps for selection and implementation in the 2017-2018 school year.

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): N/A

Department Budget:

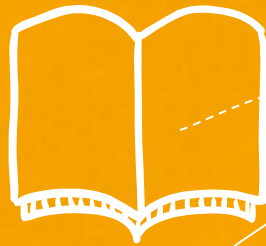
Recommendation: This item is presented for information only.

AUSD Guiding Principle: #1 - All students have the ability to achieve academic and personal success.| #2 - Teachers must challenge and support all students to reach their highest academic and personal potential.| #3 - Administrators must have the knowledge, leadership skills and ability to ensure student success.

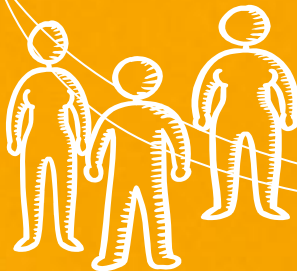
Submitted By: Steven Fong, Chief Academic Officer

ATTACHMENTS:

	Description	Upload Date	Type
▣	Update on ELA and ELD Instructional Materials	1/18/2017	Presentation

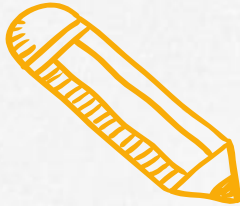


AUSD ELA/ELD Adoption



January 24, 2017





Presentation Goals

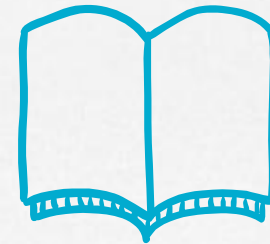


- Current ELA Practice
- Adoption Process and Timeline
- Adoption Committee
- Results of Screening
- Programs for Pilot
- Pilot Process



AUSD Current ELA Curricula In Use

- Houghton Mifflin Reading (Adopted 2001)
- Inquiry By Design - Grades 3-5
- Units of Study, A Reading & Writing Workshop by Lucy Calkins
- Junior Great Books
- Core Literature Novels & Trade Books
- Ready Common Core
- Wordly Wise
- Other programs



AUSD Adoption Goals



- Adopt research-based high-quality ELA/ELD program materials that will support success for all AUSD students to learn Common Core standards
- Provide consistency of best practices for ELA and ELD teaching and learning across the district, including assessment and intervention

AUSD Adoption Goals



- Obtain materials that will motivate both teachers and students and be supportive for implementation and sustainability
- Set the stage for meaningful professional development and growth for teachers

Adoption Timeline



- Examine Possible Programs: Aug.-Sept.
- Screening Survey: Oct.-Nov.
- Convene Adoption Pilot Committee: Dec. 6
- ➔ • Training and Piloting of Materials: Jan.-April
- Collect Teacher, Student and Parent Data
- Data Analysis & Recommendation: April 18
- Planning for Implementation: April

AUSD Adoption Process



- Involvement by all stakeholders:

TEACHERS & ADMINISTRATORS

Previewing, Screening, Training, Piloting,
Collaboration & Planning, Developing metrics,
Providing feedback, Data analysis, Decision-Making

STUDENTS

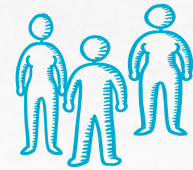
Experience full units of each pilot program
Provide survey feedback

PARENTS

Curriculum display and feedback

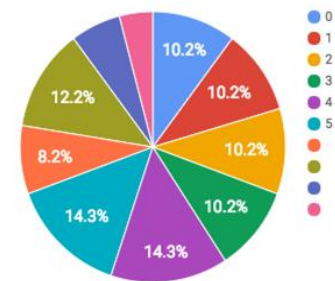


AUSD Adoption Process



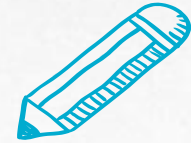
- A strategically balanced pilot committee comprised primarily of teachers
 - 36 K-5 Classroom Teachers
 - 5 ELD Coaches
 - 4 Literacy Specialists
 - 3 Special Education Teachers
 - 2 Principals
 - All sites
 - Lots of experience and knowledge!

Committee Members





AUSD Adoption Process



- Classroom teachers teach a full unit of each pilot program
 - **Reach for Reading** – National Geographic/Cengage (K-5)
 - **Units of Study** – Heinemann (K-5)
(Lucy Calkins' Reading and Writing Workshop)
 - **Collaborative Literacy** – Center for the Collaborative Classroom (K-5)
(Being a Reader K-2, Being a Writer & Making Meaning K-5)
- ELD Coaches and Literacy Teachers collaborate to assist, use ancillary materials, and help collect data on teacher and student experience



AUSD Adoption Process



- Use of research, data and strategic discussion to inform decision-making
 - District CAASPP data
 - Analysis of ELA/ELD Framework and recent research
 - Teacher Program Screening survey data
 - Pilot data from teachers, students and parents: quantitative and qualitative
 - Collaborative discussion from experience & areas of professional expertise





Focus on Student Learning:

What do students need to learn?

How will they learn these things?

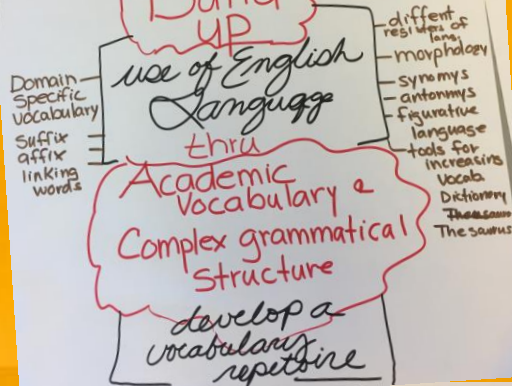
What will they do?

How will we know what they've learned?



Meaningful and authentic tasks to understand & produce language

Build UP



Research Jigsaw



Big Ideas

BIG IDEAS

How can we support the literacy needs of African-American Transitional Readers?

Transitional Reader: Readers making the transition from early to independent self regulating. (Gr. 2-5)

- Main goal is to improve comprehension.

- * Interactions with culturally relevant text increases comprehension, recall, and engagement
- * Culturally relevant text maintains motivation

IMPLICATION FOR ADOPTION

Programs should be evaluated for their quantity and quality of culturally relevant text.

FOUNDATIONAL SKILLS FOR ELs

⊕ Adopted curriculum should address/teach ALL parts of reading rope



⊕ An ELD curriculum that reinforces skills parallel ELA curriculum

⊕ teacher MUST model reading aloud to demonstrate what oral cadence + intonation in English sounds like (may be only English model for ELs)

⊕ strong phonemic awareness/ in home lang. helps support English acquisition

"If children of color rarely see books that reflect their own real-life experiences they become frustrated and elect to disengage from reading altogether"

-Hefflin & Barksdale

* Provide books by African American Authors & illustrators

* develop book recommendation lists that feature authors + characters of color

* develop reading leaders of related books

* involve families in "book club" kits

* More transitional books that feature children of color

SUPPORTING Literacy Needs of our African American Transitional Readers

Research supports...

4/5 Language Development

- Need opportunities for Collaborative discussion (Authentic & Meaningful)
- Strategies for making meaning
↳ shades of meaning

• VOCABULARY

- Transitional ~~Phrases~~ / Linking Ideas
 - Complex grammatical structure
↳ understanding & writing
- ~ Effective Expression ~



Writing

- ✓ modeling
- ✓ time - a process
- ✓ variety of samples/examples

- ✓ need a program that teaches/emphasizes writing process (not always linear)
- ✓ teaches content and mechanics separately and then combines
- ✓ multimedia

Close Reading - critically examining a text

GOALS:

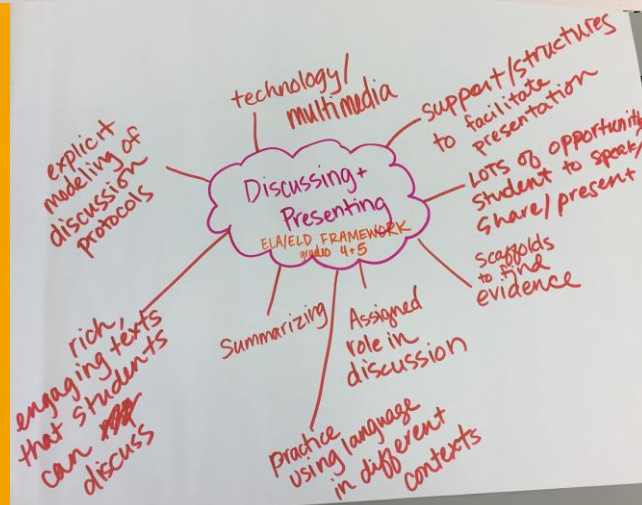
- expand schema
- build habits

KEY FEATURES:

- complex text - at or above
- short/varied text
- reread + respond with evidence
- limited front loading

★ Teachers must...

- pre read text
- consider importance of text



AUSD Data Implications



AUSD - Data

- What do you notice?
- What do you wonder?
- What implications does the data have on the adoption?

Implications to consider:

- 17% Dist. Wide EL's
- Typologies → EL's
- Majority of ↓ (S) at Title I schools
 - Consider feedback during pilot

Implications - AUSD Data

- SED ≈ 27% - formative asmt.
- What we have in place working for our white (S)
- Seeing progress in every group except for EL's → ↑ 17% (same set of S)
- Curriculum that meets needs of (S) not meeting classification of SPED but still need support.
- LTEL's / Scores going ↓ were reclassified (?) Ld(?)
- ✓ Language Support: difference in instr. in 3-5th
- Correlated to H.S. Wtg./Rdg. "stuck"
- Comp. Generated testing integrated alignment (?)

Screening Data Notes:



Kinder

- no rating or 0 for IBD
- 0-1 Units
- not a lot of comments
- Liked Reach -- if have access to all of materials

1st

1st choice Liked Reach
 - non fiction graphics
 EL support visual
 too many components

Units 3rd choice
 too much planning, too sophisticated
 worried re: implementation
 leveled library + writing work?
 - no phonics
 + differentiated

2nd

Liked Units - rigor, deep + engaging
 exciting approach
 Teacher manual not user friendly
 "dense"

Reach for reading

4 non T1 4 T1
 liked ELD + non-fiction
 Reach not rigor
 too much like a time
 not enough student choice

Units - differentiation good
 too much Teacher planning
 no ELD
 rigorous, good as resource for training T's

Wonders - Organized + ELD

CC - too fragmented - like SEL
 choice
 too much inder

3rd

9 T's, 5 Sh's

Units - lower scorer

Wonders - 3's + 4's - liked completed pg. ELD

Reach - BF liked Earth 4 Frank - 1-4 } complete prog. need entire kit

2 liked @ IBD, 1, 0

General Findings

- Units → If had experience ↑
- not a lot of variability w/in sites
- familiarity by site w/ curriculum (?)

4th

5 T's @ 3 sites
 2 non T1 Otis, Frank
 1 T1 Paden

① Reach - more rigor than Wonders
 EL + sci, ss more integrated
 Encompassing

② Wonders - missing reading writing workshop components

5th

Either loved or hated

Rigor - Reach, IBD, W + W - 150's w/ W
 + variety of text not suitable for level

Wonders - traditional not as engaging

no T1's
 IBD - split

EL Sped T1

CC's 1's + 4's

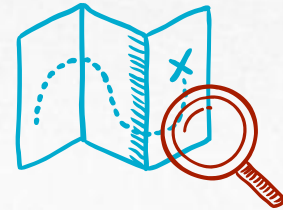
Reach - concern re: writing not a lot of differentiation

EL - top 3 picks (Units, IBD, W + W)
 didn't pick GBE

Wonders - scripted
 to scripted Wonders + Reach (which had ELD in it)



Vision for Literacy



AUSD will provide a balanced literacy program to support all students in becoming broadly literate and successful for college, career and civic life in the 21st century. Through research-based literacy instruction that is motivating, engaging, inclusive, respectful and intellectually challenging, all students will develop strong skills for reading, writing, listening and speaking that will enable them to comprehend, think critically, access and evaluate information, reason and communicate effectively. All students will develop a love of reading and a mindset for lifelong learning.

DRAFT

We Screened These Programs:



What

goes

forward?

- Inquiry By Design (3-5)
- Reach for Reading – National Geographic/Cengage (K-5)
- Reading Wonders – McGraw-Hill (K-5)
- Units of Study – Heinemann (K-5)
(Calkin's Reading and Writing Workshop)
- Wit and Wisdom – Great Minds (K-5)
- Collaborative Literacy – CCC (K-5)
(Being a Reader K-2, Being a Writer & Making Meaning K-5)

Outcomes



Voting by Dot Data
Curricula to Pilot**

Rating (1 is best)	Reach for Reading**	Reading Wonders	Units of Study**	IBD	Being a Reader, Writer... CCC**	Wit and Wisdom
1	16	6	21		18	6
2	6	2	11	6	10	2
3	6	2	2	2	5	4
4	5	4		4	8	3
5	2	3	3	1	4	3
6	4	5	4	2		1
7	3	8	3	1	1	9
8	4	15	1	25	2	21



1st Pilot Program: Jan. 17 - Feb. 10



Reach for Reading –National Geographic/Cengage (K-5)



- Full ELA/ELD program - Includes Integrated & Designated ELD
- Core anthology book - Authentic literature & Nat. Geo texts
- Balance of fiction/non-fiction & Social Studies/Science
- Student workbooks for 8 years
- Digital components (Teacher guides & online lesson planner, interactive whiteboard lessons, online student anthologies, close readings of complex texts, journals, vocabulary & phonics practice games, family newsletters, online glossaries in multiple languages)
- SBAC-like assessments - formative & summative
- Reach into Phonics - intervention
- Thematic libraries at multiple reading levels and two levels of National Geographic magazines

2nd Pilot Program: Feb. 13 – Mar. 10

Units of Study – Heinemann (K-5)

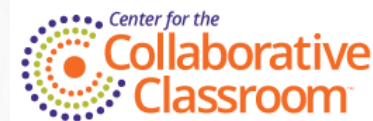


Lucy Calkin's Reading and Writing Workshop

- Whole group mini-lessons with mentor texts
- Independent reading time, small group, 1:1 conferencing
- Online teacher resources and videos for PD
- Would purchase recommended leveled library
- Would add foundational skills component (Phonics, word study, spelling - ie: *Words Their Way*, *Foundations*, or *F & P Phonics lessons*)
- Would add Integrated and Designated ELD components
- Would add assessment & intervention components
- Conferences allow for highly personalized instruction

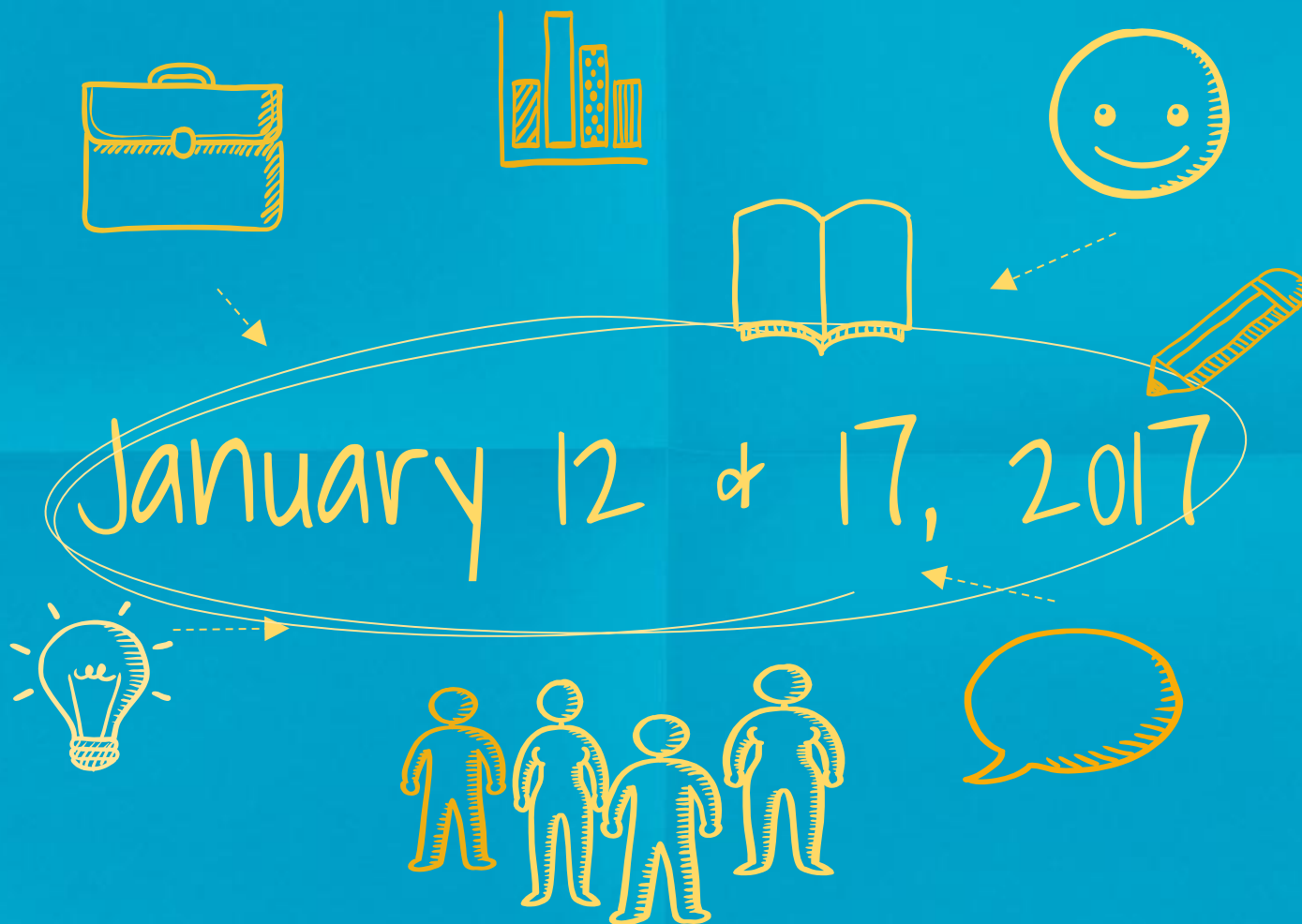
3rd Pilot Program: Mar. 13 - Apr. 14

Collaborative Literacy – CCC (K-5)



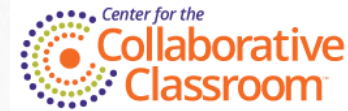
(Being a Reader K-2, Being a Writer & Making Meaning K-5)

- An ELA and SEL (Social Emotional Learning) program
- Includes foundational skills and handwriting instruction (K-2)
- Includes IDR (Independent Reading Time) & Writer's Workshop
- Compelling fiction literature & diverse trade books
- Builds Reading Comprehension: Socratic seminars, discussion
- Includes formative & summative assessment options
- Would purchase recommended classroom library:
25% below grade level, 25% above grade level, and 50% at grade level
- Online teacher resources include videos of strategies
- Would add Integrated and Designated ELD components





Training & Pilot Begins

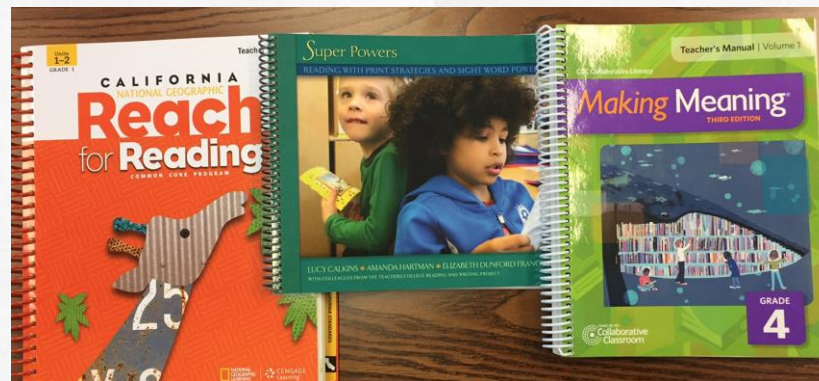


For Each Program:

- 4 weeks of pilot time
- 2 ½ hours initial overview training
- 2 hour optional follow-up training for additional support & use of online resources
- Paid planning, collaboration time
- Google classroom forum for questions & collaboration
- Online Evaluation Survey Tool
- Student Survey Tool
- *Analysis and Decision for Recommendation* **APRIL 18th!**

Next Steps

- Teaching full units: Approx. 4 weeks with each program
Jan 17-April 14
- Use of assessment and ancillary materials as available
- Teacher and student surveys of experience with materials
- Discussion and analysis of all data & feedback April 18
- Group decision-making
- Planning for professional development May 9



Once you
learn to read,
you will be
forever free.

Frederick Douglass





ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Governor's Budget Proposal (10 Min/Information)

Item Type: Information

Background: The Governor presented his Proposed 2017-2018 Budget on January 10, 2017. AUSD staff, as well as union leadership, attended the School Services of California budget presentation on January 17, 2017 in Sacramento, CA.

The release of the Governor's proposed budget is the first step in a marathon that will end with the adoption of the State budget in June. The District will work to prepare the 2nd Interim Budget Report for the March 14, 2017 board meeting. At that time, the Governor's proposed budget will be reflected in the District's multi-year projection (MYP). The creation of the Local Control Accountability Plan (LCAP) will continue over the spring for final adoption in June. The Governor will release the Revised Proposed 2017-2018 Budget in May, and staff will work to make the pertinent changes to the final AUSD budget adoption for the June 2017.

NOTE: Due to the proximity of the budget presentation, applicable documents for this agenda item will be provided on Tuesday, January 24.

Goals: Routine Matter

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost):

Department Budget:

Recommendation: This item is presented for information only.

AUSD Guiding Principle: #6 - Allocation of funds must support our vision, mission, and guiding principles.

Submitted By: Shariq Khan, Chief Business Officer

ATTACHMENTS:

Description	Upload Date	Type
□ Presentation	1/26/2017	Presentation

Governor's Budget Proposal for Fiscal Year 2017-18

January 24, 2017

2017-2018 Budget – Governor’s Budget Proposal Agenda

- Background
- Proposal Highlights & Impact on AUSD
 - Primarily due to prior year adjustments
- Multi-year Projections
- Next Steps

2017-2018 Budget – Governor’s Budget Proposal Timeline

January 10

Spring

May Revision

June



Governor introduces State Budget proposal
Budget bill introduced in both houses shortly thereafter

Budget subcommittees examine specific details of the proposal

Governor’s revised budget proposal

Adoption of budget by the State
This is done after school districts have adopted their budgets

2017-2018 Budget – Governor’s Budget Proposal

LCFF (Base & Supplemental Grant)

Year		COLA	GAP Funding Rate	LCFF Revenue	Gain (Loss)
2016-17	1st Interim	0.00%	54.18%	\$ 75,951,521	\$ 64,948
	Jan Proposal	0.00%	55.28%	\$ 76,016,469	
2017-18	1st Interim	1.11%	72.99%	\$ 78,409,415	\$ (1,543,042)
	Jan Proposal	1.48%	23.67%	\$ 76,866,373	
2018-19	1st Interim	2.42%	40.36%	\$ 79,521,443	\$ (188,913)
	Jan Proposal	2.40%	53.85%	\$ 79,332,530	

2017-2018 Budget – Governor’s Budget Proposal

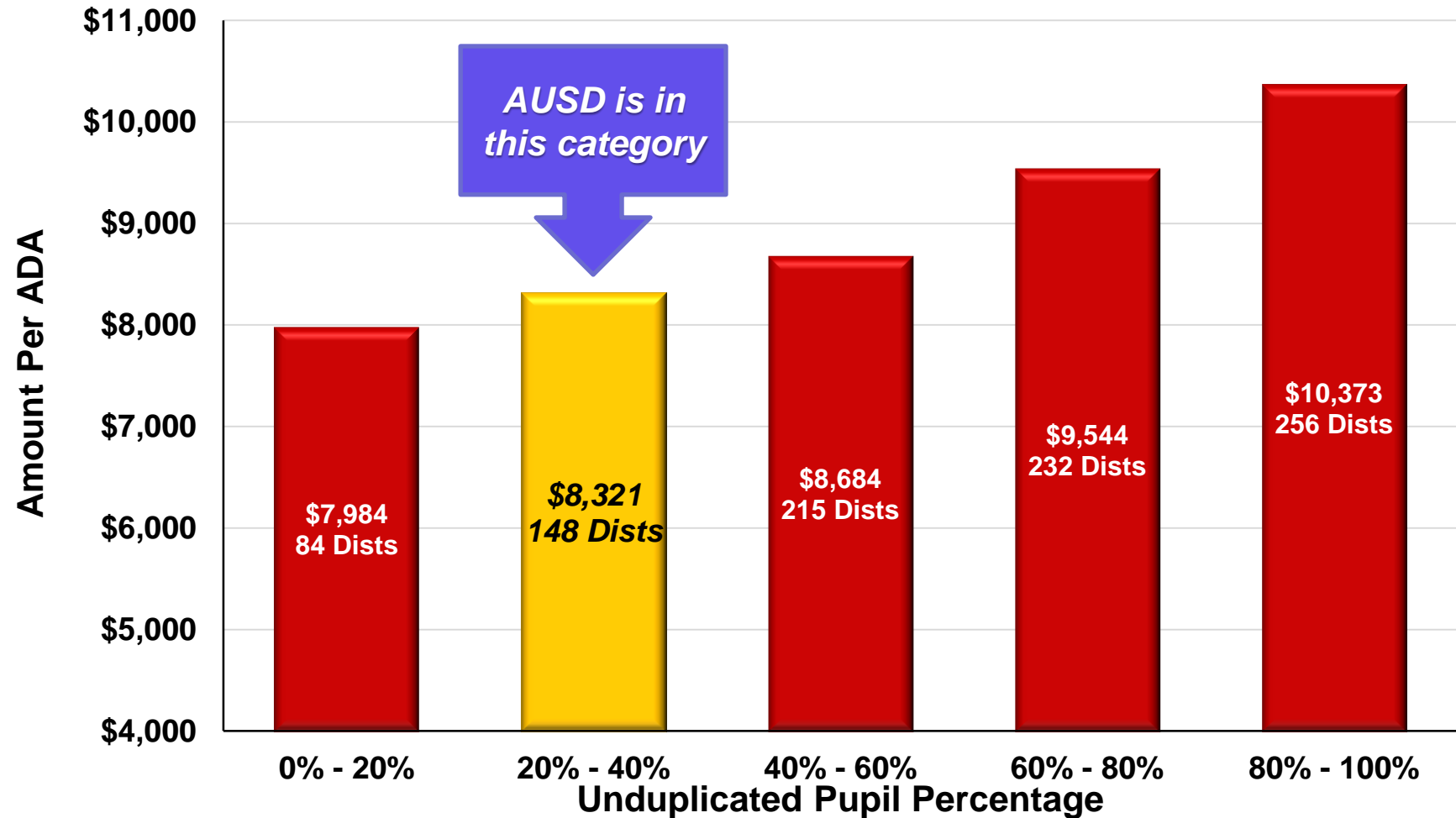
LCFF Supplemental Grant

Year		COLA	GAP Funding Rate	LCFF Supplemental	Gain (Loss)
2016-17	1st Interim	0.00%	54.18%	\$ 4,767,714	\$ 12,436
	Jan Proposal	0.00%	55.28%	\$ 4,780,150	
2017-18	1st Interim	1.11%	72.99%	\$ 5,043,115	\$ (172,120)
	Jan Proposal	1.48%	23.67%	\$ 4,870,995	
2018-19	1st Interim	2.42%	40.36%	\$ 5,113,332	\$ (46,111)
	Jan Proposal	2.40%	53.85%	\$ 5,067,221	

At full implementation of LCFF, the district would need to increase Supplemental Grant spending in excess of the new LCFF revenues it would receive in that year.

2017-2018 Budget – Governor’s Budget Proposal

Average LCFF Funding



2017-2018 Budget – Governor’s Budget Proposal

Proposition 55

- Passage of Proposition 55 maintains, but does not increase, education funding above the Proposition 30 level.
- However, reduced education funding from lowered economic forecast and tax revenues more than offset the benefit of Proposition 55.

	2018-19 without Proposition 55	2018-19 with Proposition 55
State Aid	\$ 48,179,677	\$ 43,162,099
Local Property Taxes	\$ 26,030,234	\$ 26,030,234
Proposition 30/55	\$ 5,311,532	\$ 10,140,197
	\$ 79,521,443	\$ 79,332,530

2017-2018 Budget – Governor’s Budget Proposal

One-Time Discretionary Funds

2014-15	2015-16	2016-17	2017-18 Proposed
\$67	\$529	\$214	\$48

- Approximately \$436,800 for AUSD
- These funds will offset AUSD’s outstanding mandate reimbursement claims on a dollar-for-dollar basis.
- All School Districts, including Charters, receive these one-time funds, regardless of their outstanding mandate claims. Experts believe these funds should not be counted as meeting this state obligation.

2017-2018 Budget – Governor's Budget Proposal

Apportionment Deferrals

- The Governor's budget proposal includes deferral of June 2017 cash apportionment to July 2017.
 - June's payment is 9% of the annual revenue.
 - Approximately 28% of the 9% will be deferred to July 2017.
- Cash apportionment deferrals were eliminated in 2015-16.
 - Back within one year!
- Cash deferrals in 2007-08 also started with a small amount and eventually grew to 1/5th of the annual revenue.

2017-2018 Budget – Governor’s Budget Proposal

CalPERS

- CalPERS has reduced its investment return assumptions, starting a steep upward climb to the projected rate of 28.2% by 2023-24.

Fiscal Year	CalPERS Rates at 1st Interim	Revised CalPERS Rates
2016-17	13.88%	13.88%
2017-18	15.80%	15.80%
2018-19	17.70%	18.70%
2019-20	19.70%	21.60%
2020-21	21.10%	24.90%
2021-22	Not Available	26.40%
2022-23	Not Available	27.40%
2023-24	Not Available	28.20%

2017-2018 Budget – Governor’s Budget Proposal

CalSTRS

- Employer rate increases to 14.43% in 2017-18, up from 12.58% in 2016-17.

Fiscal Year	CalSTRS Rates
2016-17	12.58%
2017-18	14.43%
2018-19	16.28%
2019-20	18.13%
2020-21	19.10%

2017-2018 Budget – Governor's Budget Proposal

Federal Programs

- In December 2016, the Congress approved a continuing resolution (CR) that will fund the federal government through April 28, 2017.
 - Same level of funding as provided in 2016-17.
 - Unless Congress and President Trump elect to increase or decrease funding after the CR expires.
- Special Education and Title I are the two significant federal programs at AUSD.

2016-2017 Budget – Governor’s Budget Proposal

MYP (Summary), Unrestricted Gen. Fund

Line		2016-17	2017-18	2018-19
		Budgeted	Projected	Projected
A	Beginning Balance, July 1	\$ 14,547,993	\$ 14,338,049	\$ 8,222,351
B	Revenues	\$ 80,466,218	\$ 78,580,037	\$ 79,692,065
C	Expenditures	\$ 62,032,735	\$ 64,077,441	\$ 65,174,753
D	Contribution to Restricted Fund	\$ 18,643,427	\$ 20,618,294	\$ 21,556,773
E	Strategic Budget Reduction			
F = B-C-D-E	Surplus (Deficit)	\$ (209,944)	\$ (6,115,698)	\$ (7,039,461)
F = A+E	Ending Balance	\$ 14,338,049	\$ 8,222,351	\$ 1,182,890
G	Components of Ending Fund Bal. (EFB)			
		\$ 3,940,744	\$ 3,940,744	\$ 3,940,744
H = F - G	Unassigned/Unappropriated Ending Fund Balance	\$ 10,397,305	\$ 4,281,607	\$ (2,757,854)

2017-2018 Budget – Governor’s Budget Proposal

MYP (Summary), Unrestricted Gen. Fund

Line		2016-17	2017-18	2018-19
		Budgeted	Projected	Projected
A	Beginning Balance, July 1	\$ 14,547,993	\$ 14,402,996	\$ 7,181,056
B	Revenues	\$ 80,466,218	\$ 78,580,037	\$ 79,692,065
C	LCFF Revenue Change	\$ 64,947	\$ (1,543,042)	\$ (188,913)
D	One Time Revenue		\$ 436,800	
E	Expenditures	\$ 62,032,735	\$ 64,077,441	\$ 65,174,753
F	CalPERS Impact			\$ 171,000
G	Contribution to Restricted Fund	\$ 18,643,427	\$ 20,618,294	\$ 21,556,773
H	Strategic Budget Reduction			
I = A - (B thru H)	Surplus (Deficit)	\$ (144,997)	\$ (7,221,940)	\$ (7,399,374)
J = A + I	Ending Balance	\$ 14,402,996	\$ 7,181,056	\$ (218,318)
K	Components of Ending Fund Bal. (EFB)	\$ 3,940,744	\$ 3,940,744	\$ 3,940,744
L = J - K	Unassigned/Unappropriated Ending Fund Balance	\$ 10,462,252	\$ 3,240,312	\$ (4,159,062)

2017-2018 Budget – Governor's Budget Proposal Agenda

What's Not in the Budget

- No new funding for Special Education
- No cost-of-living adjustment for Adult Education and State Preschool Program (Woodstock Child Development Center)
- No new funding for Home-to-School Transportation
- No funding to close the LCFF GAP
- No additional investments to deal with the state's teacher shortage

2017-2018 Budget – Governor's Budget Proposal

Next Steps

- Second Interim Budget Update
- May Revise
- Adoption of the 2017-18 Budget

ALAMEDA UNIFIED SCHOOL DISTRICT
BOARD AGENDA ITEM

Item Title: Resolution No. 2016-2017.48 Declaring Alameda Unified School District as a Safe Haven School District (10 Mins/Action)

Item Type: Action

Background: On November 8, 2016, voters in California and across the nation participated in the democratic process by casting votes that determine political leaders and public policies and expressed strong support for public schools by embracing bilingual education through Proposition 58, strengthening funding sources through Proposition 55, and modernizing school facilities through Proposition 51.

Alameda is a diverse community in the San Francisco Bay Area and is noted for having over 72 different languages spoken in AUSD's schools (including Arabic, Chinese, English, Spanish, Tagalog, Vietnamese, and many others). Approximately 11% percent of approximately 9,500 AUSD students were identified as being born outside the USA and/or immigrants to this country, 17% were identified as English language learners, 27% of students qualified for free and/or reduced lunch, and a clear majority of AUSD families and students identified themselves as people of color.

Alameda Unified School District has partnered with many different civic agencies (e.g., The Alameda Collaborative for Children, Youth, and their Families (ACCYF), The City of Alameda, The Alameda Chamber of Commerce, Alameda Education Association (AEA), California School Employees Association (CSEA Units 27 and 860), Alameda Education Foundation (AEF), Alameda PTA Council, and Alameda Family Services (AFS)) this past year to promote a public awareness campaign, spearheaded by our LGBTQ Round Table and affirming our collective commitment to the idea that "Everyone Belongs Here" and that we all must work to confront all forms of hate, bigotry, and bullying in order to counter intolerance.

Youth and families across the district, county, state, and nation have already experienced increased levels of hate speech based on their national origin, ethnicity, religion, or sexual orientation before, during, and after the election in an America still not free of hatred. Tonight staff present a draft resolution declaring AUSD as a "Safe Haven School District" in order to safeguard the public we serve and uphold the values of our organization.

This item came to the Board for information at its January 10th Board of Education meeting. Staff is asking the Board to take action on this resolution tonight.

Goals: Build nonprofit, business and philanthropic partnerships

Fund:

Fund Codes:

Fiscal Analysis

Amount (Savings) (Cost): n/a

Department Budget: n/a

Recommendation: Approve as submitted.

AUSD Guiding Principle: #1 - All students have the ability to achieve academic and personal success. | #3 - Administrators must have the knowledge, leadership skills and ability to ensure student success. | #4 - Parental involvement and community engagement are integral to student success. | #5 - Accountability, transparency, and trust are necessary at all levels of the organization. | #7 - All employees must receive respectful treatment and professional support to achieve district goals.

Submitted By: Sean McPhetridge Ed.D., Superintendent

ATTACHMENTS:

Description	Upload Date	Type
☐ Safe Haven Resolution	1/18/2017	Resolution Letter
☐ Signed Safe Haven Resolution	1/26/2017	Resolution Letter

ALAMEDA UNIFIED SCHOOL DISTRICT
Alameda, California
Resolution

January 24, 2017

Resolution No. 2016-2017.48

**Resolution Declaring Alameda Unified School District
As a Safe Haven School District**

WHEREAS, The Alameda Board of Education is committed to promoting Alameda Unified School District's vision of serving a diverse community of students in an inclusive, safe, and secure environment; and

WHEREAS, The Board of Education is also committed to the success of all students regardless of their national origin, ethnicity, religion, sexual orientation, ability, gender, or socio-economic status; and

WHEREAS, The Declaration of Independence of the United States of America prominently and clearly recognizes every individual's right to life, liberty, and the pursuit of happiness; and

WHEREAS, This great nation was built by diverse peoples, both native and immigrant alike; and

WHEREAS, Education plays a critical role in furthering tolerance in our society; and

WHEREAS, On November 8, 2016, voters in California and across the nation participated in the democratic process by casting votes that determine political leaders and public policies; and

WHEREAS, California voters during the 2016 general election expressed strong support for public schools by embracing bilingual education through Proposition 58, strengthening funding sources through Proposition 55, and modernizing school facilities through Proposition 51; and

WHEREAS, a super-majority of Alameda voters (74%) demonstrated strong support for public schools through the passage of Measure B1, a renewal parcel tax that represents approximately 12% of AUSD's annual budget and that commences when Measure A sunsets in 2018; and

WHEREAS, Alameda is a diverse community in the San Francisco Bay Area and is noted for having over 72 different languages spoken in Alameda Unified School District schools (including Arabic, Chinese, English, Spanish, Tagalog, Vietnamese, and many others); and

WHEREAS, as of December 2016, 1028 students (or approximately 11% percent of AUSD students) were identified as being born outside the USA and/or immigrants to this country; and

WHEREAS, Alameda Unified School District has partnered with many different civic agencies (e.g., The Alameda Collaborative for Children, Youth, and their Families (ACCYF), The City of Alameda, The Alameda Chamber of Commerce, Alameda Education Association (AEA), California School Employees Association (CSEA Units 27 and 860), Alameda Education Foundation (AEF), Alameda PTA Council, and Alameda Family Services (AFS)) this past year to promote a public awareness campaign, spearheaded by our LGBTQ Round Table and affirming our collective commitment to the idea that "Everyone Belongs Here" and that we all must work to confront all forms of hate, bigotry, and bullying in order to counter intolerance; and

WHEREAS, The national presidential election in 2016 resulted in thousands of students and families in the Bay Area and indeed across the state and nation expressing fear, sadness, and concerns for safety, heightened because of divisive and intolerant rhetoric made over the course of the 2016 presidential race; and

WHEREAS, Youth and families across the district, county, state, and nation have already experienced increased levels of hate speech based on their national origin, ethnicity, religion, or sexual orientation before, during, and after the election in an America still not free of hatred;

NOW, BE IT RESOLVED, That the Alameda Unified School Board of Education directs the Superintendent to support the creation of a safe haven school district. This status shall include:

- Promoting tolerance and acceptance while taking a stand against hate speech;
- Urging Immigration and Customs Enforcement (ICE) to maintain its 2011 policy stating that it will not conduct immigration enforcement activity at any sensitive location, which includes schools, without permission by specific federal law enforcement officials unless exigent circumstances exist;
- Maintaining the orderly operation of district facilities by requiring ICE to receive advance approval before entering district sites unless otherwise authorized by law;
- Sharing of student files that may be used to ascertain legal status of students only where required by law;
- Designating AUSD school sites as safe havens for students, families, and the community;
- Allocating resources necessary to help promote and support diversity, inclusion, and values of a multicultural society, particularly with regard to the existing work led by the LGBTQ Round Table, Black Achievers Alliance, ALCANCE (AKA Latino Achievement Round Table), and Alameda Special Education Parent Support Group; and
- Reaffirming our need to ensure the teaching of AUSD's K-5 literature-based lessons on understanding the rights of all people and safeguarding fair treatment of protected classes (e.g., different abilities, ethnicities, genders, nationalities, religions, sexual orientations); and

RESOLVED FURTHER, That the Alameda Unified School District shall aim to continue working closely with the City, County, state and local municipalities, and other local community organizations to ensure our students and families are offered a protected space where they can feel included and can feel safe; and

RESOLVED FURTHER, That the Alameda Board of Education by support of this resolution is affirming that American ideals celebrated herein contain core values that include liberty, equality, democracy, individualism, equity, unity, and diversity and that we will continue to promote and safeguard those American values; and

RESOLVED FURTHER, That the Board of Education reaffirms its focus on promoting and elevating tolerance, inclusiveness, and kindness to all students, families, and staff at AUSD school sites; and

RESOLVED FURTHER, That the Board of Education reaffirms authority of the Superintendent to protect the data and identities of any student, family member, or school employee who may be

adversely affected by any future policies or executive action that results in the collection of any personally identifiable information to the fullest extent provided by the law; and

RESOLVED FURTHER, That to the maximum extent possible the Board of Education shall not allow ICE agents on school sites without receiving prior written approval from the Superintendent; and be it finally

RESOLVED FURTHER, That the Board of Education affirms and upholds Alameda Unified School District's unequivocal commitment to ensuring a safe educational environment for all, committing itself to AUSD being a safe haven school district for students and families threatened by immigration enforcement or discrimination, to the fullest extent of the law.

PASSED AND ADOPTED by the following vote this 24th day of January, 2017:

AYES: _____ MEMBERS: _____

NOES: _____ MEMBERS: _____

ABSENT: _____ MEMBERS: _____

Gary K. Lym, President
Board of Education
Alameda Unified School District
Alameda County, State of California

ATTEST:

By: _____

Sean McPhetridge, Secretary
Board of Education
Alameda Unified School District
Alameda County, State of California

ALAMEDA UNIFIED SCHOOL DISTRICT
Alameda, California
Resolution

January 24, 2017

Resolution No. 2016-2017.48

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As a Safe Haven School District**

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WHEREAS, The Board of Education is also committed to the success of all students regardless of their national origin, ethnicity, religion, sexual orientation, ability, gender, or socio-economic status; and

WHEREAS, The Declaration of Independence of the United States of America prominently and clearly recognizes every individual's right to life, liberty, and the pursuit of happiness; and

WHEREAS, This great nation was built by diverse peoples, both native and immigrant alike; and

WHEREAS, Education plays a critical role in furthering tolerance in our society; and

WHEREAS, On November 8, 2016, voters in California and across the nation participated in the democratic process by casting votes that determine political leaders and public policies; and

WHEREAS, California voters during the 2016 general election expressed strong support for public schools by embracing bilingual education through Proposition 58, strengthening funding sources through Proposition 55, and modernizing school facilities through Proposition 51; and

WHEREAS, a super-majority of Alameda voters (74%) demonstrated strong support for public schools through the passage of Measure B1, a renewal parcel tax that represents approximately 12% of AUSD's annual budget and that commences when Measure A sunsets in 2018; and

WHEREAS, Alameda is a diverse community in the San Francisco Bay Area and is noted for having over 72 different languages spoken in Alameda Unified School District schools (including Arabic, Chinese, English, Spanish, Tagalog, Vietnamese, and many others); and

WHEREAS, as of December 2016, 1028 students (or approximately 11% percent of AUSD students) were identified as being born outside the USA and/or immigrants to this country; and

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RESOLVED FURTHER, That the Alameda Board of Education by support of this resolution is affirming that American ideals celebrated herein contain core values that include liberty, equality, democracy, individualism, equity, unity, and diversity and that we will continue to promote and safeguard those American values; and

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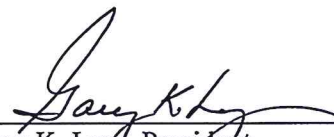
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PASSED AND ADOPTED by the following vote this 24th day of January, 2017:


AYES: 5 MEMBERS: Dailey, Harris, Henneberry, Lym, Williams

NOES: 0 MEMBERS: _____

ABSENT: 0 MEMBERS: _____



Gary K. Lym, President
Board of Education
Alameda Unified School District
Alameda County, State of California

ATTEST: 
By: _____
Sean McPhetridge, Secretary
Board of Education
Alameda Unified School District
Alameda County, State of California